

# *Nédélec spaces in affine coordinates*

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# The Nédélec polynomial space

The  $k^{\text{th}}$  Nédélec space (in any space dimension) is

$$\mathbf{R}_k = \mathbf{P}_{k-1} \oplus \mathbf{S}_k.$$

*Notation:*

$\mathbf{P}_k$  = set of all vector functions whose every component is a *polynomial* of degree at most  $k$ .

$$\mathbf{S}_k = \{ \mathbf{q} \in \overline{\mathbf{P}}_k : \mathbf{x} \cdot \mathbf{q}(\mathbf{x}) = 0 \quad \text{for all } \mathbf{x} \},$$

where

$\overline{\mathbf{P}}_k$  = set of vector polynomials whose components are *homogeneous* of degree  $k$ .

# Why Nédélec space?



- It gives  $H(\text{curl})$ -conforming finite elements.
- Does not produce spurious modes. Provable convergence.
- Uses only degrees of freedom needed to handle curl.  
In approximating curl, *gradients need not be included*:

$$P_k = P_{k-1} \oplus \underbrace{\bar{P}_k}_{S_k \oplus \nabla \bar{P}_{k+1}} \quad [\text{Nédélec, 1980}]$$

$$\implies R_k = P_{k-1} \oplus S_k$$

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Q: Are there other ways of removing the gradients?

A: Yes... (examples later).

# An exactness property



Another reason for Nédélec space is that they arise canonically from an exactness property: [Hiptmair, 1999]

$$\nabla P_k = \text{Ker}(\mathbf{curl}, \mathbf{R}_k),$$

where  $\text{Ker}(\mathbf{curl}, \mathbf{R}_k) = \{q \in \mathbf{R}_k : \mathbf{curl} q = 0\}$ .

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Consider *Nédélec-type spaces*  $\mathbf{R}'_k = P_{k-1} \oplus \mathbf{S}'_k$ , where  $\mathbf{S}'_k$  is a subspace of  $\overline{P}_k$  that is *linearly independent to*  $\nabla \overline{P}_{k+1}$ .

**PROPOSITION.** *The property*

$$\nabla P_k = \text{Ker}(\mathbf{curl}, \mathbf{R}'_k),$$

*holds for any Nédélec-type space  $\mathbf{R}'_k$ .*

# A related multilinear form



[Nédélec, 1980] shows that a smooth function  $\mathbf{q} : \mathbb{R}^N \mapsto \mathbb{R}^N$  is in  $\mathbf{R}_k$  if and only if

$$\varepsilon^k(\mathbf{q})(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_{k+1}) = 0, \quad \forall \mathbf{r}_i,$$

where the multilinear form  $\varepsilon^k(\mathbf{q})(\dots)$  is defined by

$$\varepsilon^k(\mathbf{q})(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_{k+1}) = \frac{1}{(k+1)!} \sum_{\sigma} (\mathbf{d}^k \mathbf{q})(\mathbf{r}_{\sigma(1)}, \mathbf{r}_{\sigma(2)}, \dots, \mathbf{r}_{\sigma(k)}) \cdot \mathbf{r}_{\sigma(k+1)}.$$

Here the sum runs over all permutations  $\sigma$  of the set  $\{1, 2, \dots, k+1\}$  and  $\mathbf{d}^k \mathbf{q}$  denotes the  $k^{\text{th}}$  order Fréchet derivative of  $\mathbf{q}$ .

# Characterizations of $S_k$



**THEOREM.** A  $C^k$ -function  $q \equiv (q_\ell)$  is in  $\mathbf{R}_k$  if and only if

$$\sum_{\ell=1}^N \beta_\ell \partial^{\beta - e_\ell} q_\ell = 0, \quad \text{for all } |\beta| = k + 1.$$

*Notation:*

- $\beta = (\beta_1, \beta_2, \dots, \beta_N)$  are multi-indices.
- $|\beta| = \beta_1 + \beta_2 + \dots + \beta_N$  and  $e_\ell = (0, \dots, 1, \dots, 0)$ .
- For any multi-index  $\alpha$ ,  $\partial^\alpha = \frac{\partial^{|\alpha|}}{\partial x_1^{\alpha_1} \partial x_2^{\alpha_2} \dots \partial x_N^{\alpha_N}}$ .
- All terms involving  $\beta - e_\ell$  are considered to be zero if a component of  $\beta - e_\ell$  is negative.

# Characterizations of $\mathcal{S}_k$



**THEOREM.** A  $C^k$ -function  $\mathbf{q} \equiv (q_\ell)$  is in  $\mathcal{R}_k$  if and only if

$$\sum_{\ell=1}^N \beta_\ell \partial^{\beta - \mathbf{e}_\ell} q_\ell = 0, \quad \text{for all } |\beta| = k + 1.$$

**COROLLARY.** The polynomial  $\mathbf{q}(\mathbf{x}) =$   
is in  $\mathcal{S}_k$  if and only if

$$\begin{bmatrix} \sum_{|\alpha|=k} c_{\alpha,1} \mathbf{x}^\alpha \\ \vdots \\ \sum_{|\alpha|=k} c_{\alpha,N} \mathbf{x}^\alpha \end{bmatrix}$$

$$\sum_{\ell=1}^N c_{\beta - \mathbf{e}_\ell, \ell} = 0, \quad \text{for all } |\beta| = k + 1.$$

# An example

$$\sum_{\ell=1}^3 c_{\beta - e_{\ell}, \ell} = 0, \quad \text{for all } |\beta| = 2 + 1$$

Case of  
 $N = 3, k = 2$

$$q(x) \equiv \left( \sum_{|\alpha|=2} c_{\alpha,1} x^{\alpha} \right) e_1 + \left( \sum_{|\alpha|=2} c_{\alpha,2} x^{\alpha} \right) e_2 + \left( \sum_{|\alpha|=2} c_{\alpha,3} x^{\alpha} \right) e_3$$

is in  $S_2$  if and only if its coefficients  $\{c_{\alpha, \ell}\}$  satisfy:

$$\beta = (2, 1, 0) : \quad c_{(1,1,0),1} + c_{(2,0,0),2} = 0,$$

$$\beta = (2, 0, 1) : \quad c_{(1,0,1),1} + c_{(2,0,0),3} = 0,$$

$$\beta = (1, 2, 0) : \quad c_{(0,2,0),1} + c_{(1,1,0),2} = 0,$$

$$\beta = (1, 1, 1) : \quad c_{(0,1,1),1} + c_{(1,0,1),2} + c_{(1,1,0),3} = 0,$$

$$\beta = (1, 0, 2) : \quad c_{(0,0,2),1} + c_{(1,0,1),3} = 0,$$

$$\vdots$$

(10 equations)

$$\vdots$$



# An example

$$\sum_{\ell=1}^3 c_{\beta-e_{\ell},\ell} = 0, \quad \text{for all } |\beta| = 2 + 1$$

Case of  
 $N = 3, k = 2$

$$q(x) \equiv \left( \sum_{|\alpha|=2} c_{\alpha,1} x^{\alpha} \right) e_1 + \left( \sum_{|\alpha|=2} c_{\alpha,2} x^{\alpha} \right) e_2 + \left( \sum_{|\alpha|=2} c_{\alpha,3} x^{\alpha} \right) e_3$$

is in  $\mathcal{S}_2$  if and only if its coefficients  $\{c_{\alpha,\ell}\}$  satisfy:

$$\beta = (2, 1, 0) : \quad c_{(1,1,0),1} + c_{(2,0,0),2} = 0,$$

$\vdots$

Eg., the first equation tells us that  $x_1 x_2 e_1 - x_1^2 e_2 \equiv \begin{bmatrix} x_1 x_2 \\ -x_1^2 \\ 0 \end{bmatrix}$  is in  $\mathcal{S}_2$ .

More generally, observe that  $x^{\beta-e_1} e_1 - x^{\beta-e_2} e_2$  is in  $\mathcal{S}_2$  for all  $|\beta| = 3$  with positive  $\beta_1$  and  $\beta_2$ .

# A basis for $\mathcal{S}_k$



Consider all such linearly independent two-term expressions.

E.g., for a  $\beta$  with three nonzero entries and  $|\beta| = k + 1$ ,

$$\beta = (0 \cdots \overset{l^{\text{th}} \text{ entry}}{\times} \cdots \overset{m^{\text{th}} \text{ entry}}{\times} \cdots \overset{n^{\text{th}} \text{ entry}}{\times} \cdots 0),$$

we have two expressions:

$$x^{\beta - e_l} e_l - x^{\beta - e_m} e_m, \quad x^{\beta - e_m} e_m - x^{\beta - e_n} e_n.$$

**THEOREM.** *The collection of all such expressions (for all multi-indices  $\beta$  with  $|\beta| = k + 1$ ) forms a basis for  $\mathcal{S}_k$  (for any order  $k$  and any dimension  $N$ ).*

# Some Nédélec-type spaces



Let  $\mathcal{S}_k^{(a)}$  denote the set of all homogeneous polynomials

$$\mathbf{q}(\mathbf{x}) = \sum_{l=1}^N \sum_{|\alpha|=k} c_{\alpha,l} \mathbf{x}^{\alpha} \mathbf{e}_l$$

whose coefficients  $\{c_{\alpha,l}\}$  satisfy

$$\sum_{l=1}^N a_{\beta,l} c_{\beta-\mathbf{e}_l,l} = 0, \quad \text{for all } |\beta| = k + 1,$$

for some numbers  $\{a_{\beta,l}\}$  such that  $\sum_{l=1}^N a_{\beta,l} \beta_l \neq 0$ .

**PROPOSITION.** Then,  $\overline{\mathcal{P}}_k = \mathcal{S}_k^{(a)} \oplus \nabla \overline{\mathcal{P}}_{k+1}$ , so

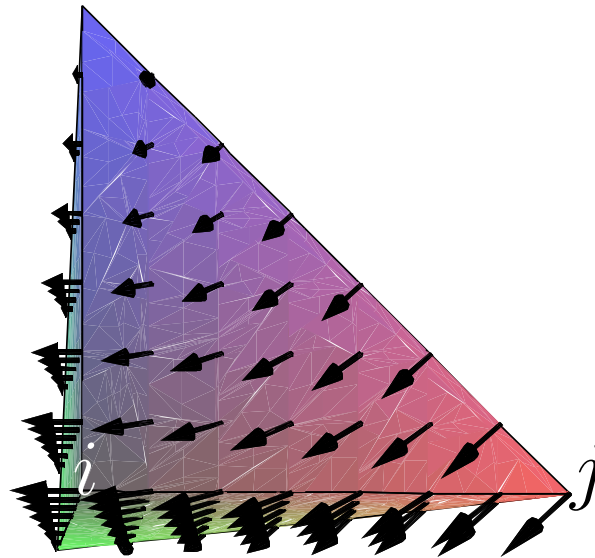
$\mathcal{R}_k^{(a)} = \mathcal{P}_{k-1} \oplus \mathcal{S}_k^{(a)}$  is a *Nédélec-type space*.

# Barycentric coordinates



In the lowest order case, Whitney forms give expressions in barycentric (or *affine*) coordinates that form a basis for the Nédélec space:

$$\{\lambda_i \nabla \lambda_j - \lambda_j \nabla \lambda_i\}.$$



Q: Can we systematically generalize such expressions to obtain bases for higher order Nédélec spaces?

A: Yes, as we shall now show ...

# Background



Many papers have given basis expressions in affine coordinates and investigated their utility in electromagnetics.

Papers from the engineering literature in the 90's:

[Lee, Sun & Csendes, 1991]

[Ahagon & Kashimoto, 1995]

[Savage & Peterson, 1996]

[Yioultsis & Tsiboukis, 1996]

[Graglia, Wilton & Peterson, 1997]

[Webb, 1999]

However, some of them do *not* span the Nédélec space (e.g. the first and the last – more remarks on this *later*).

# Some isomorphisms



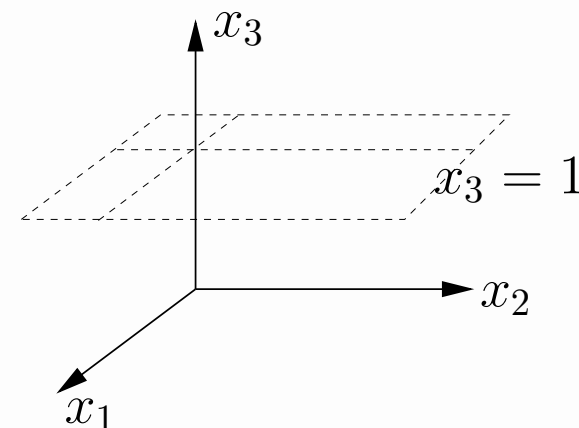
$$Ax_1^2 + Bx_2^2 + Cx_3^2 + Dx_1x_2 + Ex_1x_3 + Fx_2x_3$$

↓

$$(x_3 = 1)$$

↓

$$Ax_1^2 + Bx_2^2 + C + Dx_1x_2 + Ex_1 + Fx_2$$



**PROPOSITION.** *The restriction of homogeneous polynomials of degree  $k$  in  $N + 1$  variables  $x_1, x_2, \dots, x_{N+1}$  to the hyperplane  $x_{N+1} = 1$  is an isomorphism onto the space of all polynomials of degree at most  $k$  in the first  $N$  variables.*

# Some isomorphisms

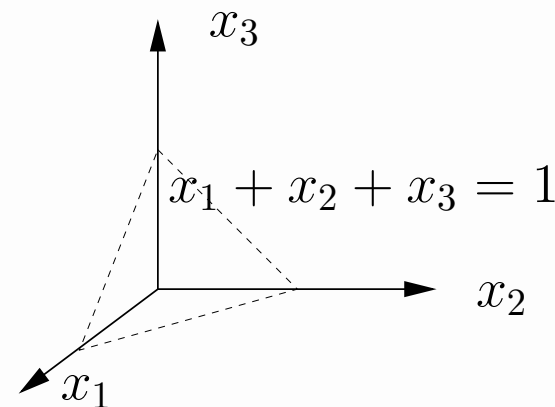
$$Ax_1^2 + Bx_2^2 + Cx_3^2 + Dx_1x_2 + Ex_1x_3 + Fx_2x_3$$

↓

$$(x_1 + x_2 + x_3 = 1)$$

↓  $X$

$$A\lambda_1^2 + B\lambda_2^2 + C\lambda_3^2 + D\lambda_1\lambda_2 + E\lambda_1\lambda_3 + F\lambda_2\lambda_3$$



**PROPOSITION.** *If  $\lambda_i$ 's are the barycentric coordinates of an  $N$ -simplex, the above indicated map  $X$  is an isomorphism from the space of homogeneous polynomials of degree  $k$  in  $N + 1$  variables onto the space of all polynomials of degree at most  $k$  on the simplex.*

# Some isomorphisms



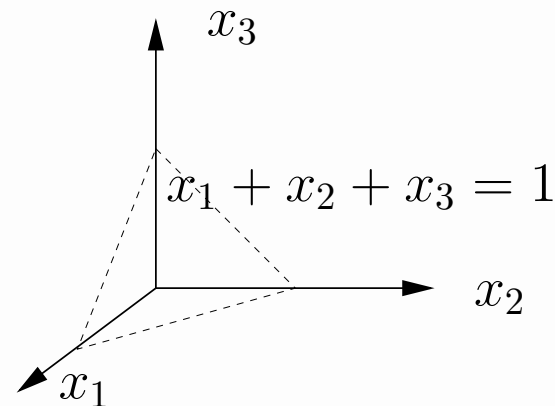
$$Ax_1^2 + Bx_2^2 + Cx_3^2 + Dx_1x_2 + Ex_1x_3 + Fx_2x_3$$

↓

$$(x_1 + x_2 + x_3 = 1)$$

↓  $X$

$$A\lambda_1^2 + B\lambda_2^2 + C\lambda_3^2 + D\lambda_1\lambda_2 + E\lambda_1\lambda_3 + F\lambda_2\lambda_3$$



For vector polynomials, we consider  $Y$  so that the following diagram commutes:

$$\begin{array}{ccc}
 \overline{P}_{k+1}^{(N+1)} & \xrightarrow{\nabla^{(N+1)}} & \overline{P}_k^{(N+1)} \\
 \downarrow X & & \downarrow Y \\
 P_{k+1}^{(N)} & \xrightarrow{\nabla^{(N)}} & P_k^{(N)}
 \end{array}$$



# Some isomorphisms



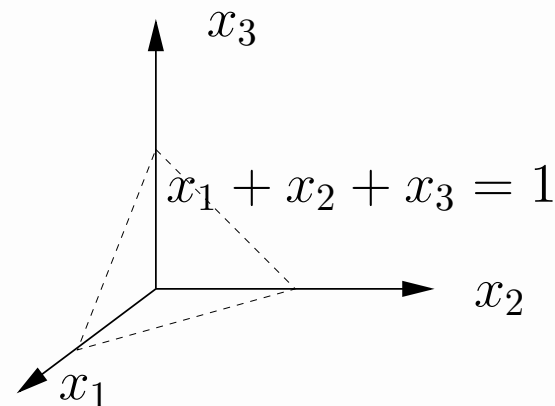
Polynomial  $\sum_{\ell=1}^{N+1} \sum_{|\alpha|=k} c_{\alpha,\ell} x^\alpha e_\ell$  in  $N + 1$  variables

↓

$(x_i \rightarrow \lambda_i, e_\ell \rightarrow \nabla \lambda_\ell)$

↓  $\mathbf{Y}$

Polynomial  $\sum_{\ell=1}^{N+1} \sum_{|\alpha|=k} c_{\alpha,\ell} \lambda^\alpha \nabla \lambda_\ell$  in  $N$  variables



**THEOREM.** The map  $\mathbf{Y}$  considered as a map from

$$\mathbf{S}_k^{(N+1)} \longrightarrow \mathbf{R}_k^{(N)}$$

(the highest degree part of the  $N + 1$  dimensional Nédélec space)

( $N$ -dimensional Nédélec space)

is an isomorphism.

# Basis in affine coordinates



Therefore, to get a basis for the Nédélec space  $\mathbf{R}_k$  in 3 dimensions, we simply apply  $\mathbf{Y}$  to the previously constructed basis for  $\mathbf{S}_k$  in 4 dimensions.

$$\mathbf{x}^{\beta - \mathbf{e}_l} \mathbf{e}_l - \mathbf{x}^{\beta - \mathbf{e}_m} \mathbf{e}_m$$

↓  $\mathbf{Y}$

$$\lambda^{\beta - \mathbf{e}_l} \nabla \lambda_l - \lambda^{\beta - \mathbf{e}_m} \nabla \lambda_m$$

Collecting such expressions for all admissible  $\beta$ , we can categorize them as edge, face, and interior basis functions:

# Basis in affine coordinates

*Basis expressions categorized:* Edge, face, and interior basis functions for any order ( $k$ ) follows. Let  $\alpha = (\alpha_1, \alpha_2, \alpha_3, \alpha_4)$ .

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$|\alpha| = k - 1$  with two

nonzero entries  $\alpha_l, \alpha_m$

$$\lambda^\alpha (\lambda_l \nabla \lambda_m - \lambda_m \nabla \lambda_l).$$

*(Edge basis functions)*

---

$|\alpha| = k - 2$  with three

nonzero entries  $\alpha_l, \alpha_m, \alpha_n$

$$\lambda^\alpha (\lambda_l \lambda_m \nabla \lambda_n - \lambda_m \lambda_n \nabla \lambda_l),$$

$$\lambda^\alpha (\lambda_m \lambda_n \nabla \lambda_l - \lambda_n \lambda_l \nabla \lambda_m).$$

*(Face basis functions)*

---

$|\alpha| = k - 3$  with all four

entries nonzero

$$\lambda^\alpha (\lambda_1 \lambda_2 \lambda_3 \nabla \lambda_4 - \lambda_2 \lambda_3 \lambda_4 \nabla \lambda_1),$$

$$\lambda^\alpha (\lambda_2 \lambda_3 \lambda_4 \nabla \lambda_1 - \lambda_3 \lambda_4 \lambda_1 \nabla \lambda_2),$$

$$\lambda^\alpha (\lambda_3 \lambda_4 \lambda_1 \nabla \lambda_2 - \lambda_4 \lambda_3 \lambda_2 \nabla \lambda_1).$$

*(Interior basis functions)*

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# Two previous works



Different expressions for the quadratic case were suggested:

- [Lee, Sun & Csendes, 1991]:

$$\text{Edge}(i, j): \quad \lambda_i \nabla \lambda_j, \quad \lambda_j \nabla \lambda_i \quad (\text{spans } P_1)$$

$$\text{Face}(i, j, k): \quad \lambda_i \lambda_j \nabla \lambda_k, \quad \lambda_k \lambda_i \nabla \lambda_j \quad (\text{adds 8 quadratics})$$

- [Savage & Peterson, 1996]:

$$\text{Edge}(i, j): \quad \lambda_i \nabla \lambda_j, \quad \lambda_j \nabla \lambda_i \quad (\text{spans } P_1)$$

$$\begin{aligned} \text{Face}(i, j, k): \quad & \lambda_i \lambda_j \nabla \lambda_k - \lambda_j \lambda_k \nabla \lambda_i, \\ & \lambda_j \lambda_k \nabla \lambda_i - \lambda_k \lambda_i \nabla \lambda_j \quad (\text{adds 8 quadratics}) \end{aligned}$$

---

The latter is a hierarchical rearrangement of our previously established expressions, so it spans the Nédélec space  $R_2$ .

But the former does not span the Nédélec space . . .

# Two previous works



Different expressions for the quadratic case were suggested:

- [Lee, Sun & Csendes, 1991]:

Edge( $i, j$ ):  $\lambda_i \nabla \lambda_j, \lambda_j \nabla \lambda_i$  (spans  $P_1$ )

Face( $i, j, k$ ):  $\lambda_i \lambda_j \nabla \lambda_k, \lambda_k \lambda_i \nabla \lambda_j$  (adds 8 quadratics)

Using our techniques, it is easy to see why an expression like  $\lambda_i \lambda_j \nabla \lambda_k$  cannot be in the Nédélec space:

$x_i x_j \mathbf{e}_k \rightarrow$  this is not in  $\mathcal{S}_2^{(4)}$ , because  $\sum_{\ell=1}^4 c_{\beta - \mathbf{e}_\ell, \ell} \neq 0$ ,

$\updownarrow \mathbf{Y}$

$\lambda_i \lambda_j \nabla \lambda_k \rightarrow$  so this cannot be in  $\mathcal{R}_2^{(3)}$ .

One question remains: Why does it work so well?

# Two previous works

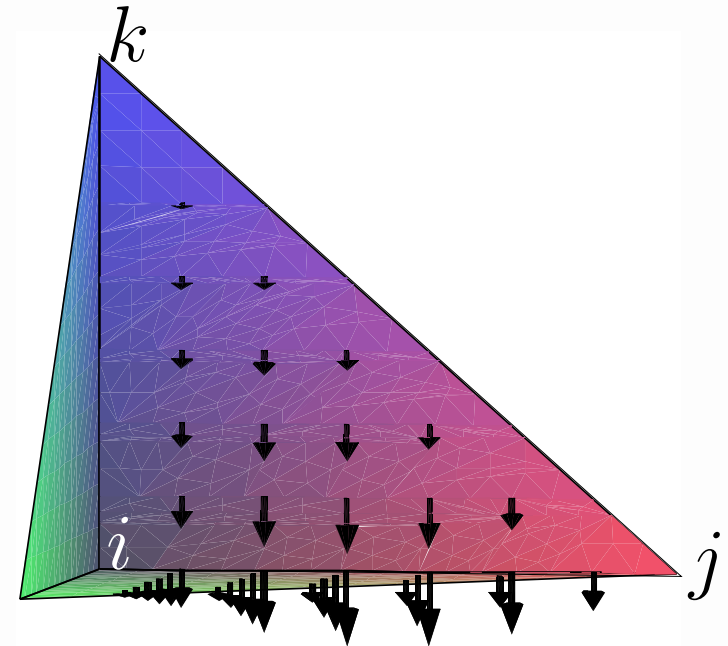
Different expressions for the quadratic case were suggested:

- [Lee, Sun & Csendes, 1991]:

Edge( $i, j$ ):  $\lambda_i \nabla \lambda_j, \lambda_j \nabla \lambda_i$  (spans  $P_1$ )

Face( $i, j, k$ ):  $\lambda_i \lambda_j \nabla \lambda_k, \lambda_k \lambda_i \nabla \lambda_j$  (adds 8 quadratics)

- It spans a *Nédélec-type space*, so standard analysis using discrete Helmholtz decomposition etc. holds.
- Although asymmetric, gets global tangential continuity by assigning two basis functions per face globally.
- Same approximation order as the Nédélec space  $R_2$ .



$$\lambda_i \lambda_j \nabla \lambda_k$$

# Hierarchical shape functions



We prefer shape functions that are hierarchical in  $k$ .  
But our expressions were not written out hierarchically, eg.:

---

$|\alpha| = k - 1$  with two  
nonzero entries  $\alpha_l, \alpha_m$

$$\lambda^\alpha (\lambda_l \nabla \lambda_m - \lambda_m \nabla \lambda_l).$$

*(Edge basis functions)*

---

But we can use any hierarchical basis for polynomials of degree at most  $k - 1$  on the edge in place of  $\lambda^\alpha$  above. Doing this for each category of basis functions, we find:

*Hierarchical shape functions for standard polynomial spaces can be used to build hierarchical shape functions for the Nédélec space.*

# The hierarchy



Eg.,  $k = 1$

$$\text{Edge}(l, m) : (\lambda_l \nabla \lambda_m - \lambda_m \nabla \lambda_l)$$

Face( $l, m, n$ ) :

Interior :



# The hierarchy



Eg.,

$$k = 2$$

$$\text{Edge}(l, m) : (\lambda_l \nabla \lambda_m - \lambda_m \nabla \lambda_l) \cdot \langle \lambda_l, \lambda_m \rangle$$

$$\begin{aligned} \text{Face}(l, m, n) : & (\lambda_m \lambda_n \nabla \lambda_l - \lambda_n \lambda_l \nabla \lambda_m) \\ & (\lambda_n \lambda_l \nabla \lambda_m - \lambda_l \lambda_m \nabla \lambda_n) \end{aligned}$$

Interior :

# The hierarchy



Eg.,

$$k = 3$$

$$\text{Edge}(l, m) : (\lambda_l \nabla \lambda_m - \lambda_m \nabla \lambda_l) \quad \cdot \langle \lambda_l, \lambda_m \rangle \cdot \langle \text{edge bubbles} \rangle$$

$$\begin{aligned} \text{Face}(l, m, n) : (\lambda_m \lambda_n \nabla \lambda_l - \lambda_n \lambda_l \nabla \lambda_m) &\quad \cdot \langle \lambda_l, \lambda_m, \lambda_n \rangle \\ (\lambda_n \lambda_l \nabla \lambda_m - \lambda_l \lambda_m \nabla \lambda_n) &\quad \cdot \langle \lambda_l, \lambda_m, \lambda_n \rangle \end{aligned}$$

$$\begin{aligned} \text{Interior} : (\lambda_1 \lambda_2 \lambda_3 \nabla \lambda_4 - \lambda_2 \lambda_3 \lambda_4 \nabla \lambda_1) \\ (\lambda_2 \lambda_3 \lambda_4 \nabla \lambda_1 - \lambda_3 \lambda_4 \lambda_1 \nabla \lambda_2) \\ (\lambda_3 \lambda_4 \lambda_1 \nabla \lambda_2 - \lambda_4 \lambda_3 \lambda_2 \nabla \lambda_1) \end{aligned}$$

# The hierarchy



Eg.,

$$k = 4$$

$$\text{Edge}(l, m) : (\lambda_l \nabla \lambda_m - \lambda_m \nabla \lambda_l) \cdot \langle \lambda_l, \lambda_m \rangle \cdot \langle \text{edge bubbles} \rangle$$

$$\begin{aligned} \text{Face}(l, m, n) : (\lambda_m \lambda_n \nabla \lambda_l - \lambda_n \lambda_l \nabla \lambda_m) &\cdot \langle \lambda_l, \lambda_m, \lambda_n \rangle \cdot \langle \text{side shape fn} \rangle \\ (\lambda_n \lambda_l \nabla \lambda_m - \lambda_l \lambda_m \nabla \lambda_n) &\cdot \langle \lambda_l, \lambda_m, \lambda_n \rangle \cdot \langle \text{side shape fn} \rangle \end{aligned}$$

$$\begin{aligned} \text{Interior} : (\lambda_1 \lambda_2 \lambda_3 \nabla \lambda_4 - \lambda_2 \lambda_3 \lambda_4 \nabla \lambda_1) &\cdot \langle \lambda_1, \lambda_2, \lambda_3, \lambda_4 \rangle \\ (\lambda_2 \lambda_3 \lambda_4 \nabla \lambda_1 - \lambda_3 \lambda_4 \lambda_1 \nabla \lambda_2) &\cdot \langle \lambda_1, \lambda_2, \lambda_3, \lambda_4 \rangle \\ (\lambda_3 \lambda_4 \lambda_1 \nabla \lambda_2 - \lambda_4 \lambda_3 \lambda_2 \nabla \lambda_1) &\cdot \langle \lambda_1, \lambda_2, \lambda_3, \lambda_4 \rangle \end{aligned}$$

... and so on for higher  $k$ .

# Conclusion



- We gave some characterizations of the Nédélec space  $\mathbf{R}_k$ .
- This helped us identify an elementary basis for the highest degree part  $\mathbf{S}_k$ .
- We established an isomorphism between  $\mathbf{S}_k$  in  $N + 1$  dimensions and  $\mathbf{R}_k$  in  $N$  dimensions.
- Using the isomorphism, we got a basis in affine coordinates for  $\mathbf{R}_k$  of any order.
- Our basis can be used to develop shape functions that are hierarchical in the degree.
- While Nédélec-type spaces offer an alternative to the Nédélec space, whether they have advantages over the Nédélec space remains unclear.