Fuller, D.O., Williamson. R., Jeffe, M., and James, D. 2003.

Multi-criteria evaluation of safety and risks along transportation corridors on the Hopi Reservation.

Applied Geography, 23 (2-3): 177-188.

#### Background

- Objectives:
  - to evaluate crash risk models
  - (To predict crash risk along transportation corridors)
- · Risk factors:
  - Natural hazards
  - Terrain
  - Road conditions
- Criteria for the Hopi risk model
  - Slope steepness
  - Proximity to culverts
  - Proximity to intersections
  - Road curvature (sinuosity)
  - Proximity to washes

#### Method

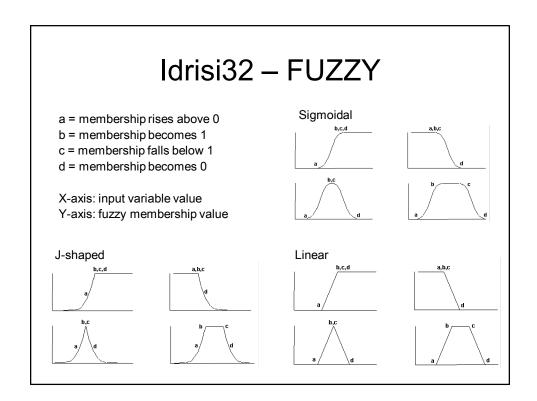
- Create 11 predicted crash risk maps (i.e., 11 risk models)
- Evaluate the predicted risk
  - Compare risk scores of 135 non-crash versus
     67 crash sites
  - t-test

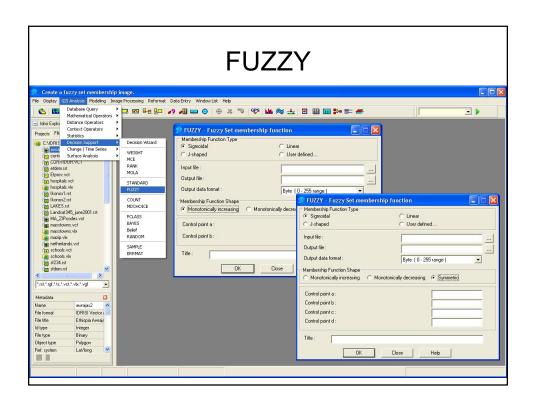
### idrisi32

- MCE
  - Overlays layers to create a suitability map based on standardized factors, factor weights, and/or constraints.
- FUZZY
  - Converts constraints to factors by evaluating the possibility that each pixel belongs to a fuzzy set based on a fuzzy set membership function.
- SAMPLE
  - Creates points using random, systematic, or stratified random sampling scheme.

## **MCE**

- Slope (from 10m DEM)
- Proximity to culverts (from DOQQ)
- Proximity to intersections (from DOQQ)
- Sinuosity (Count from rasterized road layer)
- Proximity to washes (from DEM)





## Standardized Risk Factors

	0 % risk (0)	100% risk (255)
Slope	< 10%	> 25%
Proximity	< 30 m	< 10 m
Sinuosity	?	?

# Factor Weights

#### **Risk Models**

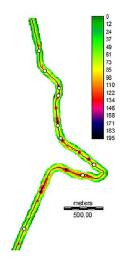
Table 1 MCE composite risk maps with normally distributed scores (values from 0-255). Test 4J is shown in Fig. 3

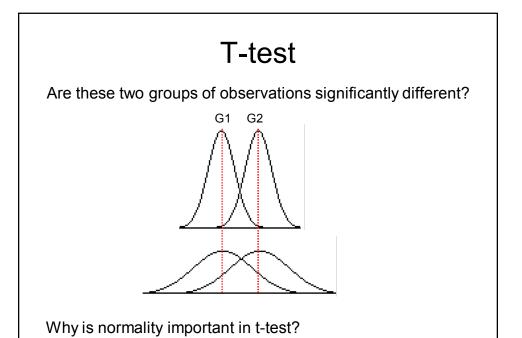
MCE test	Layers involved	Fuzzy functions	Factor weights
1J	1; 2; 3; 4; 5	L,L,L,L,J	0.2; 0.2; 0.2; 0.2; 0.2
1L	1; 2; 3; 4; 6	L,L,L,L,L	0.2; 0.2; 0.2; 0.2; 0.2
2J	1; 2; 3; 4; 5	L,L,L,L,J	0.25; 0.15; 0.1; 0.2; 0.3
3J	1; 2; 3; 4; 5	L,L,L,L,J	0.3; 0.2; 0.15, 0.25; 0.1
3L	1; 2; 3; 4; 6	L,L,L,L,L	0.3; 0.2; 0.15, 0.25; 0.1
4J	1; 2; 3; 4; 5	L,L,L,L,J	0.1; 0.25; 0.2; 0.3; 0.15
4L	1; 2; 3; 4; 6	L,L,L,L,L	0.1; 0.25; 0.2; 0.3; 0.15
6J	1; 2; 3; 4; 5	L,L,L,L,J	0.2; 0.1; 0.3; 0.15; 0.25
11	1; 3; 4; 5	L,L,L,J	0.25; 0.25, 0.25, 0.25
14	1; 3; 4; 5	L,L,L,J	0.2; 0.3; 0.3; 0.2
18	1; 3; 4; 5	L,L,L,J	0.1; 0.4; 0.4; 0.1

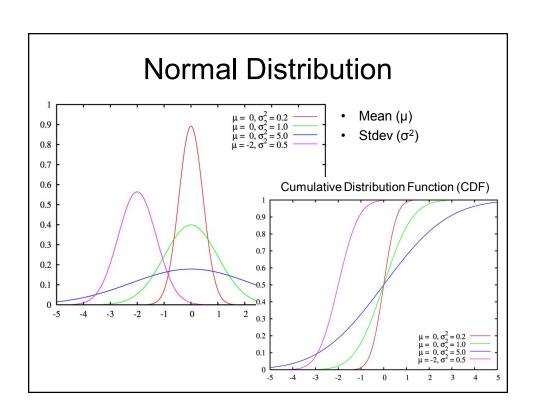
L, linear function; J, J-shaped function; 1, slope steepness; 2, proximity to culverts; 3, proximity to intersections; 4, curvature; 5, proximity to washes (J-shaped function); 6, proximity to washes (linear function).

## Which Model is the Best?

- 135 non-crash sites and 67 crash sites
- Are the predicted risk scores significantly different between crash and non-crash sites?







# **Test for Normality**

- Statistic
  - Kolmogorov-Smirnov (K-S)
  - Lilifors
  - Shapiro-Wilks
- Visual
  - DF (histogram) / CDF
  - Stemplot
  - QQ Plot

# Stem-and-leaf Plot

<u></u>				
Writing the data in numerical order may help to organize the data, but is NOT a required step. Ordering can be done later.	35, 36, 38, 40, 42, 42, 44, 45, 45, 47, 48, 49, 50, 50, 50			
Separate each number into a stem and a leaf. Since these are two digit numbers, the tens digit is the stem and the units digit is the leaf.	The nun	nber 38 Ste	would be represented the world be represented to the world be represented by the world by the w	ented as
Group the numbers with the same stems. List the stems in numerical order. (If your leaf values are not in increasing order, order them now.) Title the graph.		100	Test Scores at of 50 pts) Leaf 568 02245578 9	
Prepare an appropriate legend (key) for the graph.	L	_egend:	3   6 means 36	5

#### Results

- More important factors:
  - Proximity to intersection
  - Road sinuosity (+ slope)

Table 2
T-tests showing the *t*-statistic and *p*-value for normally distributed MCE test data

MCE test	Route number	t-statistic	<i>p</i> -value
1L	17	-2.44	0.016*
1J	17	-2.29	0.020*
2J	17	-1.94	0.054
3J	17	-2.02	0.045*
3L	17	-2.05	0.041*
4J	17	-3.11	0.002*
4L	17	-3.16	0.019*
6J	17	-2.42	$0.017^*$
11	264	0.60	0.548
14	264	1.35	0.180
18	264	3.18	$0.002^{*}$

<sup>\*</sup>Indicates statistical significance at 95%.

#### **Risk Models**

Table 1 MCE composite risk maps with normally distributed scores (values from 0-255). Test 4J is shown in Fig. 3

MCE test	Layers involved	Fuzzy functions	Factor weights	<i>p</i> -value
1J	1; 2; 3; 4; 5	L,L,L,L,J	0.2; 0.2; 0.2; 0.2; 0.2	0.016*
1L	1; 2; 3; 4; 6	L,L,L,L,L	0.2; 0.2; 0.2; 0.2; 0.2	$0.020^{*}$
2J	1; 2; 3; 4; 5	L,L,L,L,J	0.25; 0.15; 0.1; 0.2; 0.3	0.054
3J	1; 2; 3; 4; 5	L,L,L,L,J	0.3; 0.2; 0.15, 0.25; 0.1	$0.045^*$
3L	1; 2; 3; 4; 6	L,L,L,L,L	0.3; 0.2; 0.15, 0.25; 0.1	0.041*
4J	1; 2; 3; 4; 5	L,L,L,L,J	0.1; 0.25; 0.2; 0.3; 0.15	$0.002^*$
4L	1; 2; 3; 4; 6	L,L,L,L,L	0.1; 0.25; 0.2; 0.3; 0.15	$0.019^*$
6J	1; 2; 3; 4; 5	L,L,L,L,J	0.2; 0.1; 0.3; 0.15; 0.25	$0.017^*$
11	1; 3; 4; 5	L,L,L,J	0.25; 0.25, 0.25, 0.25	0.548
14	1; 3; 4; 5	L,L,L,J	0.2; 0.3; 0.3; 0.2	0.180
18	1; 3; 4; 5	L,L,L,J	0.1; 0.4; 0.4; 0.1	$0.002^*$

L, linear function; J, J-shaped function; 1, slope steepness; 2, proximity to culverts; 3, proximity to intersections; 4, curvature; 5, proximity to washes (J-shaped function); 6, proximity to washes (linear function).

#### Comments

- The paper is not well written
- GIS for explanation/model validation
- Use the presented method to find the optimal factor weights

Gemitzi, Tsihrintzis, Voudrias, Petalas, & Stravodimos 2007

Combining GIS, multicriteria evaluation techniques and fuzzy logic in siting MSW landfills

Environmental Geology, 51: 797-811.

### Background

- Multi-criteria decision considerations
  - Exclusionary constraints & non-exclusionary factors
  - Factor scores and weights
  - Manage uncertainty in decision
- Case study
  - Identifying the best sites for Municipal Solid Waste (MSW) landfills
  - Constraints (exclusionary criteria)
  - Environmental & socioeconomic factors (nonexclusionary criteria)

#### Methods

- Convert variables to fuzzy membership
- Do AHP to calculate factor weights
- Use order weights to adjust level of tradeoff (risk) of the decision

#### **Decision Criteria**

#### Constraints

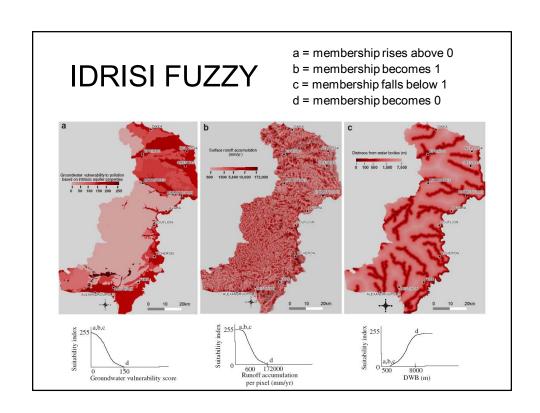
- Residential area
- Land uses
- Highways & railways
- Environmental protected areas
- Important aquifers
- Surface water bodies
- Springs and wells
- Exceptional geological conditions
- Distance from country borders & coastline

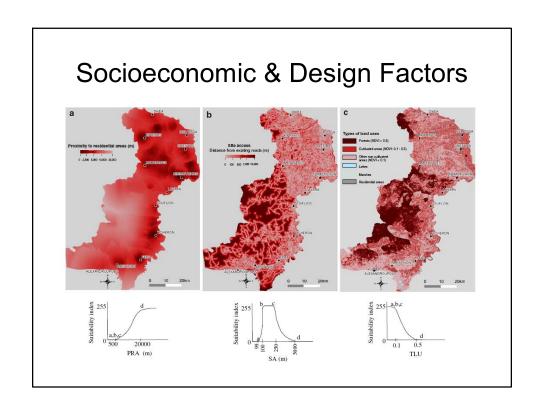
#### **Environmental Factors**

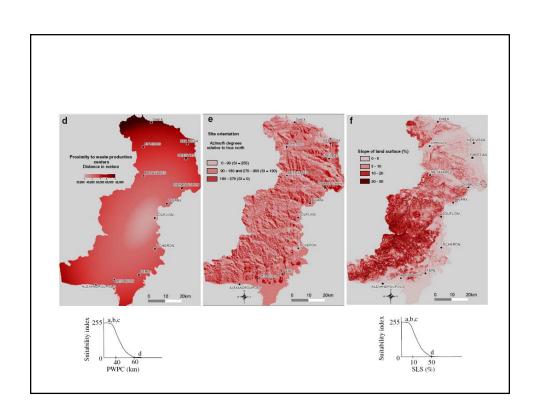
- Hydrogeology
- Hydrology
- Distance from water bodies

#### Socioeconomic & design factors

- Proximity to residential areas
- Site access
- Type of land use
- Proximity to waste production centers
- Site orientation
- Slope of land surface







# **Determining Factor Weights**

- Assigned directly
- Analytical hierarchy process (AHP)

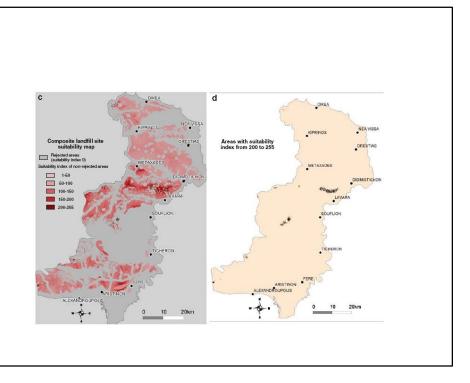
# Analytic Hierarchy Process (AHP) (Saaty 1980)

Pairwise comparisons: To determine the weights for A, B, C

How important is A relative to B?	Preference index assigned
Equally important	1
Moderately more important	3
Strongly more important	5
Very strongly more important	7
Overwhelmingly more important	9

	Α	В	O
Α	1	5	9
В	1/5	1	3
С	1/9	1/3	1

Criterion	Geometric mean	Weight
Α	$(1*5*9)^{1/3} = 3.5569$	0.751
В	(1/5*1*3) <sup>1/3</sup> = 0.8434	0.178
С	$(1/9*1/3*1)^{1/3} = 0.3333$	0.071
Sum	4.7337	1



# Ordered Weighted Average (OWA)

- OWA considers the risk of making a (wrong) decision.
- The risk of a decision is not the same as the risk of, say, ground water contamination given a certain hydrogeological condition.
- The risk of a decision refers to the consequence of making a bad decision (i.e., pick the wrong site for a landfill).
- If you want to reduce the risk of a decision, then you need to be more conservative in making a decision, that is, if one of the factors has a very low score (i.e., less suitable), regardless how high the scores of the other factors are, you should consider the site is not suitable. The site might have a satisfactory averaged score with the LWC method.

## Multi-Criteria Evaluation

- 1. Boolean Intersection
  - Applied on constraints
  - AND, OR
- 2. Weighted Linear Combination
  - Sum of scores multiplied by factor weights
  - Allows full trade-off among factors
- 3. Ordered Weighted Average
  - · Allows different levels of trade-off

Factor scores: [174, 187, 201]

Oı	Result		
Min (1)	(2)	Max (3)	
1.00	0.00	0.00	174
0.90	0.10	0.00	175
0.80	0.20	0.00	177
0.70	0.20	0.10	179
0.50	0.30	0.20	183
0.40	0.30	0.30	186
0.33	0.33	0.33	187
0.30	0.30	0.40	189
0.20	0.30	0.50	191
0.10	0.20	0.70	196
0.00	0.20	0.80	198
0.00	0.10	0.90	200
0.00	0.00	1.00	201

# Ordered Weighted Average

Table 2 Example of order weight assignment

Moderate level of risk –	moderate strict de	ecision – full trad	le off	
Factors	HDG	HGR	DWB	
Order weights	0.33	0.33	0.33	= WLC
Rank	1st	2nd	3rd	WEO
Low Level of Risk - very	strict decision -	no trade off		
Factors	HDG	HGR	DWB	N AIN I
Order weights	1	0	0	~ MIN
Rank	1st	2nd	3rd	
High Level of Risk - no	strict decision - 1	no trade off		
Factors	HDG	HGR	DWB	~ MAX
Order weights	0	0	1	
Rank	1st	2nd	3rd	

# Implementing Ordered Weighted Average in ArcGIS

#### Raster Calculator

```
r1 = rank(1, [factor1], [factor2], [factor3])
```

owavg = 
$$[r1] * 0.5 + [r2] * 0.3 + [r3] * 0.2$$

# MCE Example: Land Slide

	WLC		Min	Max	OWA
Soil Type	0.1	Rank1	1	0	0
Vegetation	0.3	Rank2	0	0	0.4
Slope	0.6	Rank3	0	1	0.6

Factor Scores: 0 – 100; 100 has the highest risk

	Site A	Site B	Site C	Site D	Site E	Site F	Site G
Soil Type	90	10	50	80	50	90	10
Vegetation	10	10	50	80	70	70	10
Slope	10	90	50	80	90	50	10
WLC							
Min							
Max							
OWA							

	Site A	Site B	Site C	Site D	Site E	Site F	Site G
Soil Type	90	10	50	80	50	90	10
Vegetation	10	10	50	80	70	70	10
Slope	10	90	50	80	90	50	10
WLC	18	58	50	80	80	60	10
Min	10	10	50	80	50	50	10
Max	90	90	50	80	90	90	10
OWA	58	58	50	80	82	82	10