

# Exploring Complexity

In Science and Technology

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## Logistics

- Go over
  - HW6 and Lab5
- Due Monday HW7; Wednesday Lab 6
- Check that Blackboard grades are accurate
- Final Paper Questions?
  - I need your paper topic proposals!
  - if I don't already have them
- Questions?

## Analogy Making as Thinking

- “Analogy-making is the ability to perceive abstract similarity between two things in the face of superficial differences.”
  - Melanie Mitchell
- “This ability pervades almost every aspect of what we call intelligence.”
  - Melanie Mitchell
  - p. 187-8 examples
- “All perception of truth is the detection of an analogy.”
  - Henry David Thoreau

## Simplifying Analogy

- Strings of letters:
  - abc → abd; kji → ?
  - groups
- “Conceptual Slipping”
- Balance between exploration and exploitation
  - Combine randomness with highly directed response based on feedback
  - How do ants do this? The immune system?

## Models in Science

- Mathematical Models
- Mechanistic Models
- Idea Models Examples
  - Maxwell's demon
  - Logistic model and logistic map
  - von Neumann's self-reproducing automata
  - Genetic algorithms
  - Cellular automata
  - Koch curve
  - Copycat

## Idea Models

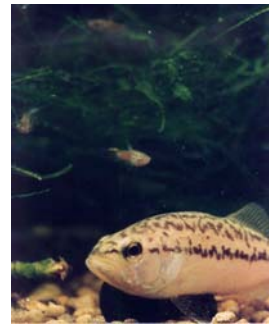
- Show that a proposed mechanism for a phenomenon is plausible (or implausible)
- Explore the effects of variations on a simple model and prime one's intuitions about a complex phenomenon
- Inspire new technologies
- Lead to mathematical theories

## Exercise

- Each player starts with \$100 and can choose to invest from \$0 to their current balance.
- In each round, each member's investment is subtracted from each member's balance
- The total of all the investments from the current round are doubled and then divide evenly among all the players
- This gives each player a new balance to start a new round
- We will do several rounds—please do not get ahead of (or behind) me. I will announce when each round starts and stops
- Player with highest final score wins a prize
- There is no communication allowed between players

## Main Theories for the Evolution of Altruism

- Multilevel Selection
  - Altruist dominated groups do better; altruists within groups do worse
  - $\Delta Q = \Delta Q_B + \Delta Q_W$
- Inclusive Fitness/Kin Selection
  - Gene self interest, Hamilton's rule ( $\Delta Q > 0$  if  $rb > c$ )
  - $W_{\text{inclusive}} = W_{\text{direct}} + W_{\text{indirect}}$
- Reciprocal Altruism
  - Conditional behaviour, Iterated Prisoner's Dilemma (IPD), emphasis on non-relatives
  - Indirect reciprocity, strong reciprocity, reciprocity on graphs
- Others
  - By-product mutualism, conflict mediators, policing, social markets



## Advances in Unification Program

- Unifying Multilevel Selection and Inclusive Fitness Theories
  - (Price 1970, Wade 1980, Breden 1990, Queller 1992, Frank 1998, Sober and Wilson 1998, Lehmann et al. 2007)
- Unifying Reciprocal Altruism and Inclusive Fitness Theories
  - (Queller 1985, Nee 1989, Frank 1994, 1998, Sober and Wilson 1998)
  - Less successful; less formal; less accepted



## Prisoner's Dilemma (PD)

Actor's Fitness (Utility)

		<i>opponent's behavior</i>	
		C	D
<i>actor's behavior</i>	C	4	0
	D	5	1

An arrow points from the cell (C, D) to the cell (D, D), indicating a transition from a state where both cooperate to a state where both defect.

- Individually rational to defect
- Collectively irrational

## Additive Prisoner's Dilemma (PD)

Actor's Fitness (Utility)

		<i>opponent's behaviour</i>	
		C contributes $b$	D contributes 0
<i>actor's behaviour</i>	C sacrifices $c$	$w_0 + b - c$ 4	$w_0 - c$ 0
	D sacrifices 0	$w_0 + b$ 5	$w_0$ 1

- $w_0 = 1$ ;  $b = 4$ ;  $c = 1$

## Non-Additive PD

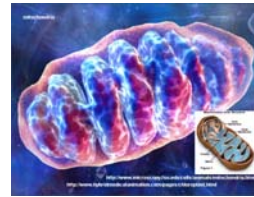
Actor's Fitness (Utility)

		<i>opponent's behavior</i>	
		C contributes $b$	D contributes 0
<i>actor's behavior</i>	C sacrifices $c$	$w_0 + b - c$ (+ $d$ ) 3	$w_0 - c$ 0
	D sacrifices 0	$w_0 + b$ 5	$w_0$ 1

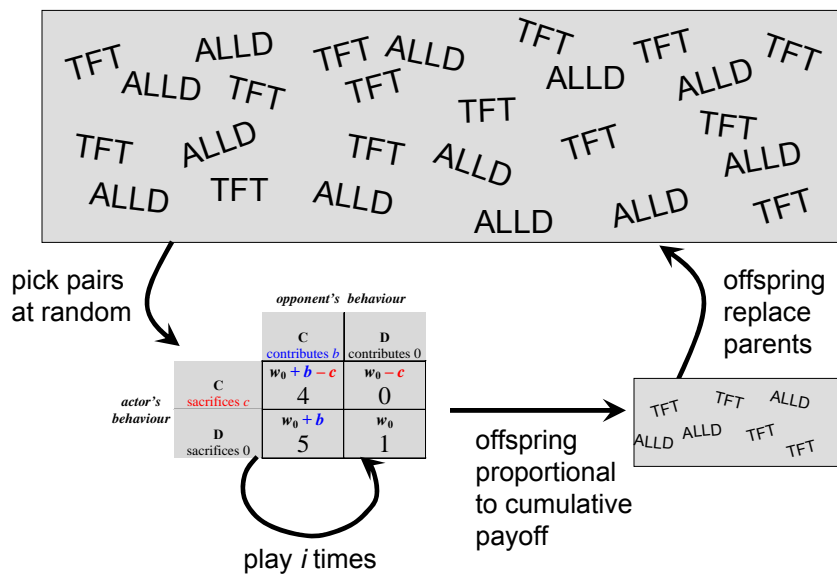
- $w_0 = 1$ ;  $b = 4$ ;  $c = 1$ ;  $d = -1$

## Reciprocal Altruism: Iterated Conditional Behaviours

- In randomly formed, single-generation pairings, D wins (Hamilton 1975)
- Axelrod's Tournaments (late 1970s on)
  - Tit-For-Tat (TFT)
    - Anatol Rapoport
  - Evolutionary experiments with iterated interactions within a generation
  - where offspring proportional to cumulative fitness payoffs



## Simple Iterated PD Model



## Can We Apply Hamilton's Rule?

- $rb > c$
- Start with the additive PD (no  $d$  term)

$$r = \frac{\text{cov}(G_A, G_O)}{\text{var}_i(G_A)}$$

- Hamilton's  $r = 0$  for random pairing
- Hamilton's rule (focused on genetic similarity) does not predict TFT increase (given random interactions)
- Because it does not account for conditional behavior



## Axelrod and Hamilton (1981)

- Distinguished two mechanisms
  - Inclusive Fitness for relatives
  - Reciprocal Altruism for non-relatives
- Still current thinking
  - Sachs *et al* 2004 QRB
  - Many papers this last year
- Two obstacles for unification
  1. Phenotype/Genotype differences
  2. PD used is non-additive



## Queller's Generalization

- To solve problem 1 (*G/P* difference)
  - Use *phenotypes* (behaviors), not just *genotypes*, in Hamilton's rule
  - Hamilton (1975) Queller (1985)

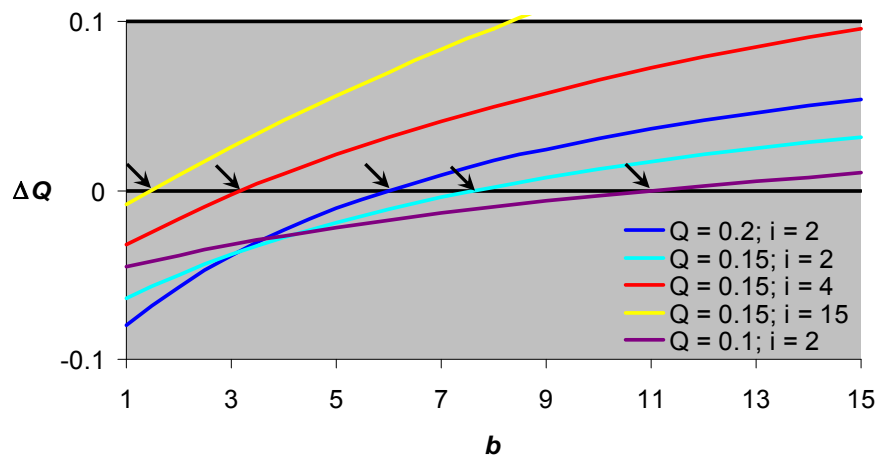
$$r = \frac{\text{cov}(G_A, G_O)}{\text{var}_I(G_A)}$$

$$r = \frac{\text{cov}(G_A, P_O)}{\text{cov}(G_A, P_A)}$$

- To solve problem 2 (non-additivity)
  - Use an additional term to account for deviations from additivity (Queller 1985)

$$\frac{\text{cov}(G_A, P_O)}{\text{cov}(G_A, P_A)} b + \frac{\text{cov}(G_A, P_A P_O)}{\text{cov}(G_A, P_A)} d > c$$

## Numerical Simulations of Iterated PD varying *Q*, *i*, and *b* (*c* = 1)



## Implications for Indirect Fitness

- Queller's version emphasizes direct fitness (there is no  $G_O$  term)

$$\frac{\text{cov}(G_A, P_O)}{\text{cov}(G_A, P_A)} b + \frac{\text{cov}(G_A, P_A P_O)}{\text{cov}(G_A, P_A)} d > c$$

- More intuitive form

$$\text{cov}(G_A, P_O) b + \text{cov}(G_A, P_A P_O) d > \text{cov}(G_A, P_A) c$$

- An even simpler form

$$\text{cov}(G_A, P_O b + P_A P_O d - P_A c) > 0$$

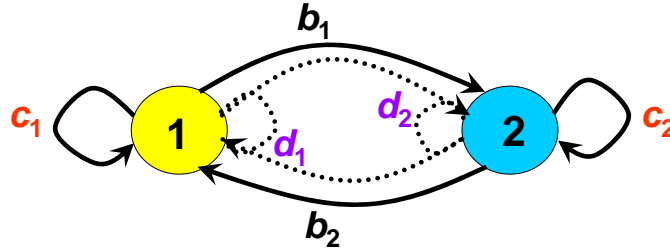
$$\text{cov}(G_A, \text{net fitness benefits to A}) > 0$$

## A Simple Mutualism Model

- Interactions are heterospecific and pair-wise
- Each species has two types
  - ALLD type
  - a cooperative type (e.g. TFT)
- $b$ ,  $c$ ,  $d$ , and the cooperative strategy can all vary between species



# A Simple Mutualism Model



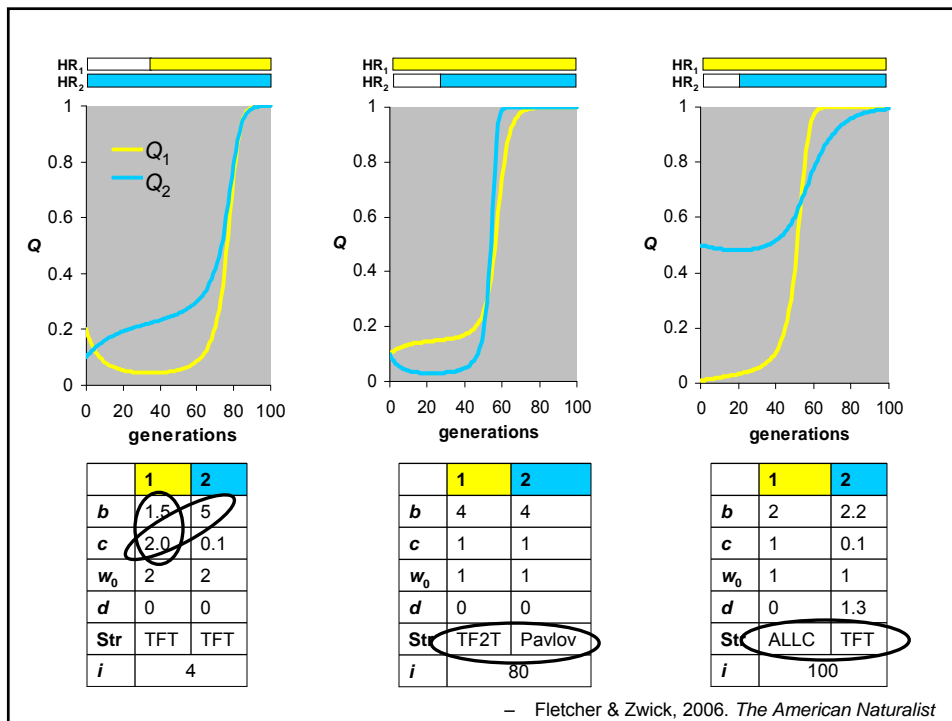
$$r_1 = \frac{\text{cov}(G_1, P_2)}{\text{cov}(G_1, P_1)}$$

$$r_2 = \frac{\text{cov}(G_2, P_1)}{\text{cov}(G_2, P_2)}$$

$$\text{HR}_1: r_1 b_2 > c_1$$

$$\text{HR}_2: r_2 b_1 > c_2$$

– Fletcher & Zwick, 2006. *The American Naturalist*



*There is no general theory of mutualism that approaches the explanatory power that 'Hamilton's Rule' appears to hold for the understanding of within-species interactions.*

– Herre et al. 1999, *TREE* 14:49-53



## Games as Idea Models for Interactions

- Different order of payoffs define classic 2-player games
- N-player games model interactions involving multiple agents

## Ritualized Fighting

		<i>opponent's behaviour</i>	
		Dove	Hawk
<i>actor's behaviour</i>	Dove	$V/2$ 5	0
	Hawk	$V$ 10	$(V-c)/2$ -5

- $V = 10$ ;  $c = 20$
- The rare strategy has an advantage (i.e. frequency dependent selection)
- Hawk-Dove, Chicken, Snowdrift, Brinkmanship
- If  $0 < c < V$ , then game is PD instead

## Evolutionarily Social Dilemma Games

- What features do Hawk-Dove and the PD have in common?
  - Cs do better in CC pairs than Ds do in DD pairs
  - Ds do better than Cs in mixed pairs
- Given 4 utility levels (1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup>, 4<sup>th</sup>) how many 2-player, symmetric games are there that capture this idea of “social dilemma”?
- Can you name them?



## Six Games of Altruism

	C	D
C	1 <sup>st</sup> , 1 <sup>st</sup>	4 <sup>th</sup> , 3 <sup>rd</sup>
D	3 <sup>rd</sup> , 4 <sup>th</sup>	2 <sup>nd</sup> , 2 <sup>nd</sup>

**Variant: Assurance**

	C	D
C	1 <sup>st</sup> , 1 <sup>st</sup>	3 <sup>rd</sup> , 2 <sup>nd</sup>
D	2 <sup>nd</sup> , 3 <sup>rd</sup>	4 <sup>th</sup> , 4 <sup>th</sup>

**Spite (no-conflict)  
Weak altruism**

	C	D
C	2 <sup>nd</sup> , 2 <sup>nd</sup>	3 <sup>rd</sup> , 1 <sup>st</sup>
D	1 <sup>st</sup> , 3 <sup>rd</sup>	4 <sup>th</sup> , 4 <sup>th</sup>

**Chicken, Hawk-Dove  
Snowdrift**

	C	D
C	1 <sup>st</sup> , 1 <sup>st</sup>	4 <sup>th</sup> , 2 <sup>nd</sup>
D	2 <sup>nd</sup> , 4 <sup>th</sup>	3 <sup>rd</sup> , 3 <sup>rd</sup>

**Assurance,  
Stag Hunt,  
Coordination**

	C	D
C	2 <sup>nd</sup> , 2 <sup>nd</sup>	4 <sup>th</sup> , 1 <sup>st</sup>
D	1 <sup>st</sup> , 4 <sup>th</sup>	3 <sup>rd</sup> , 3 <sup>rd</sup>

**PD, Strong Altruism  
Tragedy of the Commons,  
Public Goods Game**

	C	D
C	3 <sup>rd</sup> , 3 <sup>rd</sup>	2 <sup>nd</sup> , 1 <sup>st</sup>
D	1 <sup>st</sup> , 2 <sup>nd</sup>	4 <sup>th</sup> , 4 <sup>th</sup>

**Leader-Follower  
Reluctant Hero**

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## Different Games

- Six games that can be used to model the evolution of cooperation (or altruism)
  - Two have dominant strategies, but...
    - One dominant for D (PD or Strong Altruism)
    - One dominant for C (Spite or Weak Altruism)
  - Four have no dominant strategy and best strategy is frequency dependent, but ...
    - In two it is best to be rare (Hawk-Dove, Leader-Follower)
    - In two it is best to be common (Assurance, Assurance variant)
- In terms of game theory, these games are all over the map!

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## Similarities Under Selection

- All 6 games are “Prisoner’s Dilemma like” under selection
  - At the individual (within-group) level defection is always favored
  - At the collective level (between-group) level cooperation is always favored
- What actually evolves in any of these games depends on both
  - The relative magnitude of the fitness differences at each level
  - The relative frequencies with which different types of interactions occur

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## Strength of Selection at Different Levels

**Prisoner’s Dilemma**  
Strong Altruism

	C	D
C	2 <sup>nd</sup> , 2 <sup>nd</sup>	4 <sup>th</sup> , 1 <sup>st</sup>
D	1 <sup>st</sup> , 4 <sup>th</sup>	3 <sup>rd</sup> , 3 <sup>rd</sup>

2<sup>nd</sup> – 3<sup>rd</sup>

1<sup>st</sup> – 4<sup>th</sup>

**Spite (No-Conflict Game)**  
Weak Altruism

	C	D
C	1 <sup>st</sup> , 1 <sup>st</sup>	3 <sup>rd</sup> , 2 <sup>nd</sup>
D	2 <sup>nd</sup> , 3 <sup>rd</sup>	4 <sup>th</sup> , 4 <sup>th</sup>

1<sup>st</sup> – 4<sup>th</sup>

2<sup>nd</sup> – 3<sup>rd</sup>

**Hawk-Dove**

	C	D
C	2 <sup>nd</sup> , 2 <sup>nd</sup>	3 <sup>rd</sup> , 1 <sup>st</sup>
D	1 <sup>st</sup> , 3 <sup>rd</sup>	4 <sup>th</sup> , 4 <sup>th</sup>

2<sup>nd</sup> – 4<sup>th</sup>

1<sup>st</sup> 1<sup>st</sup> – 3<sup>rd</sup> 3<sup>rd</sup>

- Which type increases depends on:
  - Relative magnitudes of selective forces
  - Relative frequency of interaction types

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## Relative vs. Absolute fitness

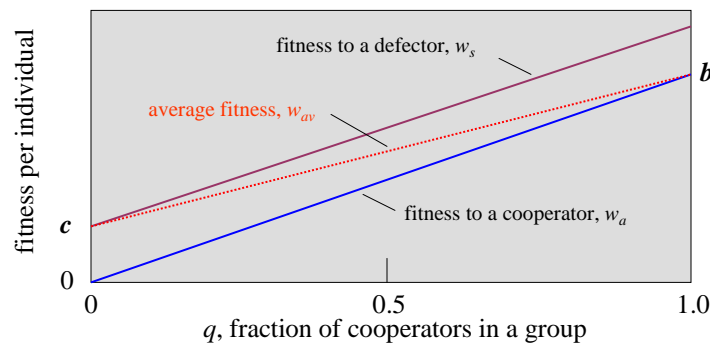
- Selection Plays the Role of Rationality
  - But selection works on relative differences
  - For utility difference-maximizers, all 6 games are PDs
    - if absolute fitness breaks ties

	C	D	
C	5, 5	0, 10	→
D	10, 0	-5, -5	

	C	D
C	0+ε, 0+ε	-10, 10
D	10, -10	0, 0

- Hawk-Dove becomes PD
  - Note that except for ε this is zero-sum
  - All 6 games work this way—so can see why all can be used in study of evolution of cooperation
  - Note that this means the original payoffs were not the true payoffs

## N-Player Prisoner's Dilemma (Tragedy of the Commons)



- $a_i' = a_i [1 + w_a(q_i)]$        $s_i' = s_i [1 + w_s(q_i)]$
- $w_a(q_i) = bq_i - c + w_0$        $w_s(q_i) = bq_i + w_0$