# $q$-analogs of factorials and Fubini numbers 

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## Categorification

$q$-analogs
Andy Wilson
$q$-analogs
Permutation
statistics
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■ This process is sometimes called "categorification."

## $q$-analogs

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2 $f(q)$ carries some "extra information."

■ We'll see many of examples of what (2) can mean.

An example $q$-analog: $[n]_{q}$ !

## $q$-analogs

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## Definition

The classical $q$-analog of $n!=n \cdot(n-1) \cdot \ldots \cdot 2 \cdot 1$ is

$$
[n]_{q}!=[n]_{q}[n-1]_{q} \ldots[2]_{q}[1]_{q}
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where

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[k]_{q}=1+q+\ldots+q^{k-1}=\frac{q^{k}-1}{q-1}
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■ For example,

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■ Clearly $q \rightarrow 1$ recovers $n!$, so (1) is satisfied.

# Why is $[n]_{q}$ ! the "correct" $q$-analog for $n!?$ 

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Harmonics
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## Permutation statistics

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The symmetric group of $n$ symbols is

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and elements $\sigma \in \mathfrak{S}_{n}$ are permutations.

■ $\left|\mathfrak{S}_{n}\right|=n!$
■ Often written in one-line notation.
■ E.g. $\sigma=52413$ means $\sigma(1)=5, \sigma(2)=2, \sigma(3)=4, \ldots$.

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- A permutation statistic is an assignment of a number to every permutation $\sigma \in \mathfrak{S}_{n}$.


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Age Distribution, 2000



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\operatorname{inv}(\sigma)=\#\{(i, j): 1 \leq i<j \leq n, \sigma(i)>\sigma(j)\} .
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■ $\operatorname{inv}(\sigma)$ also gives the number of adjacent transpositions required to sort $\sigma$ to the identity permutation.
■ How many $\sigma \in \mathfrak{S}_{n}$ have $\operatorname{inv}(\sigma)=k$ for fixed $k$ ?

## The distribution of inv on $\mathfrak{S}_{n}$

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Theorem

$$
\sum_{\sigma \in \mathfrak{S}_{n}} q^{\operatorname{inv}(\sigma)}=[n]_{q}!
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■ Check for $n=3$ :

$$
\begin{aligned}
& \quad \begin{array}{lll}
\operatorname{inv}(123)=0 & \operatorname{inv}(213)=1 & \operatorname{inv}(312)=2 \\
\operatorname{inv}(132)=1 & \operatorname{inv}(231)=2 & \operatorname{inv}(321)=3
\end{array} \\
& \text { and }[3]_{q}!=1+2 q+2 q^{2}+q^{3} .
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■ Can be proved by induction on $n$ :

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$$
8 \mapsto 5231476
$$

## Another permutation statistic

## Definition [Mac15]

The major index of $\sigma \in S_{n}$ is

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\operatorname{maj}(\sigma)=\sum_{i: \sigma(i)>\sigma(i+1)} i .
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- Major index depends only on the descent set of $\sigma$.


## MacMahon's Theorem

Permutation statistics

## Theorem [Mac15]

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\sum_{\sigma \in \mathfrak{S}_{n}} q^{\operatorname{maj}(\sigma)}=[n]_{q}!=\sum_{\sigma \in \mathfrak{S}_{n}} q^{\operatorname{inv}(\sigma)}
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- Bijective proofs by Foata [Foa68], Carlitz [Car75].


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■ Many other permutation statistics share this distribution.

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- $\binom{4}{2}=6$ bases (from linearly independent lines through the origin)



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## Theorem

The number of different bases for $\mathbb{F}_{q}^{n}$ is

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\frac{q^{\binom{n}{2}}[n]_{q}!}{n!} .
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■ Divide by $n$ ! to "unorder" the bases.

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## Definition

The Vandermonde matrix is the matrix

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M_{n}=\left[x_{i}^{j-1}\right]_{i, j=1}^{n}=\left[\begin{array}{ccccc}
1 & x_{1} & x_{1}^{2} & \ldots & x_{1}^{n-1} \\
1 & x_{2} & x_{2}^{2} & \ldots & x_{2}^{n-1} \\
\vdots & \vdots & \vdots & \ddots & \vdots \\
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Its determinant is the Vandermonde determinant, written $\delta_{n}$.

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- $M_{n}$ appears in polynomial interpolation.
- $\delta_{n}$ can also be written as

$$
\delta_{n}=\prod_{1 \leq i<j \leq n}\left(x_{j}-x_{i}\right) .
$$

## Harmonics

## Definition

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so this polynomial is in $\mathbf{H}_{3}$. So is

$$
\partial_{x_{3}}\left(\delta_{3}\right)=\left(x_{2}-x_{1}\right)\left(x_{3}-x_{2}\right)+\left(x_{2}-x_{1}\right)\left(x_{3}-x_{1}\right) .
$$

## Coinvariants

■ Why study the harmonic space?

```
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\section*{Coinvariants}

■ Why study the harmonic space?
■ \(\mathfrak{S}_{n}\) acts on \(f \in \mathbb{Q}\left[x_{1}, x_{2}, \ldots, x_{n}\right]\) by permuting variables, e.g.
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\(\square f\) is symmetric if \(\sigma \cdot f=f\) for every \(\sigma \in \mathfrak{S}_{n}\).
■ \(\mathbf{H}_{n}\) is isomorphic (as a graded \(\mathfrak{S}_{n}\) module) to the coinvariant ring:
\[
\frac{\mathbb{Q}\left[x_{1}, x_{2}, \ldots, x_{n}\right]}{\langle f \text { symmetric with no constant term }\rangle} .
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■ This "decomposes" \(\mathbb{Q}\left[x_{1}, x_{2}, \ldots, x_{n}\right]\) into a symmetric (invariant) piece and a coinvariant piece.

\section*{Grading by degree}

■ \(\mathbf{H}_{n}\) can be decomposed by degree into
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\mathbf{H}_{n}=\bigoplus_{d \geq 0} \mathbf{H}_{n}^{(d)}
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\delta_{3} \in \mathbf{H}_{3}^{(3)} \quad \partial_{x_{3}}\left(\delta_{3}\right) \in \mathbf{H}_{3}^{(2)}
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\section*{Dimension and graded dimension}

Theorem [Art42]
\(\operatorname{dim}\left(\mathbf{H}_{n}\right)=n!\)

\section*{Dimension and graded dimension}

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\section*{\(q\)-analogs}

Permutation statistics

\section*{Theorem [Art42]}
\[
\begin{aligned}
\operatorname{dim}\left(\mathbf{H}_{n}\right) & =n! \\
\sum_{d \geq 0} \operatorname{dim}\left(\mathbf{H}_{n}^{(d)}\right) q^{d} & =[n]_{q}!
\end{aligned}
\]

\section*{Bases for \(\mathbf{H}_{n}\)}

■ An example basis for \(\mathbf{H}_{3}\) :
\[
\begin{aligned}
\delta_{3} & \in \mathbf{H}_{3}^{(3)} \\
\partial_{x_{1}}\left(\delta_{3}\right), \partial_{x_{2}}\left(\delta_{3}\right) & \in \mathbf{H}_{3}^{(2)} \\
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\]
- Bases can be derived from permutation statistics.

\section*{What's new?}
```

q-analogs

```
Permutation
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Bases for \(\mathbb{F}_{q}^{n}\)
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■ What's happening currently?
■ One branch is to generalize permutations to ordered set partitions.

\section*{Ordered set partitions}

\section*{Definition}

An ordered set partition \(\pi \in \mathcal{O} \mathcal{P}_{n, k}\) is a \(k\)-tuple of sets
\[
\pi=\left(\pi_{1}, \pi_{2}, \ldots, \pi_{k}\right)=\pi_{1}\left|\pi_{2}\right| \ldots \mid \pi_{k}
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such that
\[
\bigsqcup_{i=1}^{k} \pi_{i}=\{1,2, \ldots, n\}
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\section*{Ordered set partitions}
\(q\)-analogs
Andy Wilson
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■ Note that \(\mathcal{O} \mathcal{P}_{n, n}=\mathfrak{S}_{n}\).
■ \(\mathcal{O} \mathcal{P}_{n, k}\) corresponds to surjections
\[
\{1,2, \ldots, n\} \rightarrow\{1,2, \ldots, k\}
\]

\section*{Counting ordered set partitions}

■ \(\left|\mathcal{O} \mathcal{P}_{n, k}\right|=k!S_{n, k}\), where \(S_{n, k}\) is the Stirling number of the second kind, defined recursively by
\[
S_{n, k}=k S_{n-1, k}+S_{n-1, k-1}
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■ These are sometimes called Fubini numbers.

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\begin{tabular}{cccccc}
1 & & & & & \\
1 & 2 & & & & \\
1 & 6 & 6 & & & \\
1 & 14 & 26 & 24 & & \\
\(\vdots\) & & & & \(\ddots\) & \\
1 & & & & & \(n!\)
\end{tabular}

\section*{A \(q\)-analog for Fubini numbers}
- A natural \(q\)-analog is \([k]_{q}!S_{n, k}(q)\), where
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■ For example,
\[
[3]_{q}!S_{4,3}(q)=q^{5}+4 q^{4}+9 q^{3}+11 q^{2}+8 q+3 .
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■ Recent work (by me and many others) has extended results from \([n]_{q}\) ! to \([k]_{q}!S_{n, k}(q)\), using. . .

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■ ordered set partition statistics,
- spanning sets for \(\mathbb{F}_{q}^{k}\), and
- superspace harmonics.

\section*{The statistic minimaj}

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\sum_{\pi \in \mathcal{O} \mathcal{P}_{n, k}} q^{\operatorname{minimaj}(\pi)}=[k]_{q}!S_{n, k}(q)
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■ Several other equidistributed statistics are studied in [HRW18].

\section*{Spanning sets for \(\mathbb{F}_{q}^{k}\)}

\section*{Theorem}

When \(n \geq k\), the number of ordered spanning sets
\[
\left(v_{1}, v_{2}, \ldots, v_{n}\right)
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for \(\mathbb{F}_{q}^{k}\) ( \(q\) a prime power) is
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For example, if \(q=3, n=3, k=2\), we get

\[
4 \cdot 1 \cdot 3+4 \cdot 3 \cdot 4=60
\]

\section*{Superspace}

■ Let \(\Omega_{n}\) denote the space of "polynomials" in two types of variables:

■ \(x_{1}, x_{2}, \ldots, x_{n}\), which commute, and
■ \(\theta_{1}, \theta_{2}, \ldots, \theta_{n}\), which anti-commute, so
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\theta_{i} \theta_{j}=-\theta_{j} \theta_{i} \Longrightarrow \theta_{i}^{2}=0
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\(q\)-analogs
Permutation statistics partitions

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- The objects in \(\Omega_{n}\) are called superpolynomials and appear in mathematical physics and differential algebra [DeW92].

\section*{The superspace Vandermonde}

Andy Wilson
\(q\)-analogs
Permutation statistics Bases for \(\mathbb{F}_{q}^{n}\) partitions

■ For positive integers \(n \geq k\), define the superspace Vandermonde matrix to be
\[
M_{n, k}=\left[\begin{array}{ccccccc}
1 & x_{1} & \ldots & x_{1}^{k-1} & \theta_{1} x_{1}^{k-1} & \ldots & \theta_{1} x_{1}^{k-1} \\
1 & x_{2} & \ldots & x_{2}^{k-1} & \theta_{2} x_{2}^{k-1} & \ldots & \theta_{2} x_{2}^{k-1} \\
\vdots & \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\
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■ Define the superspace Vandermonde determinant to be
\[
\delta_{n, k}=\operatorname{det}\left(M_{n, k}\right)
\]
for an appropriate non-commutative determinant.

\section*{An example superspace Vandermonde}
\(q\)-analogs
Andy Wilson

\section*{\(q\)-analogs}

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Harmonics
Ordered set partitions
\[
M_{3,2}=\left[\begin{array}{lll}
1 & x_{1} & \theta_{1} x_{1} \\
1 & x_{2} & \theta_{2} x_{2} \\
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\begin{aligned}
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1 & x_{3} & \theta_{3} x_{3}
\end{array}\right] \\
\delta_{3,2} & =\operatorname{det}\left(M_{3,2}\right) \\
& =\theta_{3} x_{2} x_{3}-\theta_{2} x_{2} x_{3}-\theta_{3} x_{1} x_{3} \\
& +\theta_{1} x_{1} x_{3}+\theta_{2} x_{1} x_{2}-\theta_{1} x_{1} x_{2}
\end{aligned}
\]

\section*{Superspace harmonics}

■ Let \(\mathbf{H}_{n, k}\) be the vector space spanned by all partial derivatives of \(\delta_{n, k}\) in the \(x_{i}\) variables.

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■ We also explore \(\theta\) "derivatives," connections to Poincaré duality and the Hard Lefschetz Theorem.

\section*{Wrapping up}
- Also connections to ...
- coinvariants [Zab19],
- graded dimensions in cohomology [HRS18],
- cyclic actions and roots of unity [RSW04],
- and many other areas.

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- Look at distributions of nice statistics.
- Count over \(\mathbb{F}_{q}\).
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■ Good luck!

\section*{Thank you!}

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