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q-analogs

Permutation statistics

Bases for  $\mathbb{F}$ 

Harmonics

Ordered set partitions

# q-analogs of factorials and Fubini numbers

Andy Wilson

Portland State University

January 13, 2020





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#### q-analogs

- Permutation statistics
- Bases for  $\mathbb{F}_{0}^{t}$
- Harmonics
- Ordered set partitions

- More structure = good!
- For example,
  - $\ensuremath{\mathbb{Z}}$  as a set

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This process is sometimes called "categorification."

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## A q-analog is a "categorified number:"

 $\mathbb{N} \longrightarrow \mathbb{N}[q]$ 

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## A q-analog is a "categorified number:"

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### Definition

A *q*-analog of  $N \in \mathbb{N}$  is a polynomial  $f(q) \in \mathbb{N}[q]$  such that

f(1) = N, and
 f(q) carries some "extra information."

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• We'll see many of examples of what (2) can mean.

# An example *q*-analog: $[n]_q!$

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## Definition

The classical q-analog of  $n! = n \cdot (n-1) \cdot \ldots \cdot 2 \cdot 1$  is

$$[n]_q! = [n]_q[n-1]_q \dots [2]_q[1]_q$$

### where

$$[k]_q = 1 + q + \ldots + q^{k-1} = \frac{q^k - 1}{q - 1}$$

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For example,

$$[3]_q = (1+q+q^2)(1+q)(1) = 1+2q+2q^2+q^3.$$

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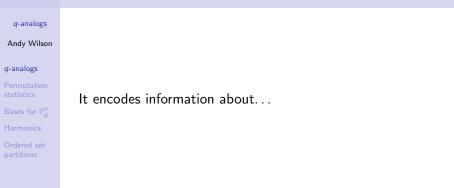
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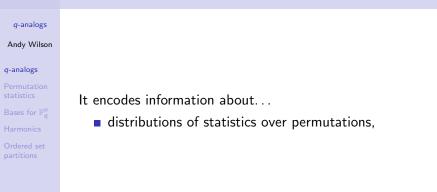
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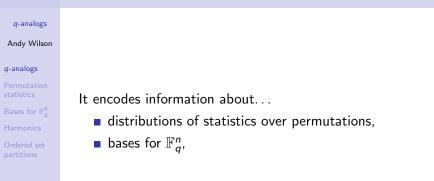
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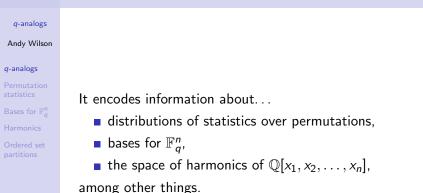
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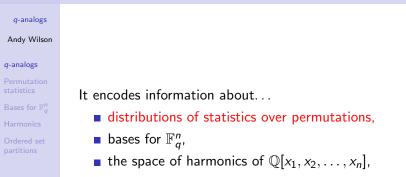
• Clearly  $q \rightarrow 1$  recovers n!, so (1) is satisfied.











among other things.

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### Definition

The symmetric group of n symbols is

$$\mathfrak{S}_n = \{ \text{bijections } \sigma : \{1, 2, \dots, n\} \rightarrow \{1, 2, \dots, n\} \}$$

and elements  $\sigma \in \mathfrak{S}_n$  are *permutations*.

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 $\bullet |\mathfrak{S}_n| = n!$ 

• Often written in one-line notation.

• E.g.  $\sigma = 52413$  means  $\sigma(1) = 5$ ,  $\sigma(2) = 2$ ,  $\sigma(3) = 4$ , ....

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Permutation statistics

Bases for  $\mathbb{F}'_{c}$ 

Harmonics

Ordered set partitions • A *permutation statistic* is an assignment of a number to every permutation  $\sigma \in \mathfrak{S}_n$ .

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## Permutation statistics

Bases for  $\mathbb{F}'_{\alpha}$ 

Harmonics

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Often valuable to know the distribution of a statistic

• i.e. how many  $\sigma$  get assigned the number k.

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## Permutation statistics

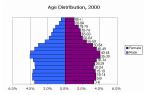
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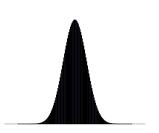
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Permutation statistics

Bases for  $\mathbb{F}_q^n$ 

Harmonics

Ordered set partitions

## Definition

For  $\sigma \in \mathfrak{S}_n$ , the *inversion number* of  $\sigma$  is

$$\mathsf{inv}(\sigma) = \#\{(i,j) : 1 \le i < j \le n, \sigma(i) > \sigma(j)\}$$

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■ For example, inv(526413) = 9.

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 inv(σ) also gives the number of adjacent transpositions required to sort σ to the identity permutation.

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- For example, inv(526413) = 9.
- inv(σ) also gives the number of adjacent transpositions required to sort σ to the identity permutation.
- How many  $\sigma \in \mathfrak{S}_n$  have  $inv(\sigma) = k$  for fixed k?



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### Theorem

 $\sum q^{\mathsf{inv}(\sigma)} = [n]_q!$  $\sigma \in \mathfrak{S}_n$ 

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### Theorem

$$\sum_{\sigma\in\mathfrak{S}_n}q^{\mathsf{inv}(\sigma)}=[n]_q!$$

• Check for n = 3:

$$inv(123) = 0$$
  $inv(213) = 1$   $inv(312) = 2$   
 $inv(132) = 1$   $inv(231) = 2$   $inv(321) = 3$   
and  $[3]_q! = 1 + 2q + 2q^2 + q^3$ .

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$$8 \mapsto \ 5 \ 2 \ 3 \ 1 \ 4 \ 7 \ 6$$

## Another permutation statistic

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# Permutation statistics

Bases for  $\mathbb{F}_{0}^{2}$ 

Harmonics

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### Definition [Mac15]

The major index of  $\sigma \in S_n$  is

$$\operatorname{maj}(\sigma) = \sum_{i:\sigma(i) > \sigma(i+1)} i.$$

## Another permutation statistic



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### Definition [Mac15]

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### Permutation statistics Bases for $\mathbb{F}_q^n$

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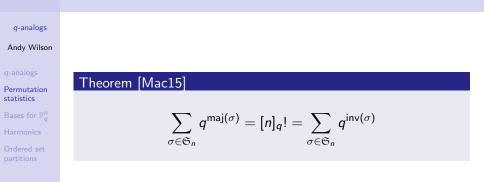
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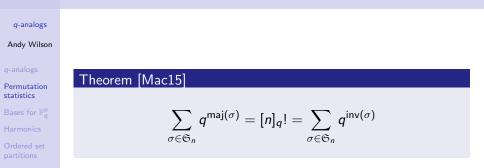
$$\operatorname{maj}(\sigma) = \sum_{i:\sigma(i) > \sigma(i+1)} i.$$

- For example, maj(526413) = 1 + 3 + 4 = 8.
- Major index depends only on the *descent set* of  $\sigma$ .

# MacMahon's Theorem

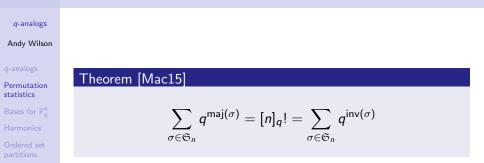


# MacMahon's Theorem



Bijective proofs by Foata [Foa68], Carlitz [Car75].

## MacMahon's Theorem



Bijective proofs by Foata [Foa68], Carlitz [Car75].
 Exactly one place to insert an n that increases maj by k.

## MacMahon's Theorem



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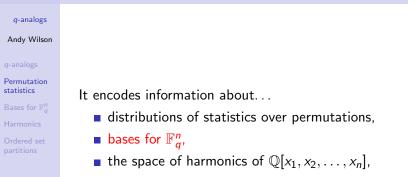
Ordered set partitions

## Theorem [Mac15]

$$\sum_{\sigma \in \mathfrak{S}_n} q^{\mathsf{maj}(\sigma)} = [n]_q! = \sum_{\sigma \in \mathfrak{S}_n} q^{\mathsf{inv}(\sigma)}$$

- Bijective proofs by Foata [Foa68], Carlitz [Car75].
  - Exactly one place to insert an n that increases maj by k.
- Many other permutation statistics share this distribution.

# Why is $[n]_q!$ the "correct" *q*-analog for n!?



among other things.

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Permutation statistics

Bases for  $\mathbb{F}_q^n$ 

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Ordered set partitions • For q a prime power, consider the vector space  $\mathbb{F}_q^n$ .

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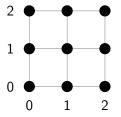
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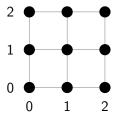
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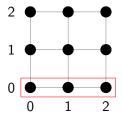
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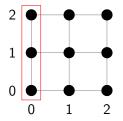
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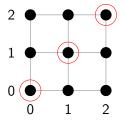
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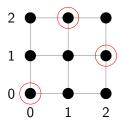
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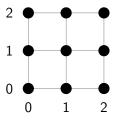
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  - (<sup>4</sup><sub>2</sub>) = 6 bases (from linearly independent lines through the origin)



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## Theorem

The number of different bases for  $\mathbb{F}_q^n$  is

$$\frac{q^{\binom{n}{2}}[n]_q!}{n!}.$$

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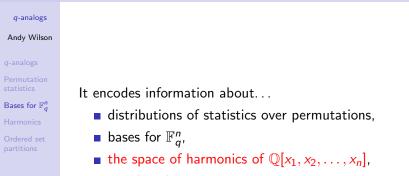
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Divide by n! to "unorder" the bases.

# Why is $[n]_q!$ the "correct" *q*-analog for n!?



among other things.

q-analogs	We work in the multivariate polynomial ring $\mathbb{Q}[x_1, x_2, \ldots, x_n]$ .
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Ordered set partitions We work in the multivariate polynomial ring  $\mathbb{Q}[x_1, x_2, \ldots, x_n]$ .

## Definition

The Vandermonde matrix is the matrix

$$M_{n} = \left[x_{i}^{j-1}\right]_{i,j=1}^{n} = \begin{bmatrix} 1 & x_{1} & x_{1}^{2} & \dots & x_{1}^{n-1} \\ 1 & x_{2} & x_{2}^{2} & \dots & x_{2}^{n-1} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & x_{n} & x_{n}^{2} & \dots & x_{n}^{n-1}. \end{bmatrix}$$

Its determinant is the Vandermonde determinant, written  $\delta_n$ .

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•  $M_n$  appears in polynomial interpolation.

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Bases for  $\mathbb{F}_{0}^{1}$ 

Harmonics

Ordered set partitions We work in the multivariate polynomial ring  $\mathbb{Q}[x_1, x_2, \dots, x_n]$ .

## Definition

The Vandermonde matrix is the matrix

$$M_{n} = \left[x_{i}^{j-1}\right]_{i,j=1}^{n} = \begin{bmatrix} 1 & x_{1} & x_{1}^{2} & \dots & x_{1}^{n-1} \\ 1 & x_{2} & x_{2}^{2} & \dots & x_{2}^{n-1} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & x_{n} & x_{n}^{2} & \dots & x_{n}^{n-1} \end{bmatrix}$$

Its determinant is the Vandermonde determinant, written  $\delta_n$ .

•  $M_n$  appears in polynomial interpolation.

•  $\delta_n$  can also be written as

$$\delta_n = \prod_{1 \le i < j \le n} (x_j - x_i).$$

#### q-analogs

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## Definition

The (type A) harmonic space  $\mathbf{H}_n$  is the vector space spanned by all partial derivatives of the Vandermonde determinant  $\delta_n$ .

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For example,

$$\delta_3 = \begin{vmatrix} 1 & x_1 & x_1^2 \\ 1 & x_2 & x_2^2 \\ 1 & x_3 & x_3^2 \end{vmatrix}$$

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so this polynomial is in  $H_3$ .

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$$\partial_{x_3}(\delta_3) = (x_2 - x_1)(x_3 - x_2) + (x_2 - x_1)(x_3 - x_1).$$



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Ordered set partitions • Why study the harmonic space?

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## Harmonics

Ordered set partitions

- Why study the harmonic space?
- $\mathfrak{S}_n$  acts on  $f \in \mathbb{Q}[x_1, x_2, \dots, x_n]$  by permuting variables, e.g.

$$213 \cdot \left(x_1^2 x_3 - x_2\right) = x_2^2 x_3 - x_1.$$

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## Harmonics

Ordered set partitions

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• *f* is symmetric if  $\sigma \cdot f = f$  for every  $\sigma \in \mathfrak{S}_n$ .

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- H<sub>n</sub> is isomorphic (as a graded S<sub>n</sub> module) to the coinvariant ring:

$$\frac{\mathbb{Q}[x_1, x_2, \dots, x_n]}{\langle f \text{ symmetric with no constant term} \rangle}$$

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■ This "decomposes" Q[x<sub>1</sub>, x<sub>2</sub>,..., x<sub>n</sub>] into a symmetric (invariant) piece and a coinvariant piece.

# Grading by degree



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Ordered set partitions **H**<sub>n</sub> can be decomposed by degree into

$$\mathbf{H}_n = \bigoplus_{d \ge 0} \mathbf{H}_n^{(d)}.$$

# Grading by degree



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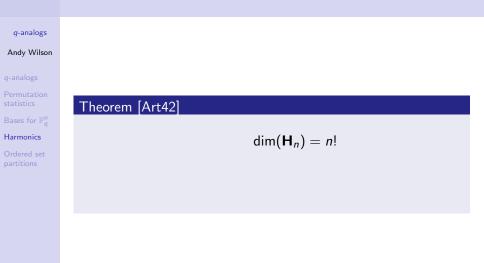
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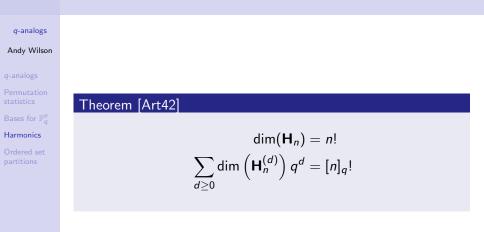
For example,

$$\delta_3 \in \mathbf{H}_3^{(3)} \qquad \partial_{x_3}(\delta_3) \in \mathbf{H}_3^{(2)}.$$

## Dimension and graded dimension



## Dimension and graded dimension



# Bases for $\mathbf{H}_n$

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Harmonics

Ordered set partitions An example basis for **H**<sub>3</sub>:

$$egin{aligned} &\delta_3 \in \mathsf{H}_3^{(3)} \ &\partial_{x_1}(\delta_3), \partial_{x_2}(\delta_3) \in \mathsf{H}_3^{(2)} \ &\partial_{x_1}^2(\delta_3), \partial_{x_1}\partial_{x_2}(\delta_3) \in \mathsf{H}_3^{(1)} \ &\partial_{x_1}^2\partial_{x_2}(\delta_3) \in \mathsf{H}_3^{(0)} \end{aligned}$$

## Bases for $\mathbf{H}_n$

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Ordered set partitions ■ An example basis for **H**<sub>3</sub>:

$$\begin{split} \delta_3 \in \mathsf{H}_3^{(3)} \\ \partial_{x_1}(\delta_3), \partial_{x_2}(\delta_3) \in \mathsf{H}_3^{(2)} \\ \partial_{x_1}^2(\delta_3), \partial_{x_1}\partial_{x_2}(\delta_3) \in \mathsf{H}_3^{(1)} \\ \partial_{x_1}^2\partial_{x_2}(\delta_3) \in \mathsf{H}_3^{(0)} \end{split}$$

Bases can be derived from permutation statistics.

# What's new?



Ordered set partitions

All of this work is pretty classical.

# What's new?



Harmonics

Ordered set partitions

- All of this work is pretty classical.
- What's happening currently?

# What's new?

### q-analogs

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- q-analogs
- Permutation statistics
- Bases for  $\mathbb{F}$
- Harmonics

Ordered set partitions

- All of this work is pretty classical.
- What's happening currently?
- One branch is to generalize permutations to ordered set partitions.

### q-analogs

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Bases for  $\mathbb{F}$ 

Harmonics

Ordered set partitions

## Definition

An ordered set partition  $\pi \in \mathcal{OP}_{n,k}$  is a *k*-tuple of sets

$$\pi = (\pi_1, \pi_2, \ldots, \pi_k) = \pi_1 |\pi_2| \ldots |\pi_k|$$

### such that

$$\bigsqcup_{i=1}^k \pi_i = \{1, 2, \dots, n\}.$$

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• For example,  $245|3|16 \in \mathcal{OP}_{6,3}$ .

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- For example,  $245|3|16 \in \mathcal{OP}_{6,3}$ .
- Note that  $\mathcal{OP}_{n,n} = \mathfrak{S}_n$ .
- $\mathcal{OP}_{n,k}$  corresponds to surjections

$$\{1,2,\ldots,n\}\to\{1,2,\ldots,k\}.$$

## Counting ordered set partitions

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Permutatio statistics

Bases for  $\mathbb{F}'_{d}$ 

Harmonics

Ordered set partitions

•  $|\mathcal{OP}_{n,k}| = k! S_{n,k}$ , where  $S_{n,k}$  is the Stirling number of the second kind, defined recursively by

$$S_{n,k} = kS_{n-1,k} + S_{n-1,k-1}.$$

## Counting ordered set partitions

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These are sometimes called Fubini numbers.

## Counting ordered set partitions

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Bases for  $\mathbb{F}$ 

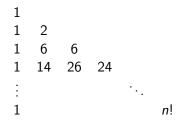
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## A q-analog for Fubini numbers

### q-analogs

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q-analogs

Permutation statistics

Bases for  $\mathbb{F}'_{\alpha}$ 

Harmonics

Ordered set partitions

# • A natural q-analog is $[k]_q!S_{n,k}(q)$ , where $S_{n,k}(q) = [k]_qS_{n-1,k}(q) + S_{n-1,k-1}(q).$

## A q-analog for Fubini numbers

## q-analogs

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Bases for  $\mathbb{F}_{0}^{t}$ 

Harmonics

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For example,

$$[3]_q!S_{4,3}(q) = q^5 + 4q^4 + 9q^3 + 11q^2 + 8q + 3.$$

Recent work (by me and many others) has extended results from [n]<sub>q</sub>! to [k]<sub>q</sub>!S<sub>n,k</sub>(q), using...

## A q-analog for Fubini numbers

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q-analogs

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Recent work (by me and many others) has extended results from [n]<sub>q</sub>! to [k]<sub>q</sub>!S<sub>n,k</sub>(q), using...

- ordered set partition statistics,
- spanning sets for  $\mathbb{F}_a^k$ , and
- superspace harmonics.



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Permutation statistics

Bases for  $\mathbb{F}'_{c}$ 

Harmonics

Ordered set partitions

Given  $\pi \in \mathcal{OP}_{n,k}$ , rearrange its blocks so that the resulting permutation has minimal major index.

### q-analogs

### Andy Wilson

- q-analogs
- Permutatior statistics
- Bases for  $\mathbb{F}_{q}^{n}$
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- Ordered set partitions

- Given  $\pi \in \mathcal{OP}_{n,k}$ , rearrange its blocks so that the resulting permutation has minimal major index.
- $\blacksquare$  For example, 245|138|67  $\rightarrow$  245|813|67, which has maj 4.

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- q-analogs
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- Bases for  $\mathbb{F}_{a}^{\prime}$
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- Denote this number minimaj( $\pi$ ).

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## Theorem [HRW18, Rho18]

$$\sum_{\pi\in\mathcal{OP}_{n,k}}q^{ ext{minimaj}(\pi)}=[k]_q!S_{n,k}(q).$$

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### Theorem [HRW18, Rho18]

$$\sum_{\pi \in \mathcal{OP}_{n,k}} q^{\mathsf{minimaj}(\pi)} = [k]_q! S_{n,k}(q).$$

 Several other equidistributed statistics are studied in [HRW18].

# Spanning sets for $\mathbb{F}_q^k$

### q-analogs

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Bases for I

Harmonics

Ordered set partitions

### Theorem

When  $n \ge k$ , the number of ordered spanning sets  $(v_1, v_2, \ldots, v_n)$  for  $\mathbb{F}_a^k$  (q a prime power) is

 $q^{\binom{k}{2}}[k]_q!S_{n,k}(q).$ 

# Spanning sets for $\mathbb{F}_q^k$

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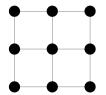
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For example, if q = 3, n = 3, k = 2, we get



$$4 \cdot 1 \cdot 3 + 4 \cdot 3 \cdot 4 = 60$$

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- Let Ω<sub>n</sub> denote the space of "polynomials" in two types of variables:
  - $x_1, x_2, \ldots, x_n$ , which commute, and

•  $\theta_1, \theta_2, \ldots, \theta_n$ , which *anti-commute*, so

$$\theta_i\theta_j=-\theta_j\theta_i\Longrightarrow\theta_i^2=0.$$

#### q-analogs

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$$x_2^4\theta_1\theta_3=\theta_1\theta_3x_2^4=-\theta_3\theta_1x_2^4\in\Omega_3.$$

#### q-analogs

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The objects in Ω<sub>n</sub> are called superpolynomials and appear in mathematical physics and differential algebra [DeW92].

## The superspace Vandermonde

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Permutatio statistics

Bases for  $\mathbb{F}'_{a}$ 

Harmonics

Ordered set partitions

■ For positive integers *n* ≥ *k*, define the *superspace Vandermonde matrix* to be

$$M_{n,k} = \begin{bmatrix} 1 & x_1 & \dots & x_1^{k-1} & \theta_1 x_1^{k-1} & \dots & \theta_1 x_1^{k-1} \\ 1 & x_2 & \dots & x_2^{k-1} & \theta_2 x_2^{k-1} & \dots & \theta_2 x_2^{k-1} \\ \vdots & \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ 1 & x_n & \dots & x_n^{k-1} & \theta_n x_n^{k-1} & \dots & \theta_n x_n^{k-1} \end{bmatrix}$$

## The superspace Vandermonde

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Permutatio statistics

Bases for  $\mathbb{F}_{0}^{2}$ 

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Ordered set partitions

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• Note that  $M_{n,n} = M_n$ , the usual Vandermonde matrix.

## The superspace Vandermonde

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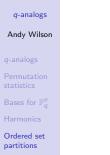
• Note that  $M_{n,n} = M_n$ , the usual Vandermonde matrix.

Define the superspace Vandermonde determinant to be

$$\delta_{n,k} = \det\left(M_{n,k}\right)$$

for an appropriate non-commutative determinant.

## An example superspace Vandermonde



$$M_{3,2} = \begin{bmatrix} 1 & x_1 & \theta_1 x_1 \\ 1 & x_2 & \theta_2 x_2 \\ 1 & x_3 & \theta_3 x_3 \end{bmatrix}$$

## An example superspace Vandermonde



$$M_{3,2} = \begin{bmatrix} 1 & x_1 & \theta_1 x_1 \\ 1 & x_2 & \theta_2 x_2 \\ 1 & x_3 & \theta_3 x_3 \end{bmatrix}$$
  
$$\delta_{3,2} = \det(M_{3,2})$$
  
$$= \theta_3 x_2 x_3 - \theta_2 x_2 x_3 - \theta_3 x_1 x_3$$
  
$$+ \theta_1 x_1 x_3 + \theta_2 x_1 x_2 - \theta_1 x_1 x_2$$

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Ordered set partitions

Let H<sub>n,k</sub> be the vector space spanned by all partial derivatives of δ<sub>n,k</sub> in the x<sub>i</sub> variables.

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Harmonics

Ordered set partitions

- Let H<sub>n,k</sub> be the vector space spanned by all partial derivatives of δ<sub>n,k</sub> in the x<sub>i</sub> variables.
- $\mathbf{H}_{n,k}$  can be decomposed by x degree into

$$\mathbf{H}_{n,k} = \bigoplus_{d \ge 0} \mathbf{H}_{n,k}^{(d)}.$$

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Harmonics

Ordered set partitions

Let H<sub>n,k</sub> be the vector space spanned by all partial derivatives of δ<sub>n,k</sub> in the x<sub>i</sub> variables.

**H**<sub>*n,k*</sub> can be decomposed by x degree into

$$\mathbf{H}_{n,k} = \bigoplus_{d \ge 0} \mathbf{H}_{n,k}^{(d)}.$$

### Theorem [RW19]

$$\sum_{d\geq 0} \mathsf{H}_{n,k}^{(d)} q^d = [k]_q! S_{n,k}(q)$$

q-analogs

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q-analogs

Permutation statistics

Bases for  $\mathbb{F}'_{c}$ 

Harmonics

Ordered set partitions • Let  $\mathbf{H}_{n,k}$  be the vector space spanned by all partial derivatives of  $\delta_{n,k}$  in the  $x_i$  variables.

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### Theorem [RW19]

$$\sum_{d\geq 0} \mathsf{H}_{n,k}^{(d)} q^d = [k]_q! S_{n,k}(q)$$

We also explore θ "derivatives," connections to Poincaré duality and the Hard Lefschetz Theorem.

# Wrapping up

### q-analogs

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Also connections to . . .

- coinvariants [Zab19],
- graded dimensions in cohomology [HRS18],
- cyclic actions and roots of unity [RSW04],
- and many other areas.

# Wrapping up

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- and many other areas.
- How to *q*-ify your favorite number:
  - Look at distributions of nice statistics.
  - Count over  $\mathbb{F}_q$ .
  - Find a Vandermonde?

# Wrapping up

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Good luck!

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Bases for  $\mathbb{F}_q^n$ 

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# Thank you!

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q-analogs

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