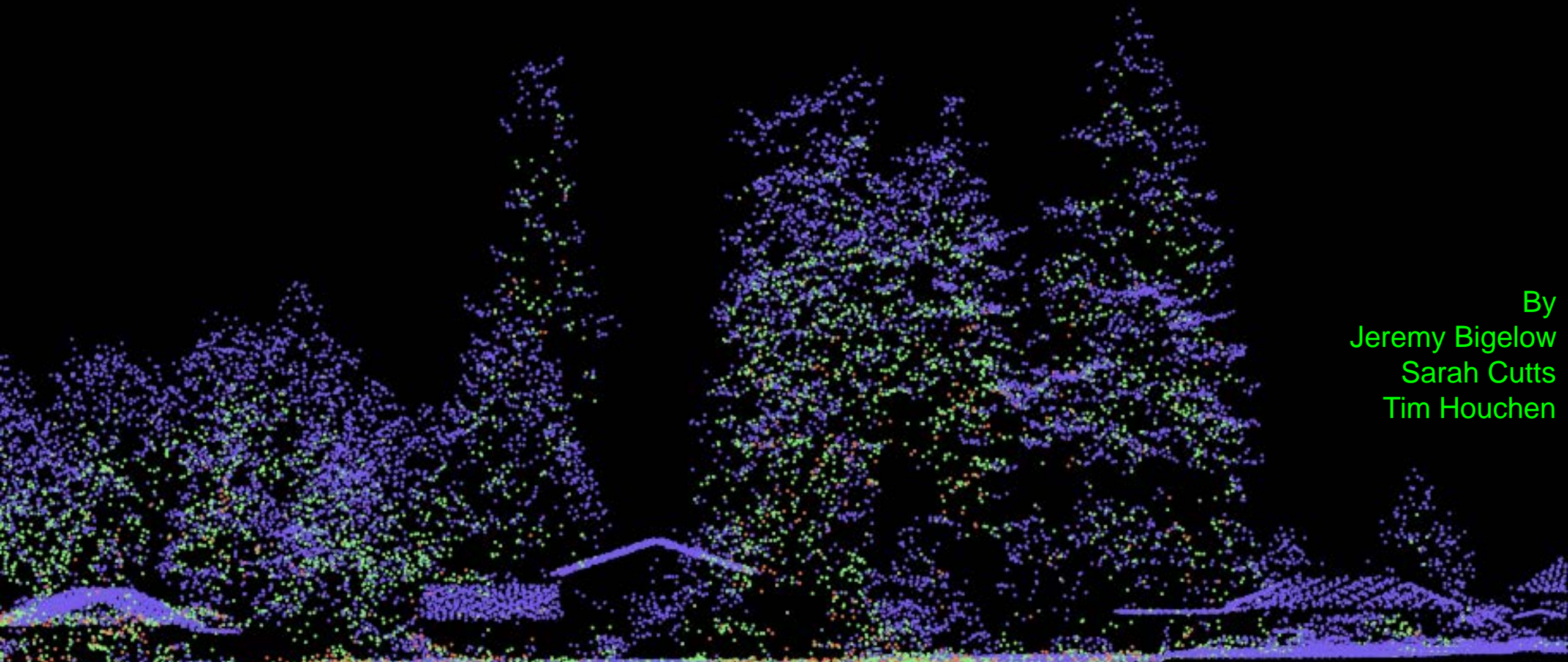


Tree Canopy Extraction and Classification In an Urban Environment



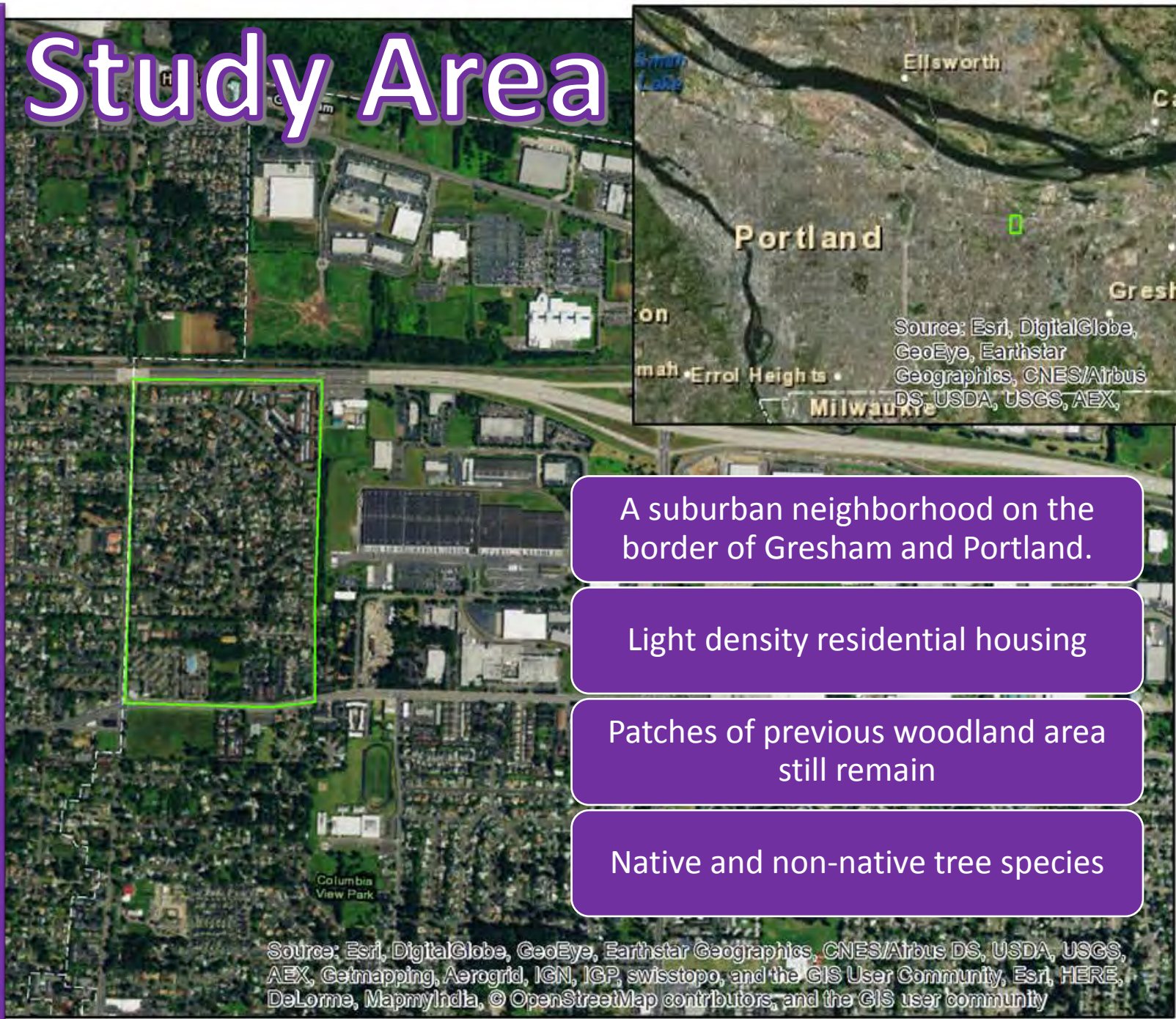
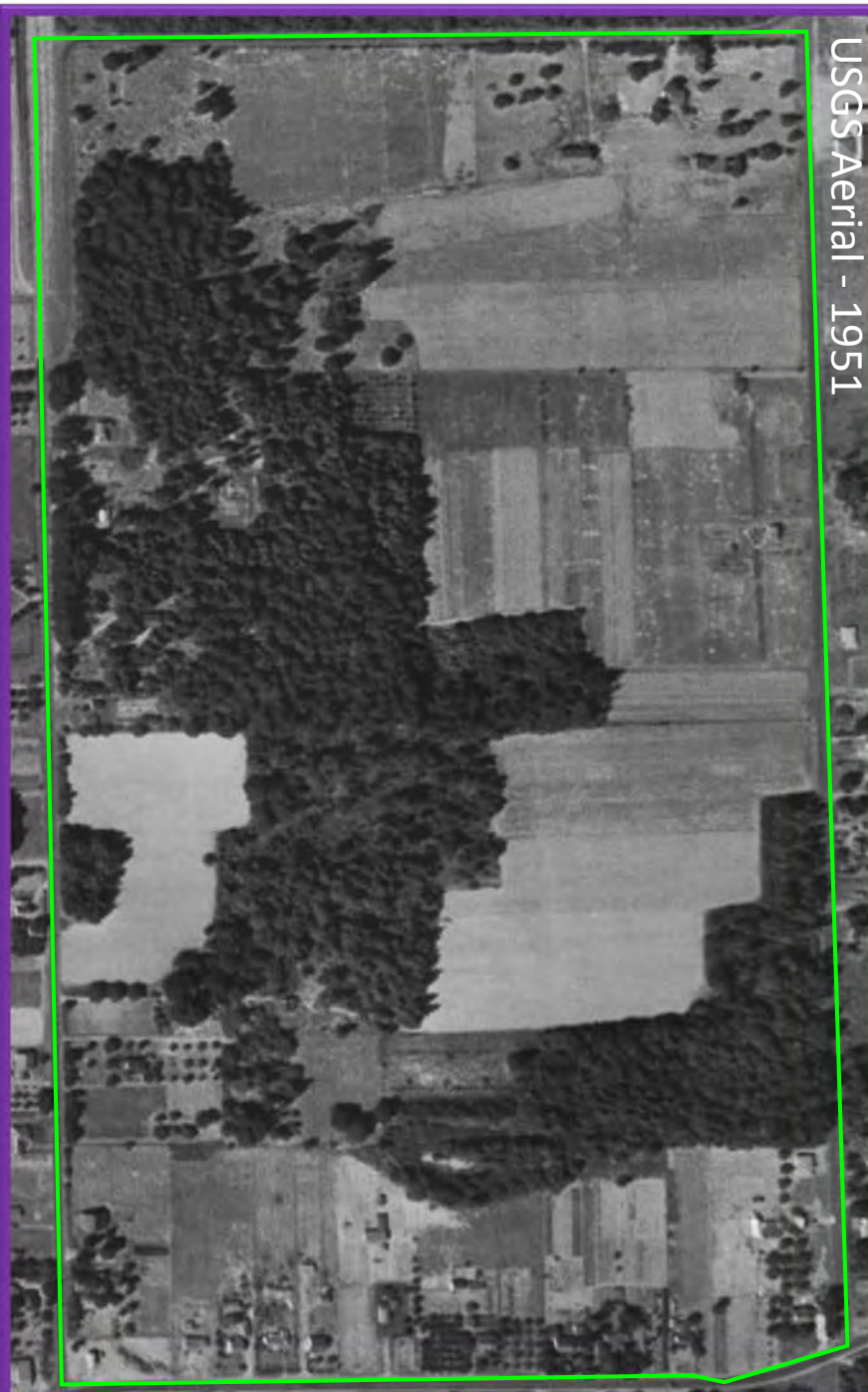
By
Jeremy Bigelow
Sarah Cutts
Tim Houchen

Intro

- What is the potential role for LiDAR data in characterizing trees, as a resource in monitoring trees in an urban environment?
- Can manipulation of LiDAR data, using GIS tools and methods to characterize point cloud data, further analysis of elevation relationships to group, parse, and evaluate data?

Study Area

USGS Aerial - 1951



A suburban neighborhood on the border of Gresham and Portland.

Light density residential housing

Patches of previous woodland area still remain

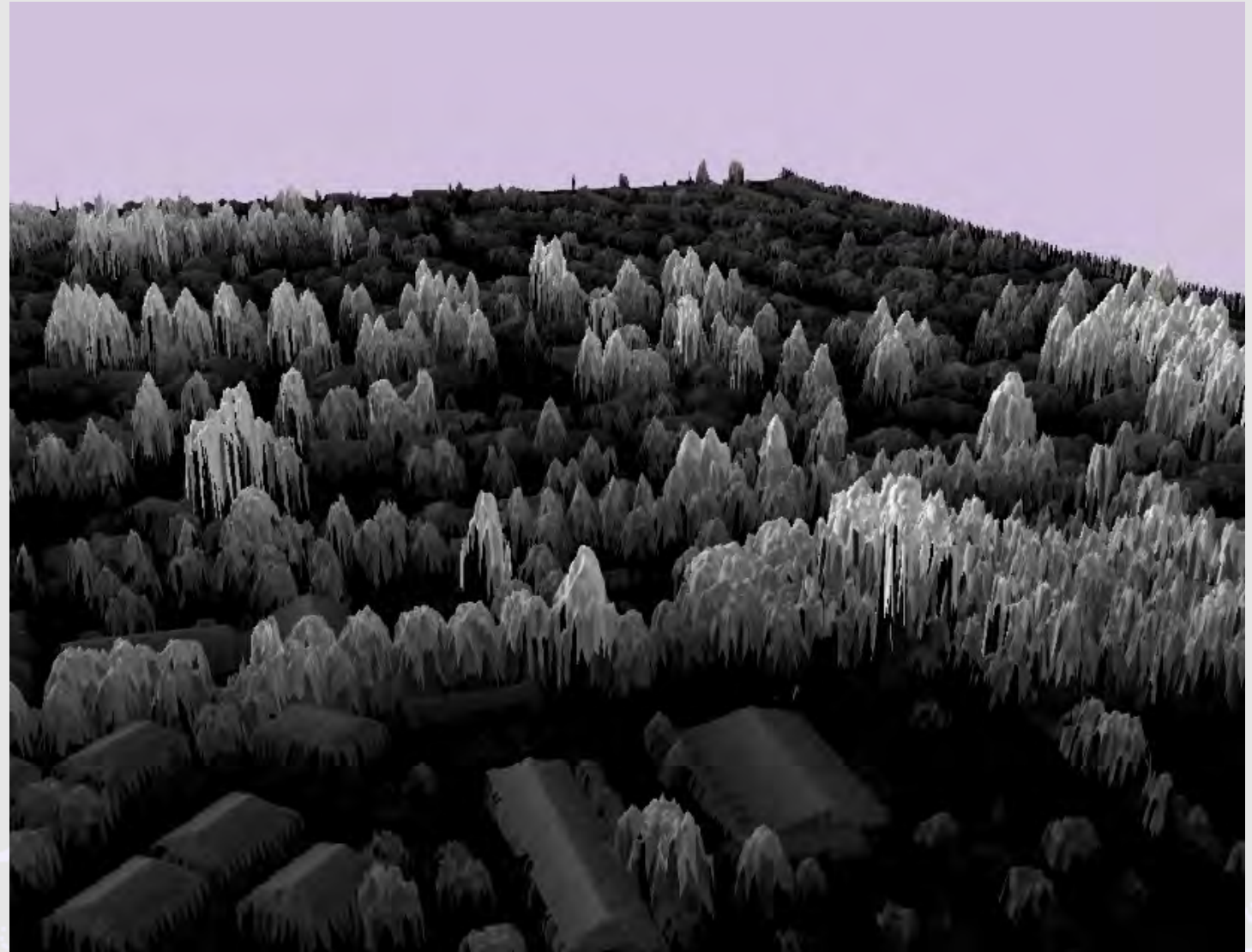
Native and non-native tree species

Source: Esri, DigitalGlobe, GeoEye, Earthstar Geographics, CNES/Airbus DS, USDA, USGS, AEX, Getmapping, Aerogrid, IGN, IGP, swisstopo, and the GIS User Community, Esri, HERE, DeLorme, MapmyIndia, © OpenStreetMap contributors, and the GIS user community

Methods

Elevation Models and Feature Height

- .las to LAS Dataset
- Filter LAS Dataset point cloud
- Ground Returns (average) = DEM
- First Returns (maximum) = DSM
- “LAS Dataset to Raster” tool
- $DSM - DEM = \text{Feature Height}$



Derived feature height layer displayed in ArcScene

Methods

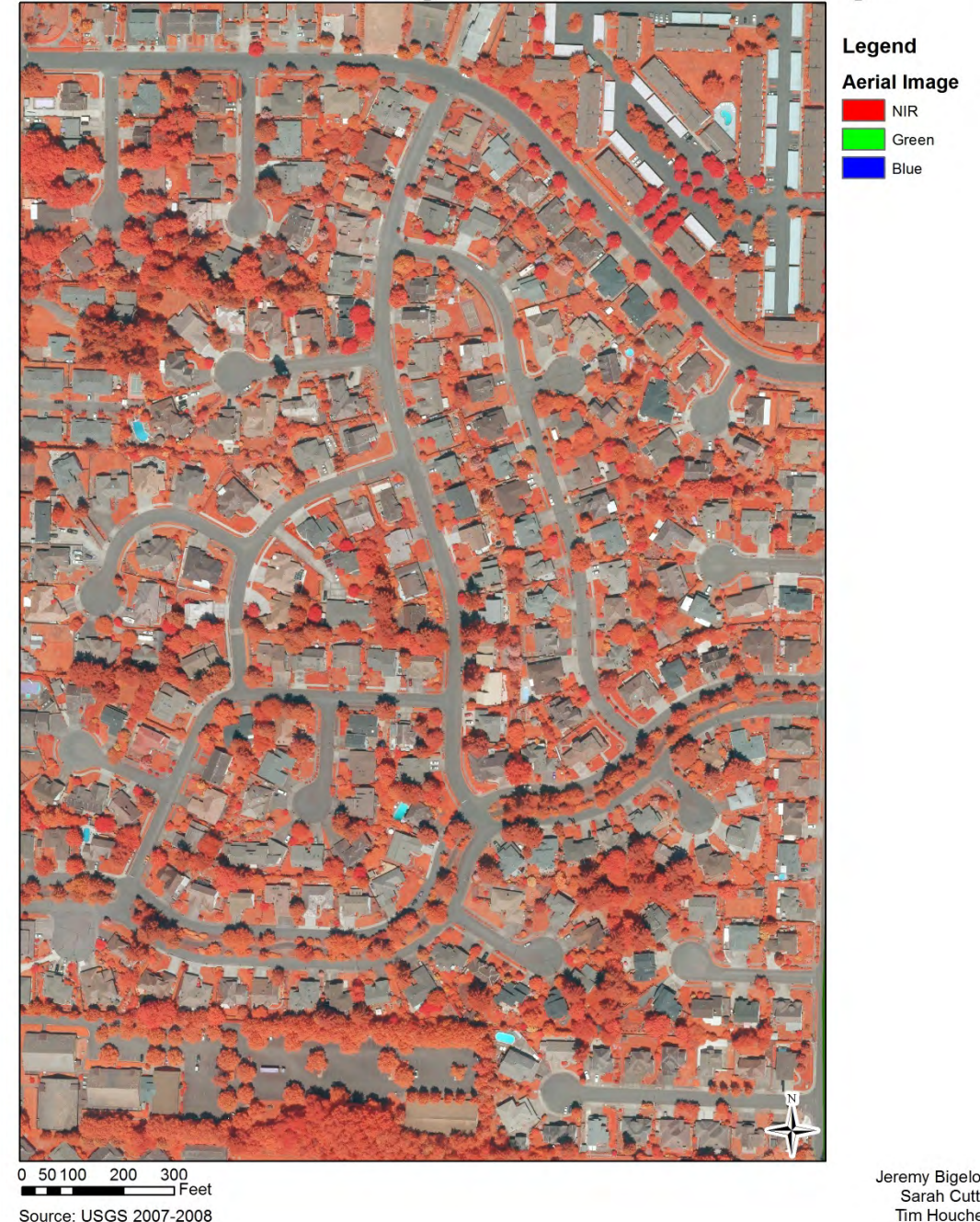
Vegetation Feature Extraction

- High Resolution 4 band aerial image
- Load band 1 & band 4
- Raster calculator

$\text{float (band 4 - band 1) / float (band 4 + band 1) = NDVI}$

- Determine threshold of NDVI values for vegetation vs non-vegetation

Near Infrared High-Res Ortho Image



Vegetation Layer Derived from NDVI



0 50 100 200 300
Feet

Source: USGS 2007-2008

Jeremy Bigelow
Sarah Cutts
Tim Houchen

Methods

Vegetation Feature Extraction

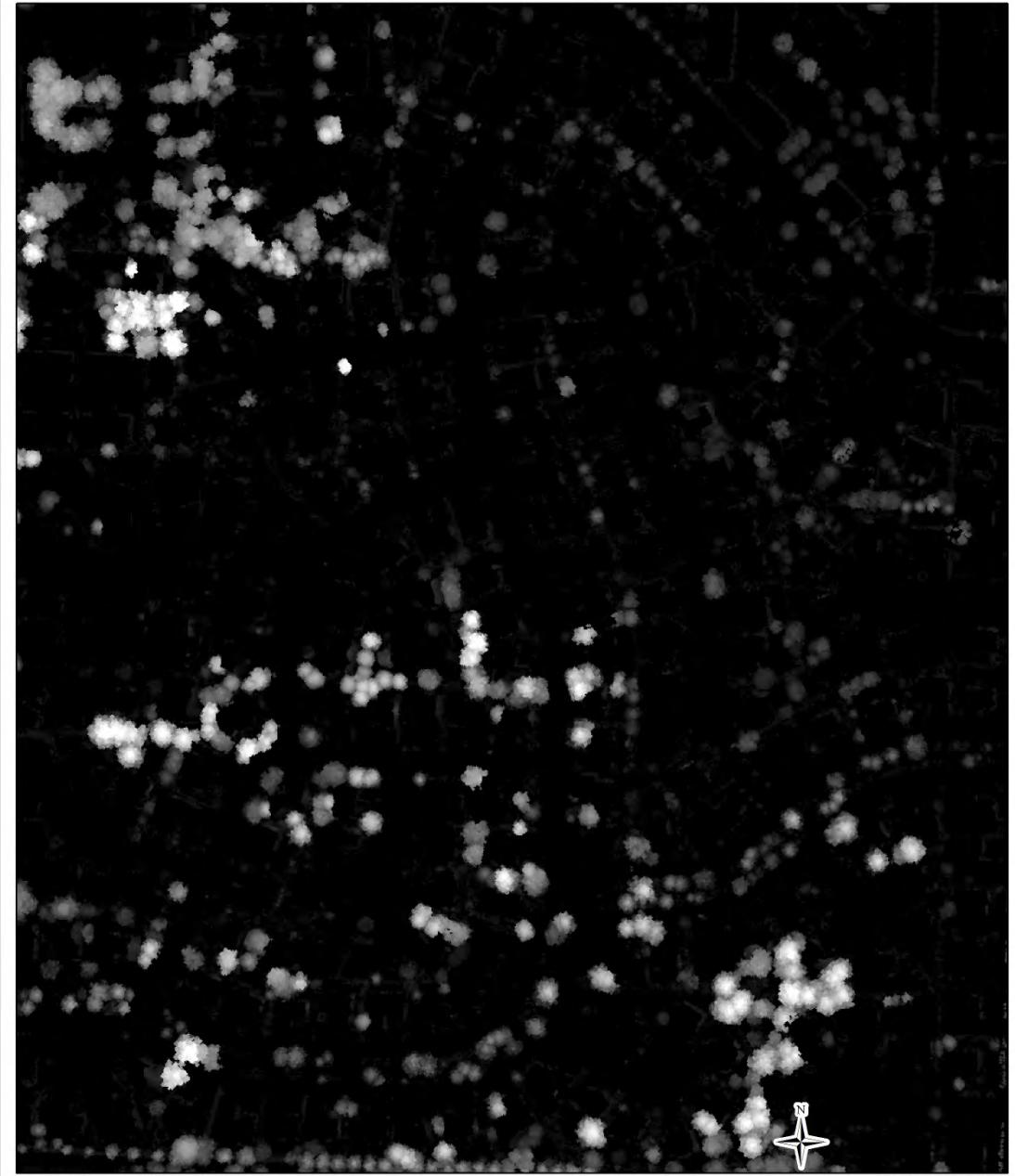
- Use set threshold with Conditional tool to extract vegetation layer
- Assign value of 1 to vegetation, and 0 to non-vegetation
- Raster Calculator
 $\text{Vegetation Layer} * \text{Feature Height} = \text{Vegetation Only Feature Height}$

Methods

Tree Canopy Delineation

- Smoothed vegetation layer using Focal Statistics
- Creates a more continuous surface

Smoothed Vegetation



0 50 100 200 300 Feet

Source: USGS 2007-2008

Jeremy Bigelow
Sarah Cutts
Tim Houchen

Methods

Tree Canopy Delineation

- Low lying vegetation was removed
- Tree only layer was inverted

Vegetation Over 10 Feet



Methods

Tree Canopy Delineation

- Found Sinks (tree tops)
- Tree tops became pour points
- Fill sinks

Tree Peaks



0 50 100 200 300 Feet

Source: USGS 2007-2008

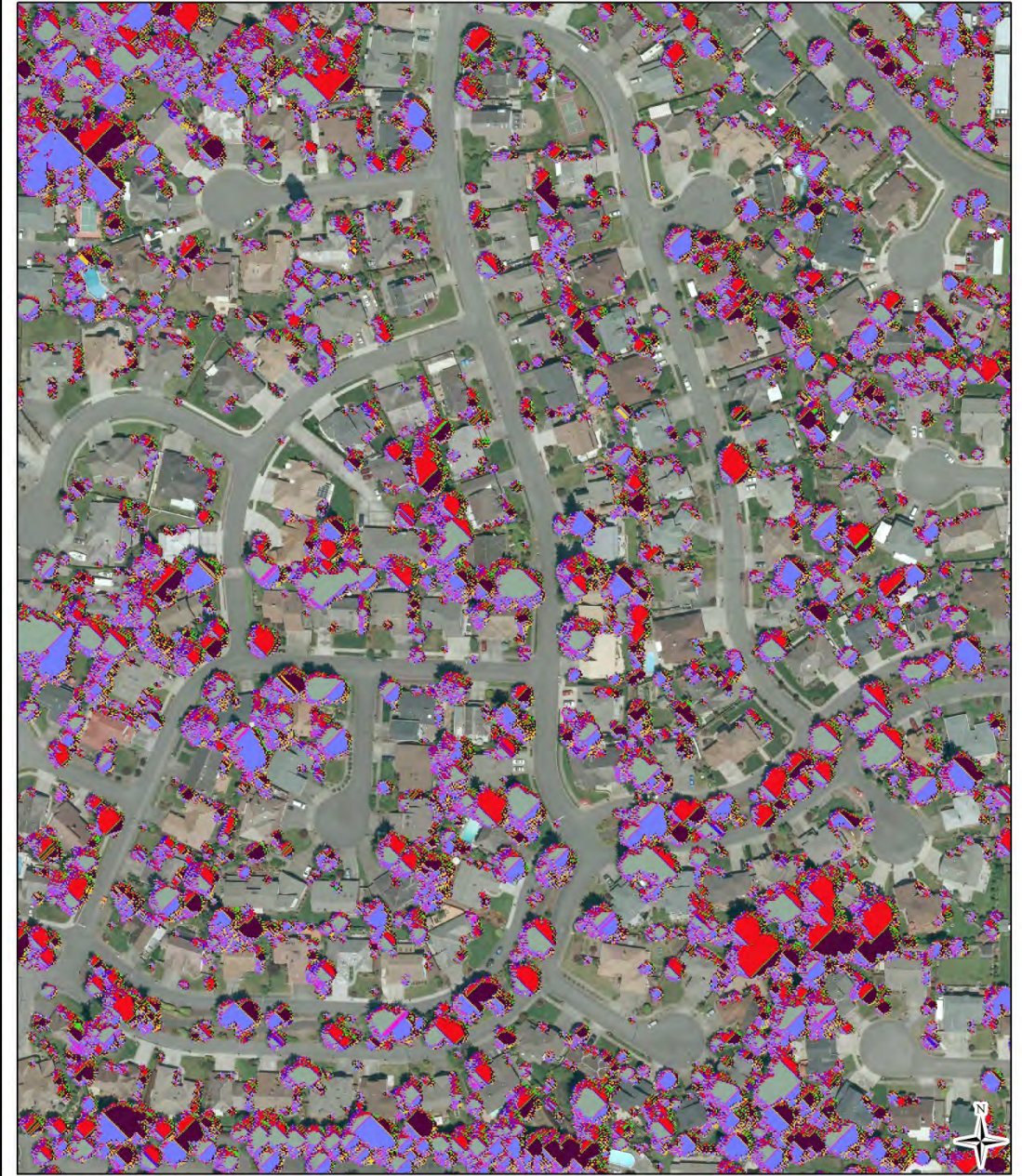
Jeremy Bigelow
Sarah Cutts
Tim Houchen

Methods

Tree Canopy Delineation

- Flow direction
- Flow accumulation

Flow Dircetion



Source: USGS 2007-2008

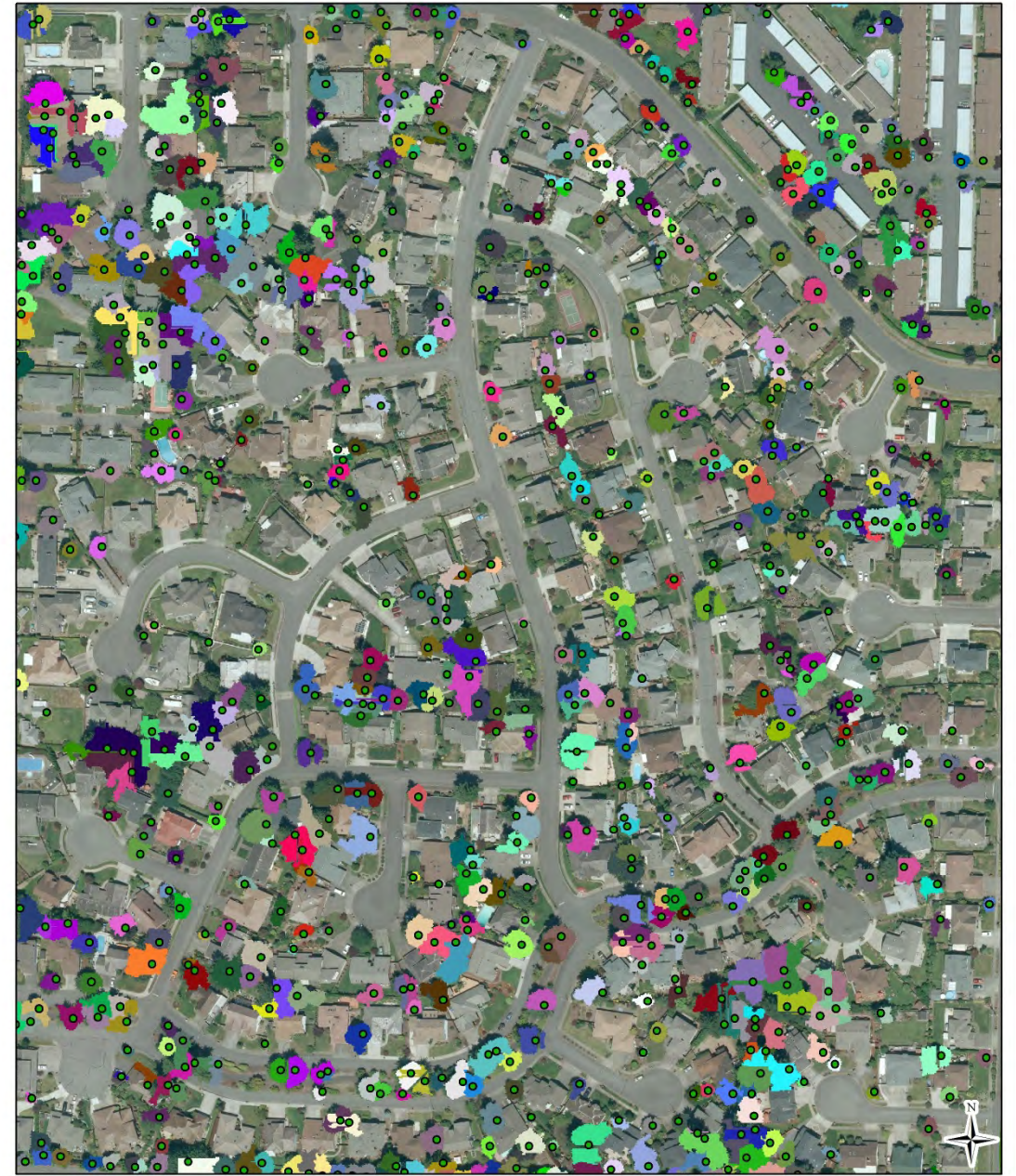
Jeremy Bigelow
Sarah Cutts
Tim Houchen

Methods

Tree Canopy Delineation

- Snap to Pour Points
- Snapped Pour Points and Flow Direction used to create “watersheds”

Tree Crown "Watersheds"



0 50 100 200 300
Feet

Source: USGS 2007-2008

Jeremy Bigelow
Sarah Cutts
Tim Houchen

Methods

Tree

Canopy

Delineation

- Tree Crowns Converted to polygons
- Counted Polygons
- Compared to tree peak count

Methods

Geometric Tree Classification

- Clipped Feature Heights raster to Snap Pour Points polygon layer
- Removed non-tree vegetation
- Executed Spatial Join of remaining points to polygons
- Selected Sample

Clip Vegetation Feature Heights to Snap Pour Points Polygons



0 30 60 120 180 240 Feet

Source USGS 2007-08

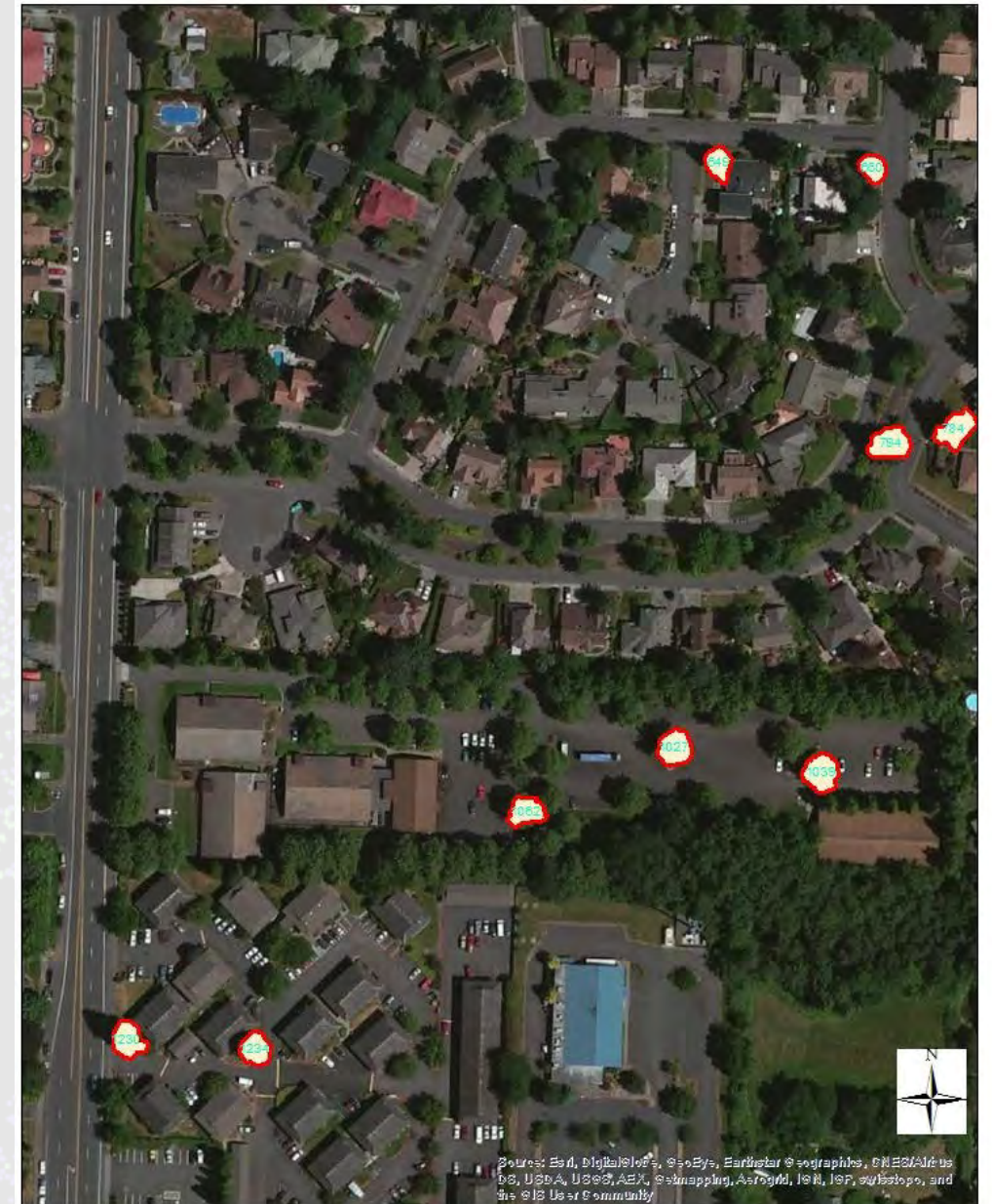
Jeremy Bigelow
Sara Cutts
Tim Houchen

Methods

Geometric Tree Classification

- Calculated Vertical point Distribution
- Delineated base to top crown height
- Allocated points to deciles, etc. (cumulative)
- Calculated polygon geometry

Classification Sample Trees



0 30 60 120 180 240
Feet
Source USGS 2007-08

Jeremy Bigelow
Sara Cutts
Tim Houchen

Results

Feature Extraction and Height

Number of trees:

1121

Tallest Tree Feature:

126.8 Feet

Mean Tree Height:

44.8 Feet

Median Tree Height:

57.4 feet

Mode Tree Height:

22 feet

Standard Deviation:

22.7 feet

Delineated Tree Crowns



Results

Classification

- **Differences in LiDAR point density:** Inadequate to distinguish coniferous from deciduous trees

	Deciduous Average	Conifer Average	Student' s t p- value
Top 10 pct.	0.1689	0.2780	0.7572
Top 20 pct.	0.2784	0.3928	0.9761
Top 25 pct.	0.3642	0.3814	0.6352
Top 30 pct.	0.4313	0.3759	0.4180
Top Third	0.4693	0.4365	0.5266
Top 40 pct.	0.5537	0.4527	0.3666

Results Classification

- **Differences in Height to Area Ratios** Significant, even in this small sample

Coniferous/ Deciduous Ratio	Student's t p- value
1.8417	0.00001923

Tree	Height to Area Ratio	
	Coniferous	Deciduous
649	0.0668	
650		0.0239
669	0.0665	
784	0.0586	
794	0.0554	
1027		0.0310
1039		0.0316
1062		0.0403
1230	0.0587	
1234		0.0324
1368		0.0403
Average	0.0612	0.0332

Recommendations

- **Tree Climbing Algorithm** - A treetop detection algorithm to determine highest points of individual trees
- **Donut Expanding and Sliding Method** - A tree crown delineation algorithm used to determine estimated tree crown size and shape
- **Leaf Off Conditions** – Collect LiDAR data at leaf off and classify based on point intensity
- **Discriminant Analysis** -- A generalized classification tool, permits analyzing several attributes depending on species, canopy type, and other factors

Sources

Brandtberg, T., (1999). Automatic Individual Tree-based Analysis of High Spatial Resolution Remotely Sensed Data. Ph.D. dissertation, Acta Universitatis, Agriculturae Sueciae, Silvestria 118, Swedish University of Agricultural Sciences, Uppsala, Sweden.

Chen, Q., Baldocchi, D., Gong, P., & Kelly, M. (2006). Isolating Individual Trees in a Savanna Woodland Using Small Footprint Lidar Data. *Photogrammetric Engineering & Remote Sensing*, 72(5), 923-932.

Kaartinen, H., et al., An International Comparison of Individual Tree Detection and Extraction Using Airborne Laser Scanning, *Remote Sens.* 2012, 4, 950-974; doi:10.3390/rs4040950.

Kim, Sooyoung, T. Hinckley, D. Briggs, Classifying Tree species Using Structure and Spectral Data from LiDAR, *ASPRS/MAPPS 2009 Specialty Conference*, 2009, San Antonio, Texas

Koch, B., Heyder, U., & Weinacker, H. (2006). Detection of Individual Tree Crowns in Airborne Lidar Data. *Photogrammetric Engineering & Remote Sensing*, 72(3), 357-363.

Kwak, D., Lee, W., Lee, J., Biging, G., & Gong, P. (2007). Detection of individual trees and estimation of tree height using LiDAR data. *J For Res Journal of Forest Research*, (12), 425-434.

Sources

Luong, K. (2014). Tree Crown Delineation Using Watershed Techniques and Forest Metrics from NEON LiDAR Data American Geophysical Union, Fall Meeting 2014, abstract #B51C-0047.

Persson, Å., J. Holmgren, & U. Södermann, 2002. Detecting and measuring individual trees using an airborne laser scanner, Photogrammetric. Engineering & Remote Sensing, 68(9):925–932.

Suárez, J., Ontiveros, C., Smith, S., & Snape, S. (2005). Use of airborne LiDAR and aerial photography in the estimation of individual tree heights in forestry. Computers & Geosciences, 31(2), 253-262

Tyrväinen, L. (n.d.). Economic valuation of urban forest benefits in Finland. Journal of Environmental Management, 75-92.

Zhang, Calyun. Qiu, Fang. *Mapping Individual Tree Species in an Urban Froest Using Airborne Lidar Data and Hyperspectral Imagery.*

