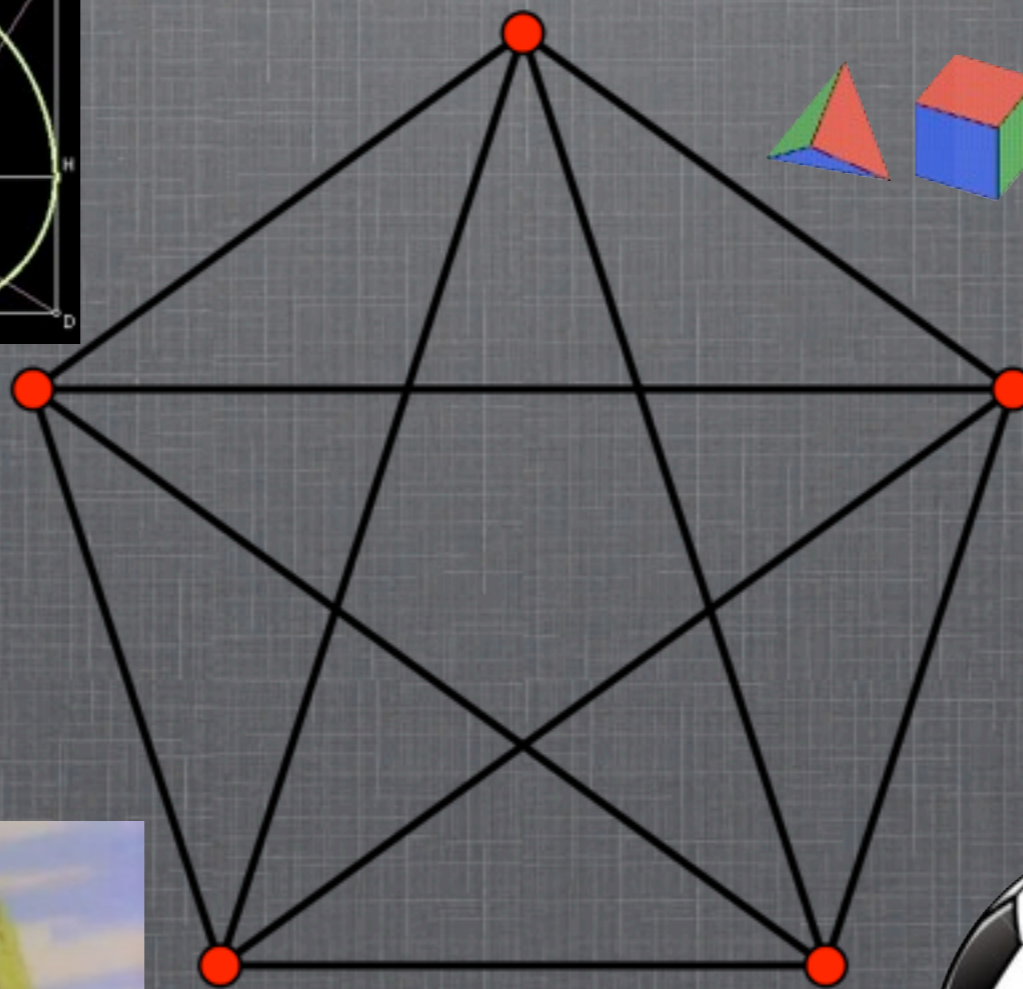
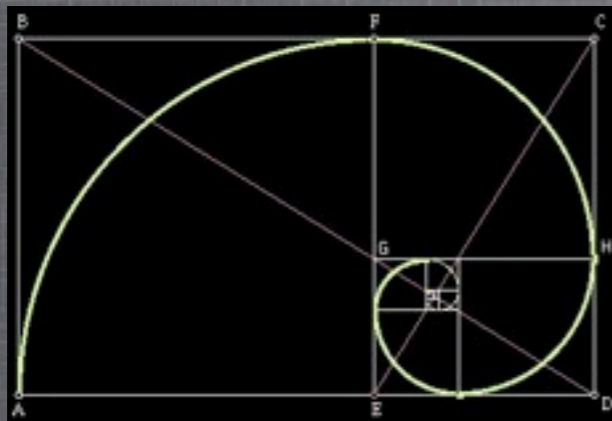


GOLDEN ROOTS OF CHROMATIC POLYNOMIALS

by Troy Parkinson

Color



WHO, WHERE & WHEN

- Ruth Bari - Johns Hopkins Univ., 1966



- William Tutte - Univ. of Waterloo, Canada, 1968



- Saaty & Kainen - The Four-Color Problem, 1977



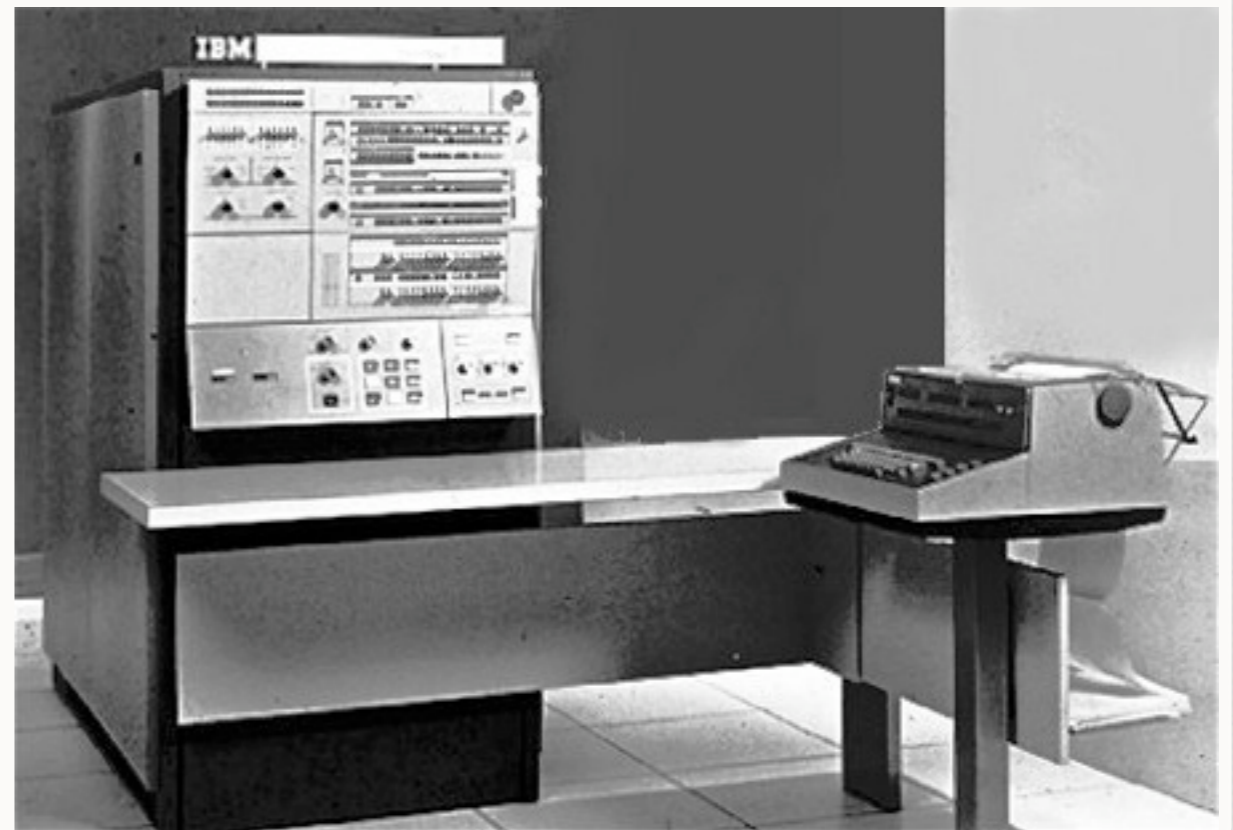
WHAT & WHY - BARI

- Absolute Reducibility of Maps of at Most 19 Regions
- All maps with < 20 regions determined up to homeomorphisms



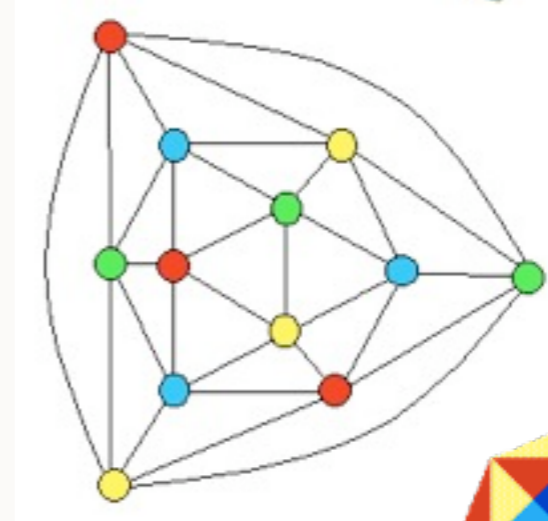
WHAT & WHY - TUTTE

- 1 negative, non-integral root, $u = (-3 + \sqrt{5})/2 = -0.38196601\dots$
- $u + 2 = \phi = 1.618033988\dots$
- $(3 + \sqrt{5})/2 = 2.618033988\dots$
= Golden Root $\rightarrow \phi + 1$

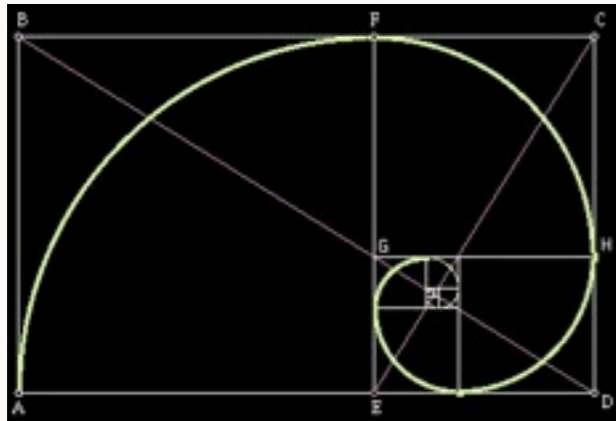


WHAT & WHY - SAATY & KAINEN

- Four-Color Theorem - proved in 1976 by Appel & Haken
- 1st major theorem to be proved using a computer
- Four-Color Problem - Assaults & conquest.
- $\phi + 1$ is never a root of a chromatic polynomial



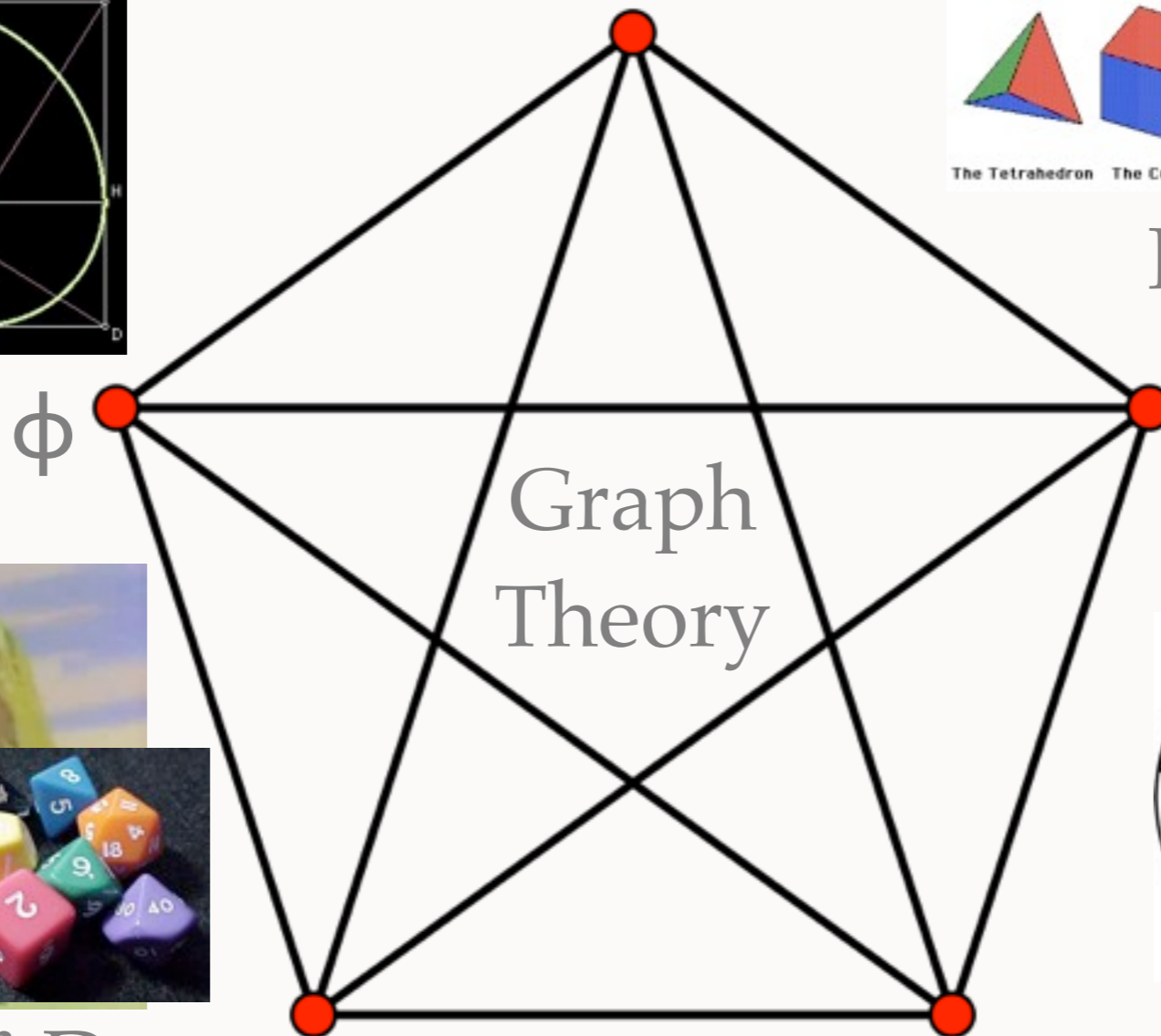
WHAT & WHY - PARKINSON ACADEMIC MOTIVATION



Color



Platonic Solids



Dungeons&Dragons

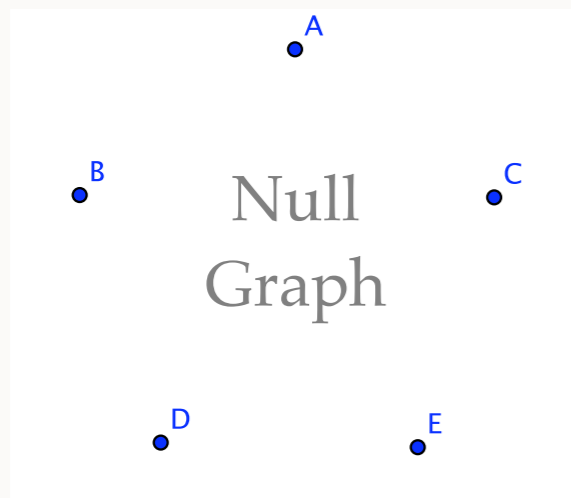


Soccer Ball

TERMINOLOGY

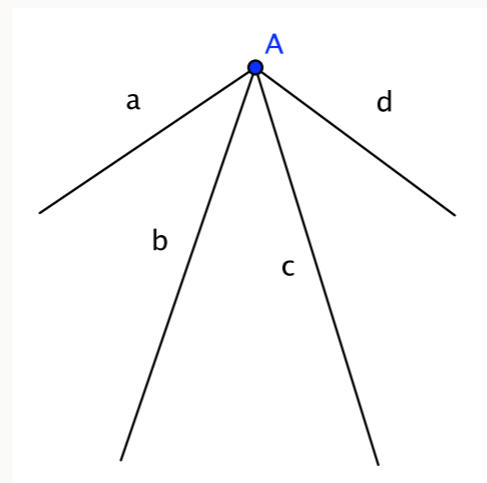
Graph, G :
 $\{V, E, \sim\}$

Vertices



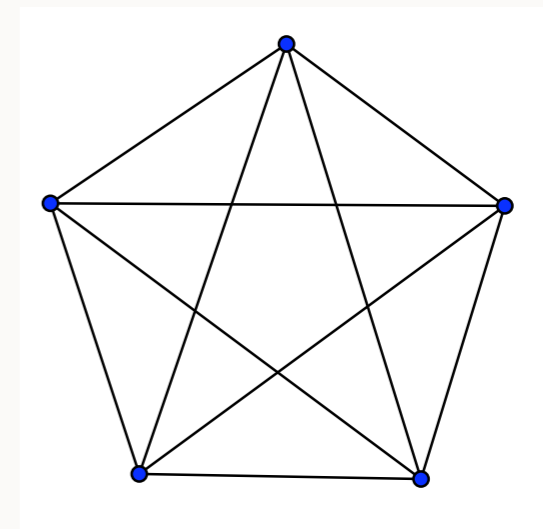
$V = \{A, B, C, D, E\}$
 $|V| = 5$

Edges



$E = \{a, b, c, d\}$
 $|E| = 4$

Adjacency Relation

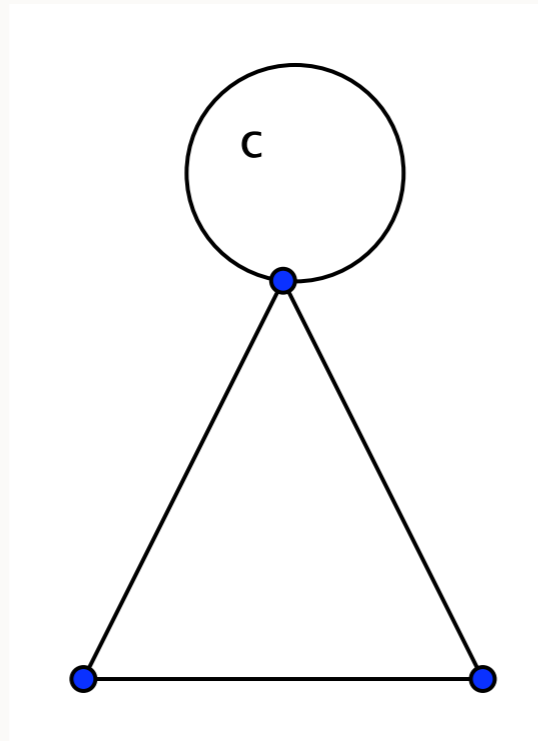


All $V_i \sim V_j$
 $|V| = 5, |E| = 10$

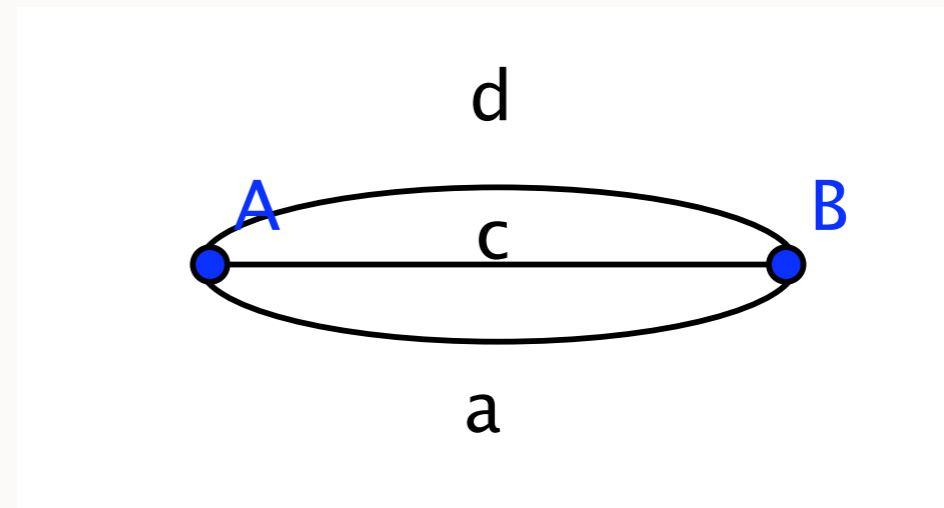
Vertex Degree: the number of edges at a vertex

SIMPLE GRAPHS

Loop



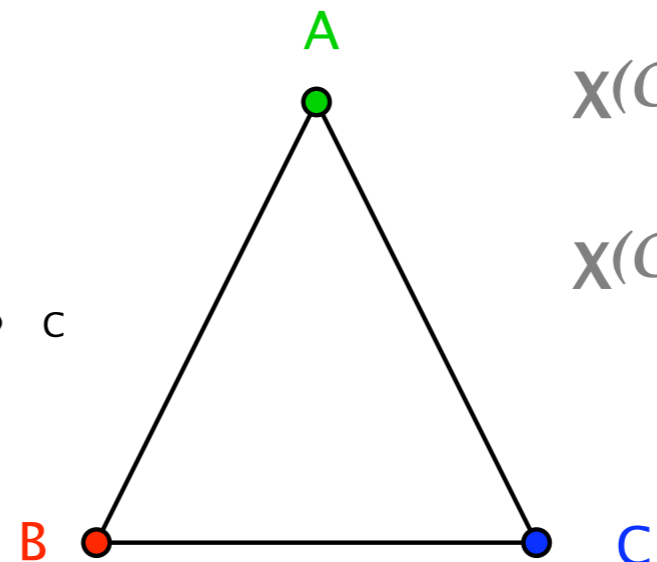
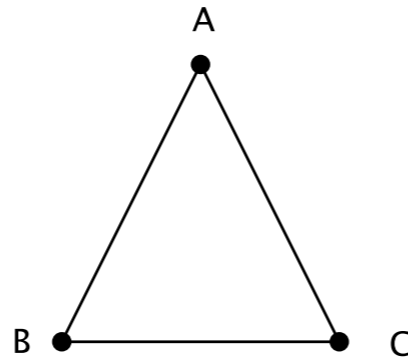
Multi-Edges



All graphs finite and loopless, but multi-edges have no effect on outcome.

VERTEX COLORING

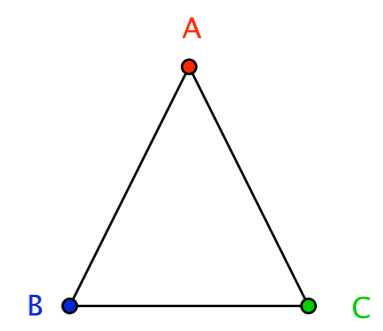
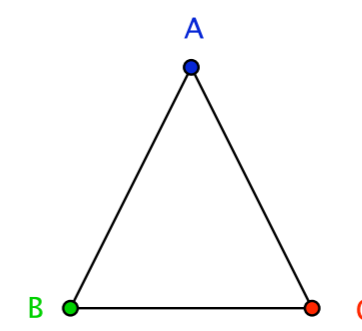
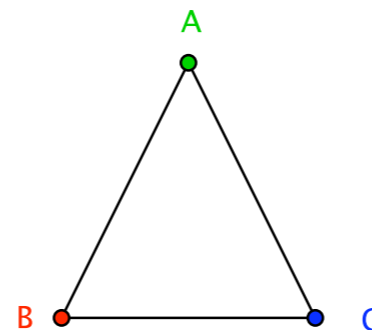
- For a Graph, G and a positive integer, k



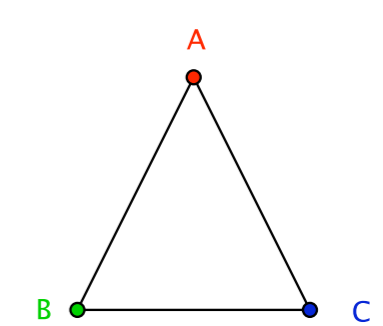
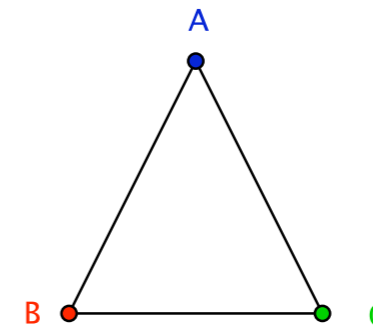
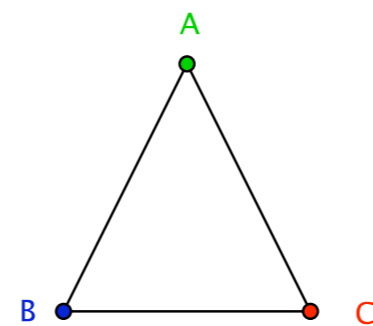
$$\chi(G,0) = 0, \chi(G,1) = 0,$$

$$\chi(G,2) = 0, \chi(G,3) = 6$$

- $\chi(G,k)$: number of ways to color G with k given colors so that no edge has both of its ends the same color

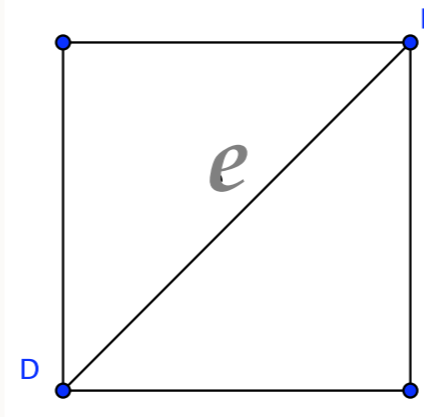


- Not all k colors need be used, Permutations of k colors used gives a new coloring for G

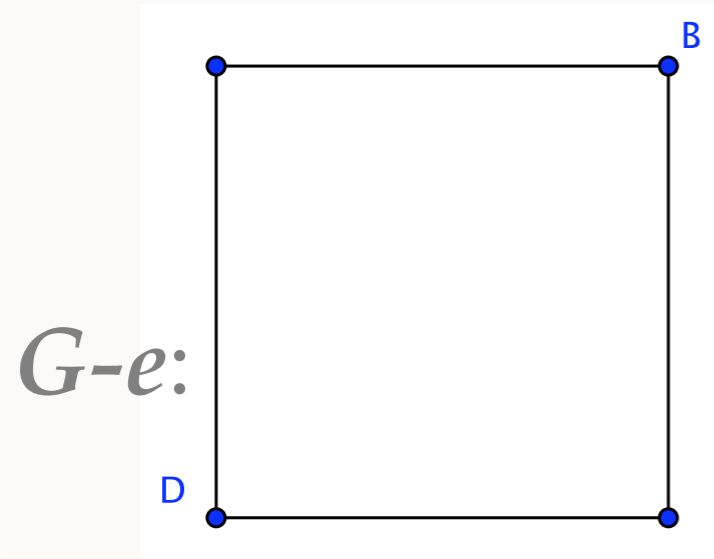


DELETION/ CONTRACTION

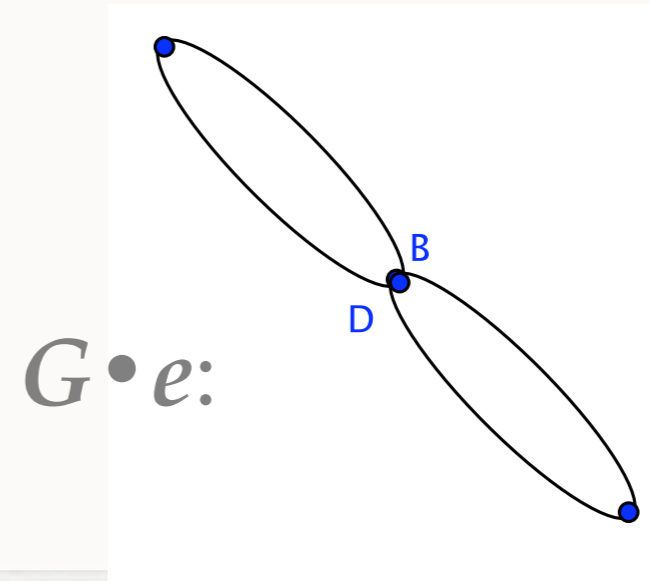
Let G :



Deletion: remove an edge, keep its vertices

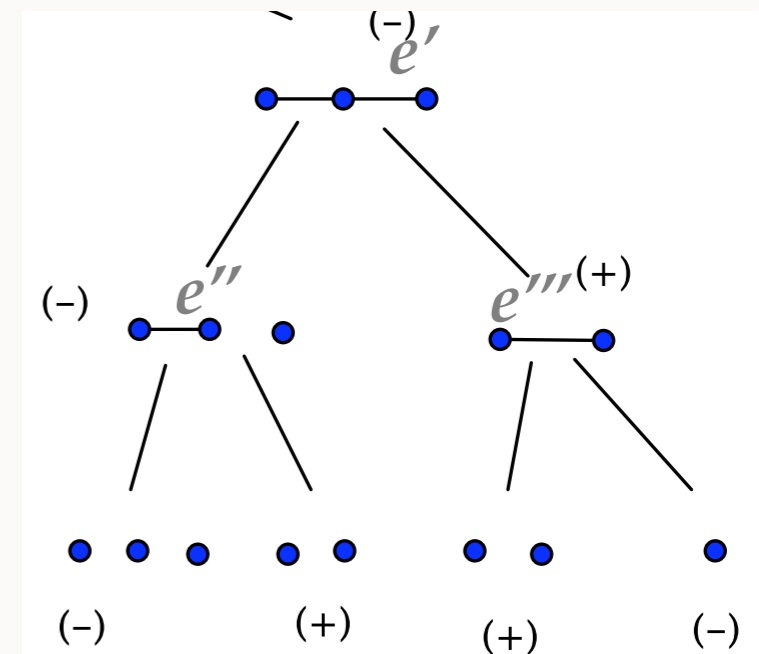
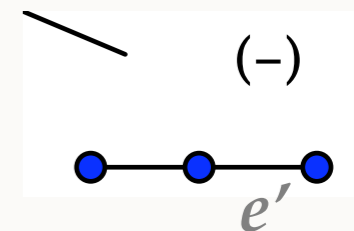
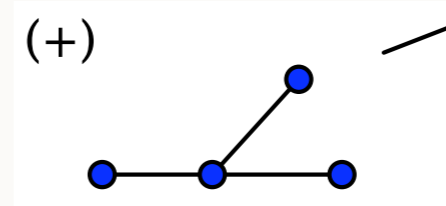
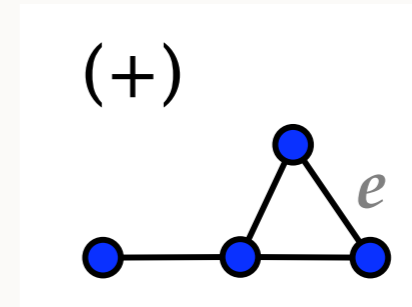
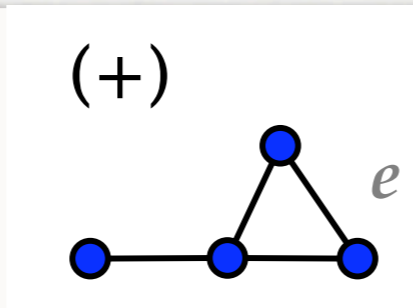


Contraction: remove an edge and identify their vertices



DELETION/CONTRACTION ALGORITHM

- Give G a *positive value*
- While there is a *signed graph*, and an edge, e , in the signed graph, Do:
 - Choose a non-null, signed graph and an edge, e
 - Remove e from the graph, while **keeping** its sign if e was *deleted*, and **negating** its sign if e was *contracted*
- Sum up all $\chi(G,k)$ of null graphs with the appropriate signs



THEOREM 1: IF G HAS $n > 0$ VERTICES
AND NO EDGES, THEN

$$\chi(G, k) = k^n$$

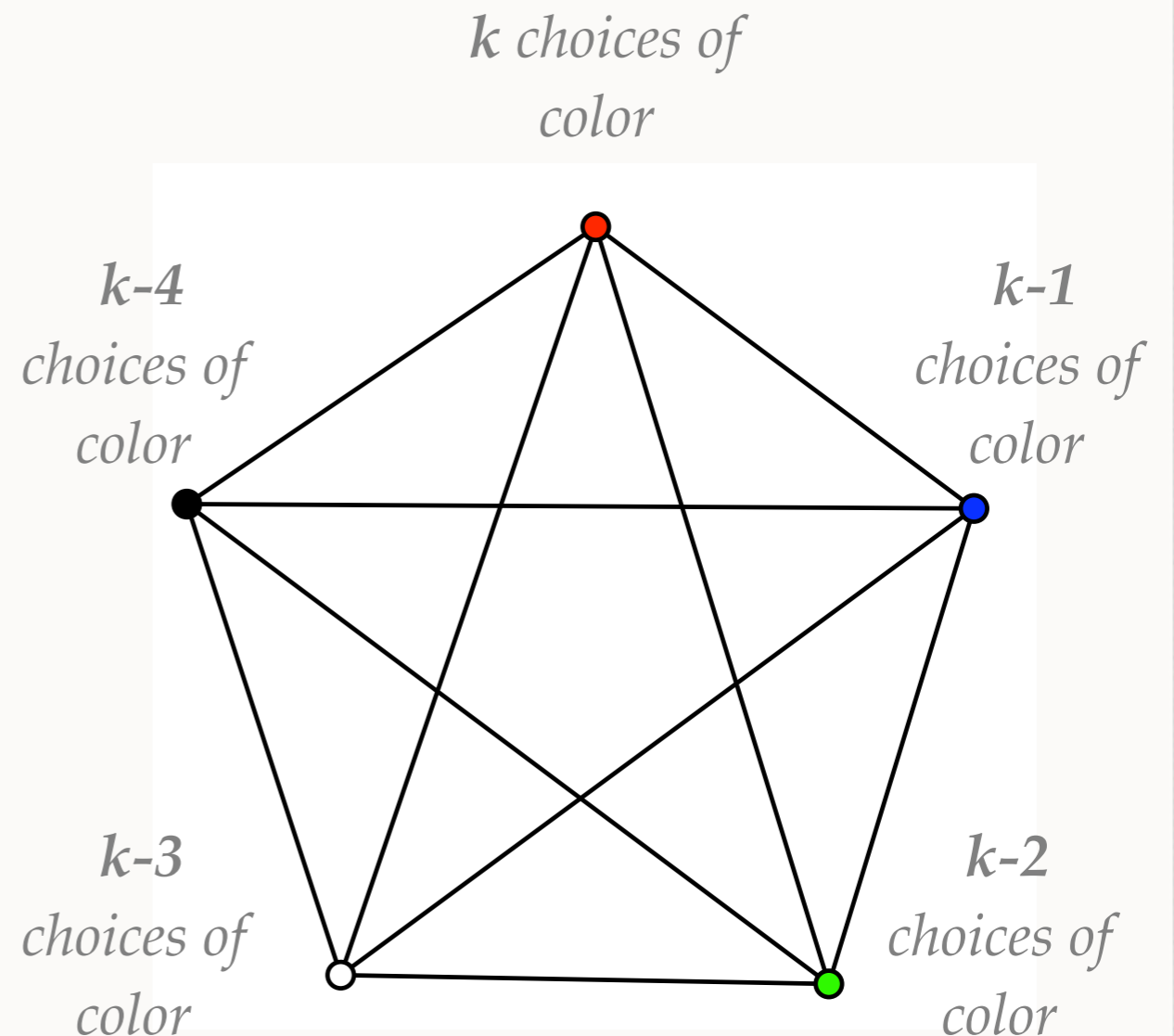
- Suppose G is a Null Graph.
- Assume $\exists n$ vertices, k colors
- No Edges \Rightarrow Vertices are not adjacent
- $\chi(G, n) = \overset{n \text{ times}}{k \cdot k \cdot k \cdot \dots \cdot k \cdot k} = k^n$



n vertices, each of color k

**THEOREM 2: IF G IS A COMPLETE GRAPH
WITH $n > 0$ VERTICES, THEN
 $\chi(K_n, k) = k! / (k-n)!$**

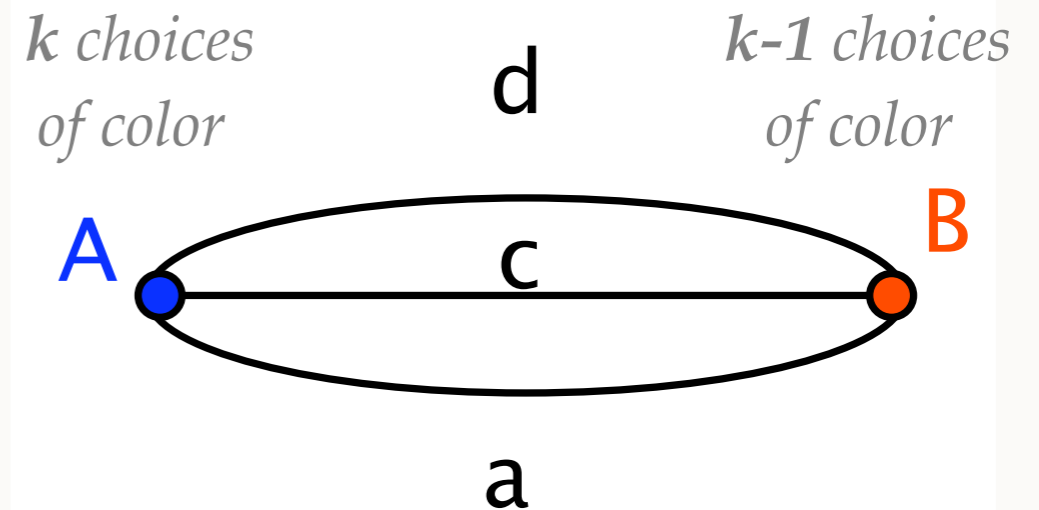
- Suppose G is a Complete Graph, K_n
- All vertices are adjacent to one another
- Choose any vertex and color it with one of k colors available.
- Next vertex has $k-1$ remaining colors to choose from and so on.
- $\chi(K_n, k) = k! / (k-n)!$



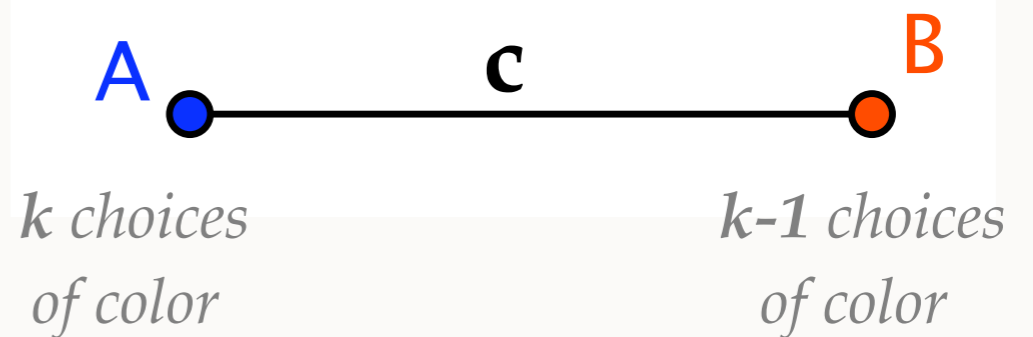
For $n=5$, $\chi(K_5, k) = k(k-1)(k-2)(k-3)(k-4)$

THEOREM 3: IF G HAS 2 EDGES WITH SAME PAIR OF VERTICES, THEN THE DELETION OF 1 OF THE EDGES DOES NOT AFFECT THE VALUE OF $X(G, k)$

- Suppose G contains multi-edges
- Delete *extra* edges
- More or less adjacent has no effect on colorings
- Unchanged $X(G, k)$



$$X(G, k) = k(k-1)$$

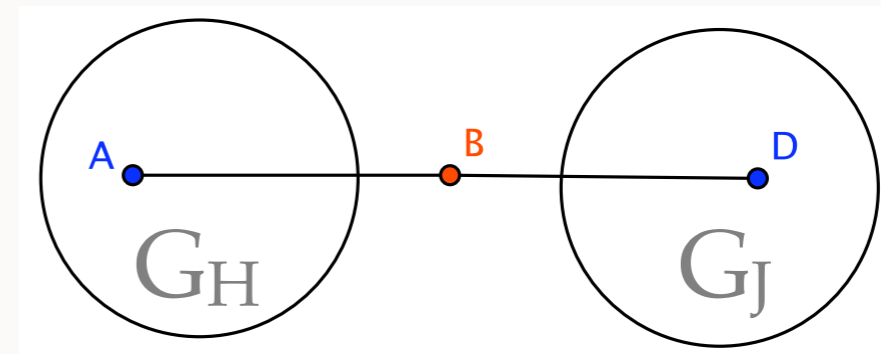
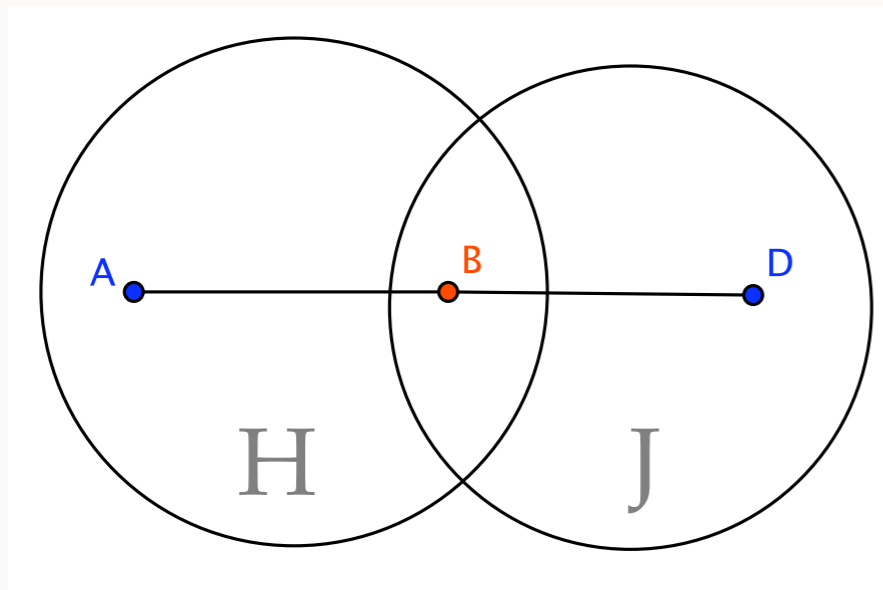


$$X(G, k) = k(k-1)$$

THEOREM 4: LET G BE THE UNION OF TWO SUBGRAPHS H AND J WHOSE INTERSECTION IS A COMPLETE GRAPH. THEN

$$X(G, k) \cdot X(H \cap J, k) = X(H, k) \cdot X(J, k)$$

- Suppose Graph $G = H \cup J$ where $H \cap J = K_n$



- \exists 2 disjoint vertex sets, G_H and G_J , whose colorings not determined by other

(CONTINUED) THEOREM 4: LET G BE THE UNION OF TWO SUBGRAPHS H AND J WHOSE INTERSECTION IS A COMPLETE GRAPH. THEN

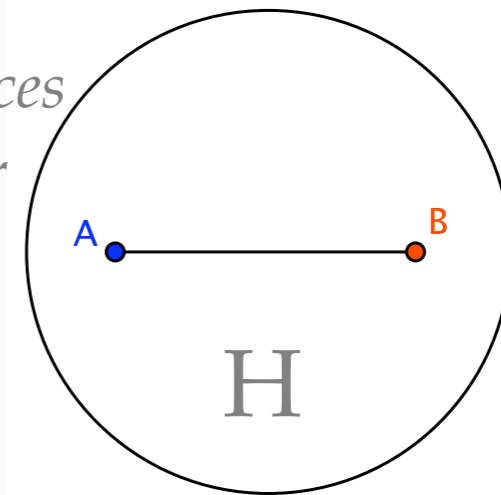
$$X(G, k) \cdot X(H \cap J, k) = X(H, k) \cdot X(J, k)$$

■ Hence,

■ $X(H, k) = X(K_n, k) \cdot X(G_H, k) =$

■ $X(H, k) = k(k-1) \cdots (k-r)(k-r-1) X(G_H, k)$

*k-1 choices
of color*



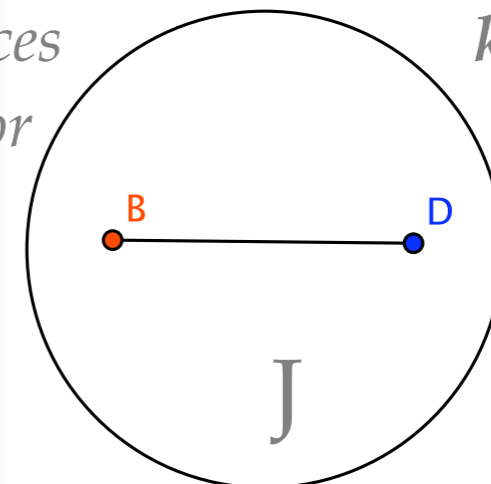
*k choices
of color*

■ Similarly,

■ $X(J, k) = X(K_n, k) \cdot X(G_J, k) =$

■ $X(J, k) = k(k-1) \cdots (k-r)(k-r-1) X(G_J, k)$

*k choices
of color*



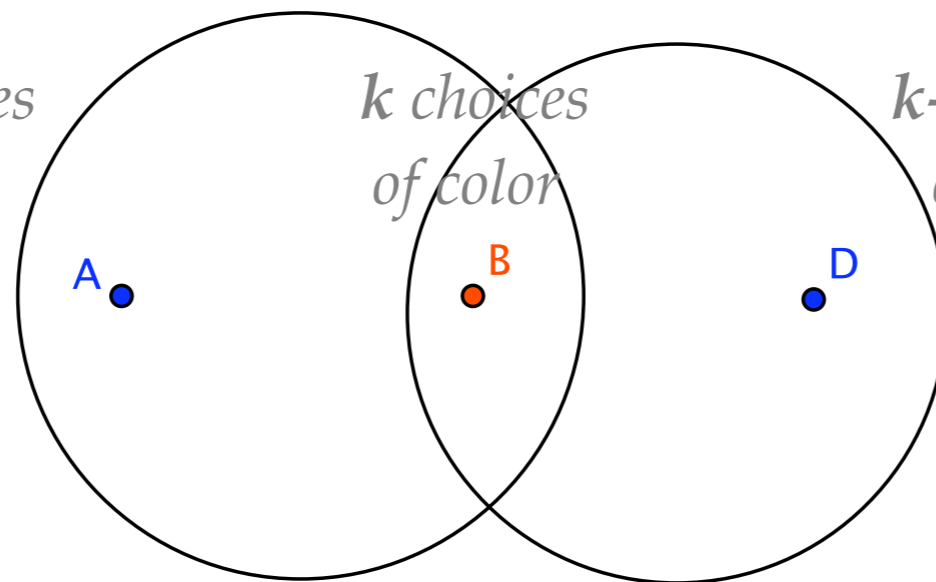
*k-1 choices
of color*

(CONTINUED) THEOREM 4: LET G BE THE UNION OF TWO SUBGRAPHS H AND J WHOSE INTERSECTION IS A COMPLETE GRAPH. THEN

$$X(G, k) \cdot X(H \cap J, k) = X(H, k) \cdot X(J, k)$$

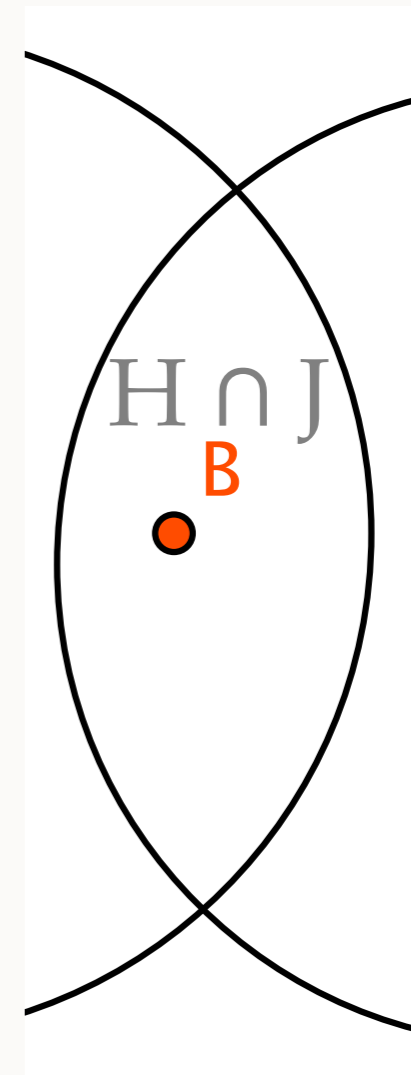
- Vertex set of $H \cap J$ is complete, so disjoint from either G_H or G_J
- Thus, $X(H \cap J, k) = X(K_n, k)$ and
- $X(G, k) = X(G_H, k) \cdot X(H \cap J, k) \cdot X(G_J, k)$.

*k-1 choices
of color*



*k choices
of color*

*k-1 choices
of color*

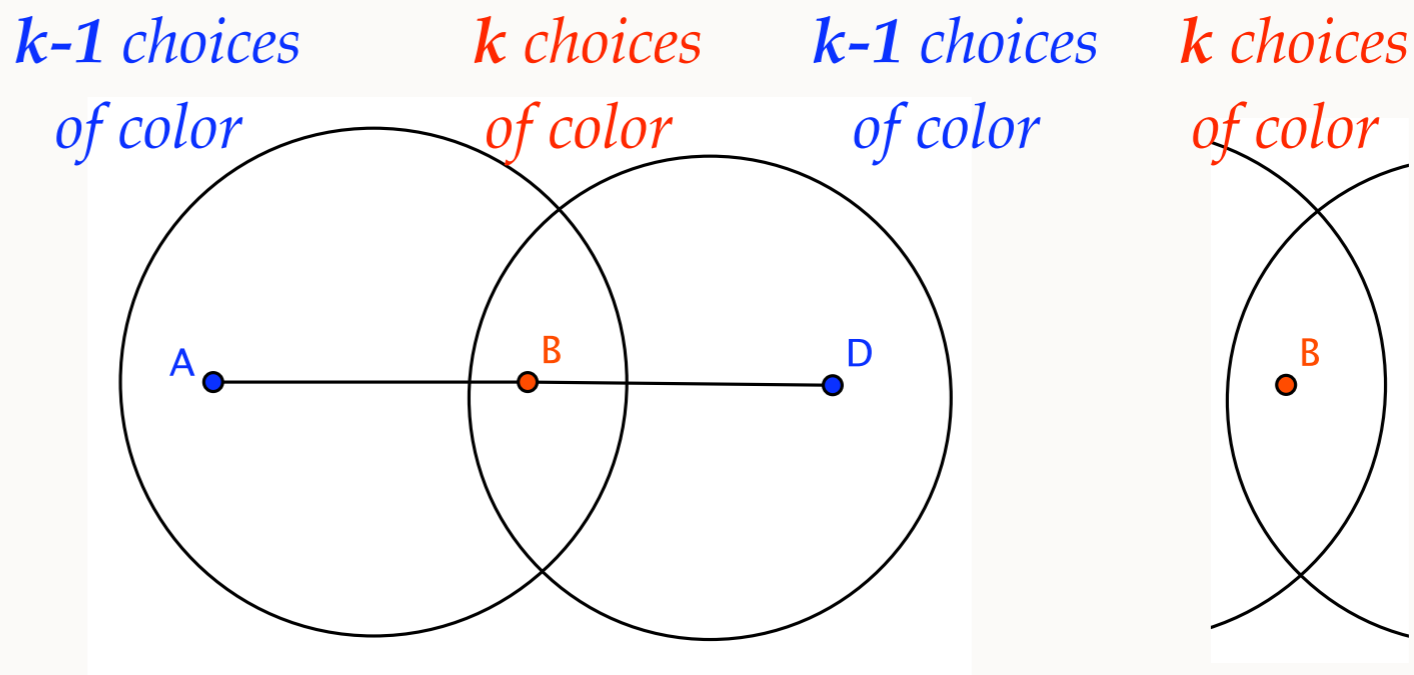


(CONCLUDED) THEOREM 4: LET G BE THE UNION OF TWO SUBGRAPHS H AND J WHOSE INTERSECTION IS A COMPLETE GRAPH. THEN

$$X(G, k) \cdot X(H \cap J, k) = X(H, k) \cdot X(J, k)$$

- $X(G, k) \cdot X(H \cap J, k) = \{X(G_H, k) \cdot X(G_J, k) \cdot X(H \cap J, k)\} \cdot X(K_n, k)$
(2 copies of K_n)
- $= X(G_H, k) \cdot [k(k-1) \cdots (k-r)(k-r-1)] X(G_J, k) \cdot [k(k-1) \cdots (k-r)(k-r-1)]$
- $= X(H, k) \cdot X(J, k)$

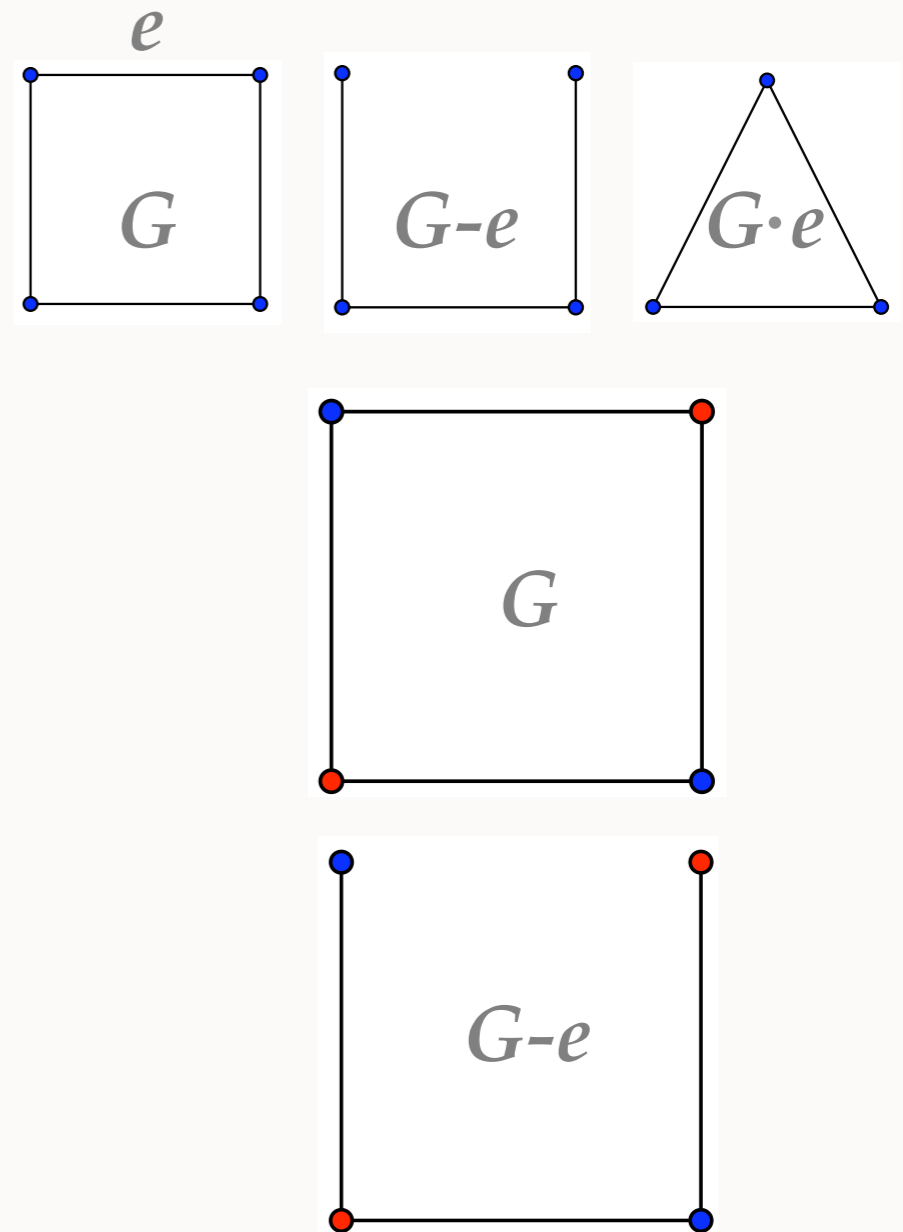
$$X(P_3, k) = k^2(k-1)^2 / k$$



THEOREM 5: DELETION- CONTRACTION

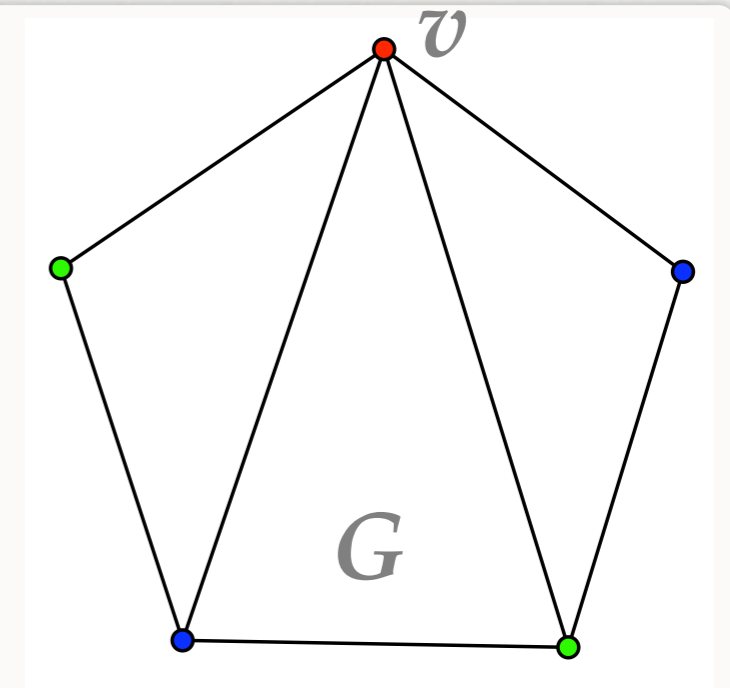
$$X(G,k) = X(G-e,k) - X(G \cdot e,k)$$

- Let $\exists e$ in G , $G-e$ and $G \cdot e$
- k -colorings of G are k -colorings of $G-e \Leftrightarrow k$ -coloring gives distinct colors to vertices of edge e
- Subtract from $X(G-e,k)$ number of k -colorings of $G-e$ that give endpoint vertices the same color
- These colorings correspond to $G \cdot e$'s

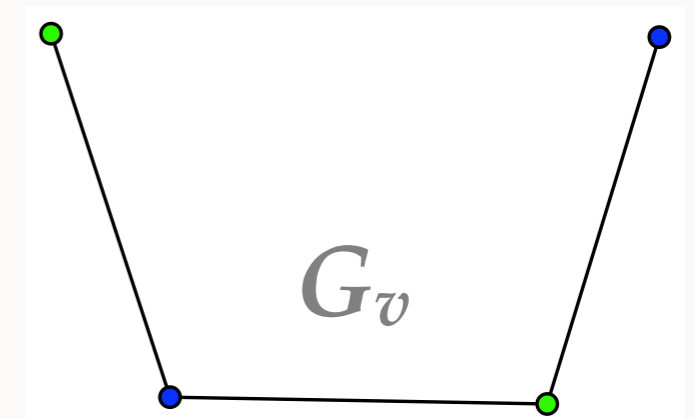


THEOREM 6: LET v BE A VERTEX THAT IS ADJACENT TO EVERY OTHER VERTEX IN A GRAPH, G , THEN $X(G, k) = k X(G_v, k-1)$

- v adjacent to all other vertices, no vertex has same color as v
- $X(G, k)$ an arrangement with respect to k colors, we selected 1 of k colors.
- Rest of arrangement determined by colorings of G_v without use of first k color chosen

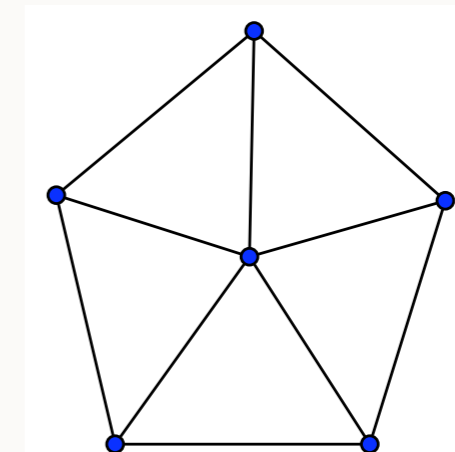
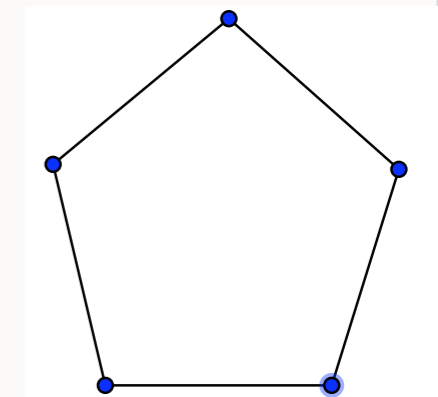
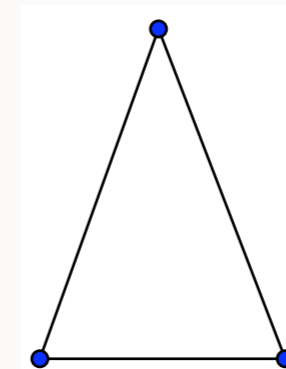
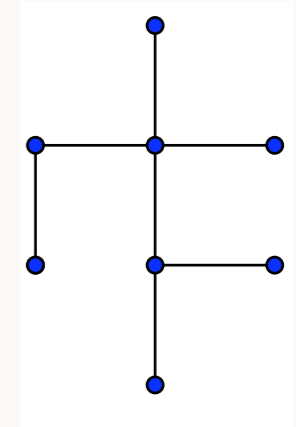
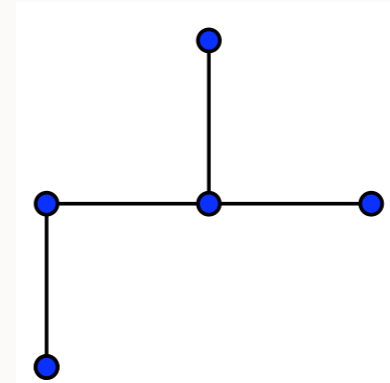


$$X(G, K) = K \cdot X(G_v, K-1)$$



SPECIFIC GRAPH EXAMPLES & $X(G,k)$

- *Tree, T_n* : A connected graph that contains no cycles
- *Cycle, C_n* : A closed path in a graph
- *Wheel, W_n* : A graph that has a single vertex adjacent to every other n vertices of a cycle



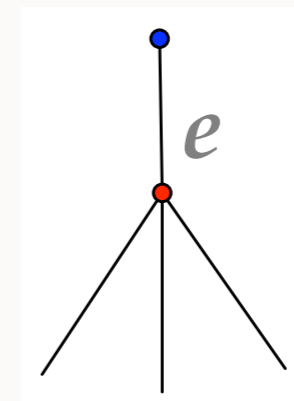
Theorem 7: Let T_n be a tree with n vertices, then

$$X(T_n, k) = k \cdot (k-1)^{n-1}$$

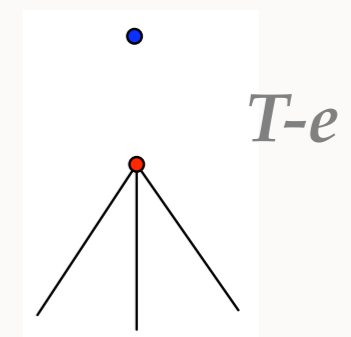
- By induction, Base Case for $n=1$ true
- Suppose IndHyp. true for some T_{n-1} and $\exists e$ adjacent to leaf
- $T-e$ has two components (note: $T_{n-2} = T \cdot e$)
- $X(T-e, k) = k \cdot X(T \cdot e, k)$ by IndHyp.,
 $X(T_n, k) = X(T-e, k) - X(T \cdot e, k)$ by Theorem 5
- $X(T_n, k) = k \cdot X(T \cdot e, k) - k(k-1)^{n-2}$
 $= k \cdot (k-1)^{n-2} \cdot [k-1]$
 $= k \cdot (k-1)^{n-1}$

● T_n $n=1$ k colors

$$X(T_n, k) = k$$

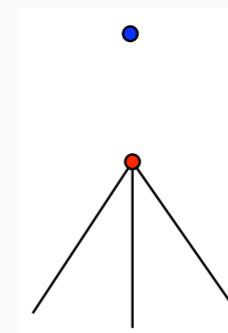


T_{n-1} $n-1$ verts

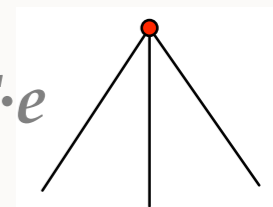


$T-e$

● T_1



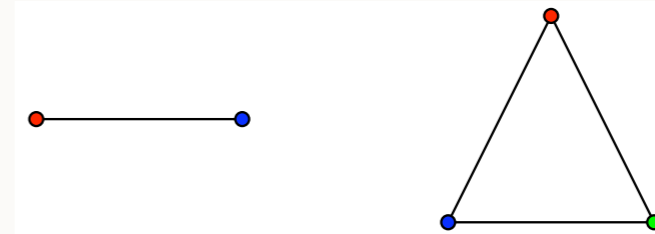
$T_{n-2} = T \cdot e$



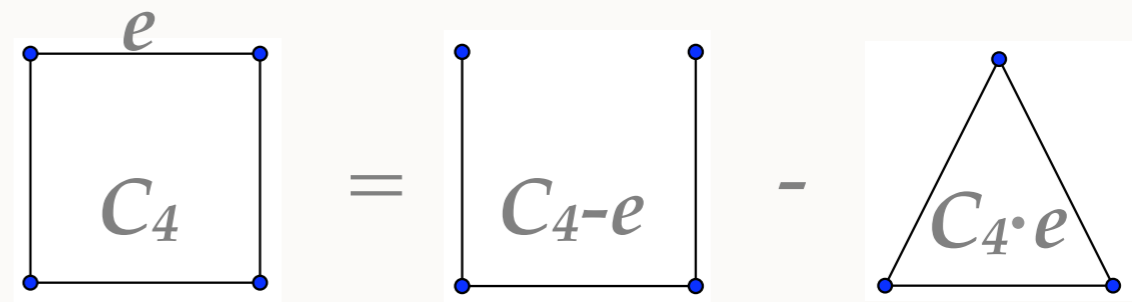
Theorem 8: Let C_n be a cycle with n vertices, then

$$X(C_n, k) = (k-1)^{n-1} + (-1)^n (k-1)$$

- $X(C_2, k) = X(K_2, k) = X(T_2, k) = k \cdot (k-1)$,
 $X(C_3, k) = X(K_3, k) = k \cdot (k-1)(k-2)$



- $C_n - e$ is a tree on n vertices, so
 $X(C_n - e, k) = k \cdot (k-1)^{n-1}$



- $C_n \cdot e$ is a cycle graph with $n-1$ vertices, so Del / Con Alg \Rightarrow

$$X(C_n, k) = k \cdot (k-1)^{n-1} - X(C_n \cdot e, k)$$

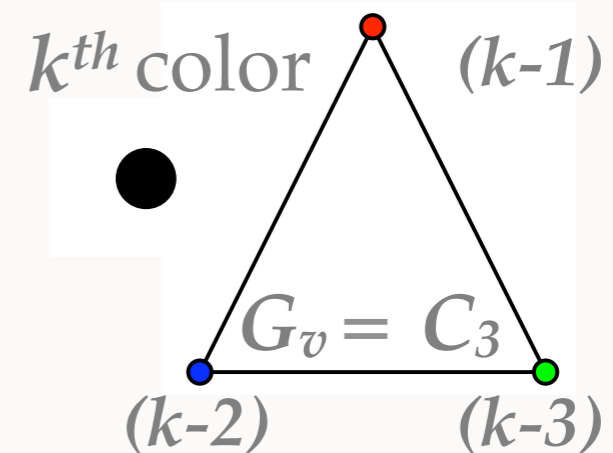
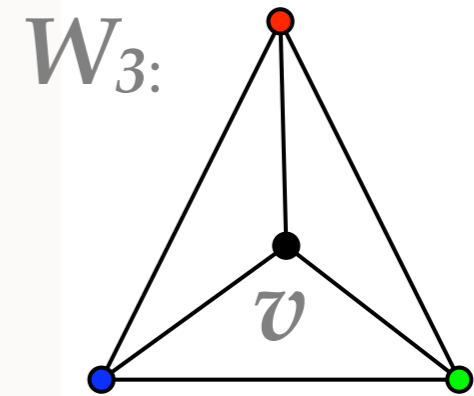
$$X(C_n, K) = (K-1)^{n-1} + (-1)^n (K-1)$$

- Using $X(C_3, k) = (k-1)^3 - (k-1)$ as initial condition to solve recursion or using characteristic equation

Theorem 9: Let W_n be a wheel with n spokes, then

$$X(W_n, k) = k(k-2)^n + (-1)^n(k-2)$$

- \exists cycle, C_n surrounding *hub* vertex, v .
- Theorem 6 $\Rightarrow X(W_n, k) = k \cdot X(G_v, k-1)$
- Assuming v is wheel center, $G_v = C_n$ and by Theorem 8,
 $X(C_n, k) = (k-1)^{n-1} + (-1)^n (k-1)$
- Substituting $(n-1)$ for n gives us the result

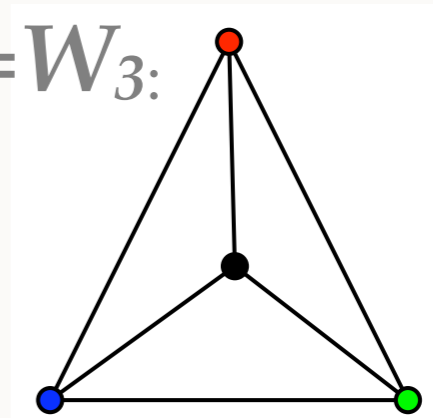


$$X(W_3, k) = k(k-1)(k-2)(k-3)$$

CHROMATIC POLYNOMIAL, $X(G, k)$

- $X(G, k)$ is function counting distinct ways to color G , with k or fewer colors, and where permutations of colors are also distinct
- For G with n vertices, m edges, and t components, G_1, G_2, \dots, G_t :
 - Coefficient of k^n in $X(G, k)$ is 1
 - Coefficient of k^{n-1} in $X(G, k)$ is $-m$
 - Coefficients of k^0, k^1, k^{t-1} are all 0
 - Coefficient of k^t is nonzero
 - $X(G, k) = X(G_1) X(G_2) \dots X(G_t)$
 - Coefficients of *every* $X(G, k)$ alternate in signs

$K_4 = W_3$:

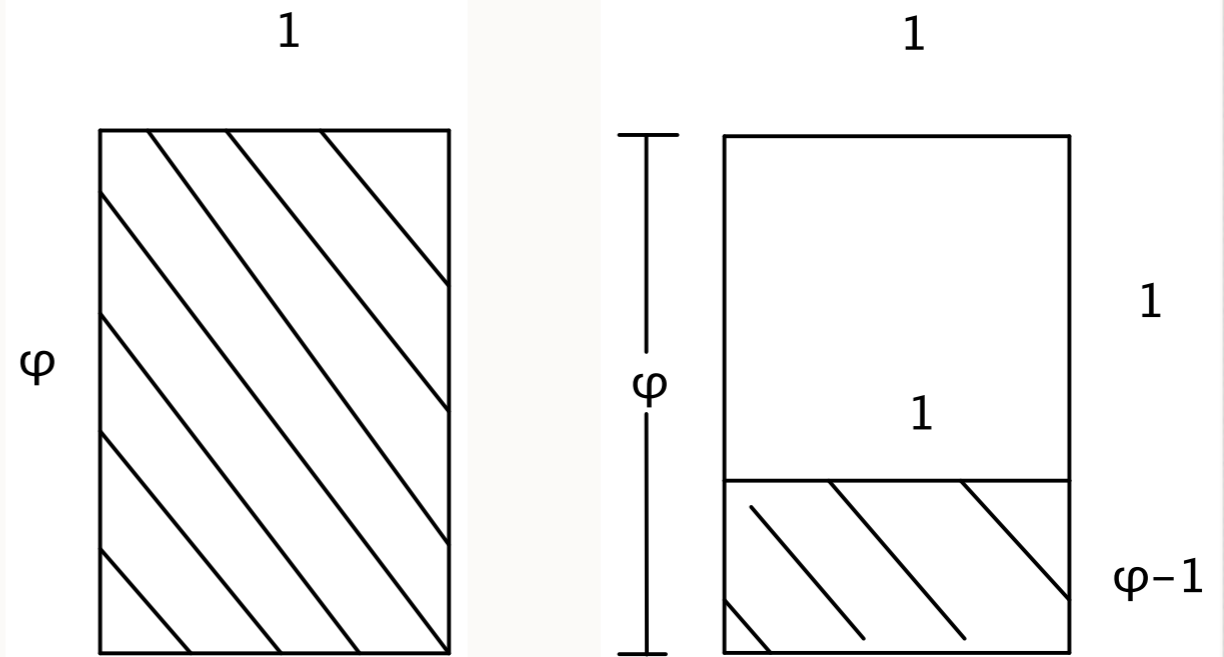


$$X(K_4, k) = k(k-1)(k-2)(k-3)$$

$$X(K_4, k) = k^4 - 6k^3 + 11k^2 - 6k$$

Φ , THE GOLDEN RATIO

- Given a rectangle having sides in the ratio $1:\Phi$
- Φ is defined as unique number such that partitioning the original rectangle into a square and new rectangle which also has sides in ratio $1:\Phi$



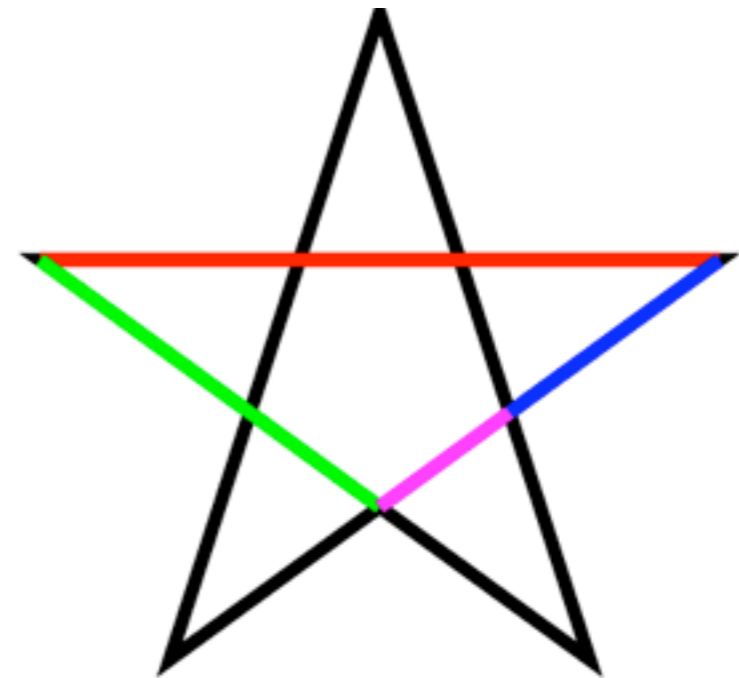
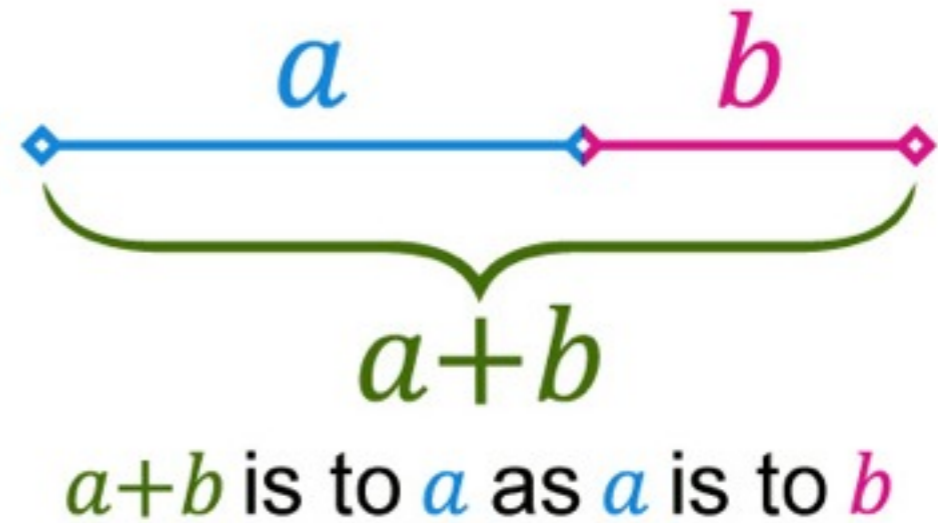
$$\frac{\phi}{1} = \frac{1}{\phi - 1} \quad \phi^2 - \phi - 1 = 0.$$

$$\phi = 1/2 + \sqrt{5}/2$$

$$= 1.6180339887498948482045868343\dots$$

Φ , THE GOLDEN RATIO

- If $\Phi^2 - \Phi - 1 = 0$, then $\Phi^2 = \Phi + 1$
- If $k = \Phi + 1$
- Then $k = \Phi^2$, $k - 1 = \Phi$, and $k - 2 = \Phi^{-1}$



THEOREM 10: LET G BE THE UNION OF TWO SUBGRAPHS H AND J WHOSE INTERSECTION IS A COMPLETE GRAPH WITH $0 \leq n_k \leq 3$ VERTICES. THEN

$$X(G, 1 + \Phi) = \Phi^{-\theta} X(H, 1 + \Phi) \cdot X(J, 1 + \Phi)$$

- Where $\theta = 0, 2, 3$ or 2 , respectively to $n_k = 0, 1, 2, 3$
- Proof consists of 4 cases
- Case 1: $\theta = 0, n_k = 0 \Rightarrow$ The intersection of H and J is a null graph (complete 0-graph), $X(\emptyset, k) = 1$ by Theorem 1 and $X(H \cap J, k) = 1$
- Theorem 4 $\Rightarrow X(G, 1 + \Phi) \cdot 1 = X(H, 1 + \Phi) \cdot X(J, 1 + \Phi)$
- $X(G, 1 + \Phi) = \Phi^0 X(H, 1 + \Phi) \cdot X(J, 1 + \Phi)$

(CONCLUDED) THEOREM 10: LET $G=H \cup J$, WHERE

$H \cap J = K_n$ AND WITH $0 \leq n_k \leq 3$ VERTICES. THEN

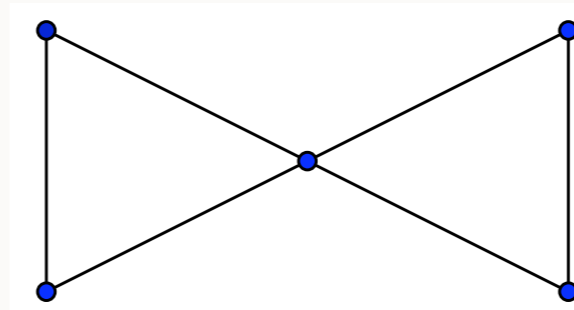
$$X(G, 1 + \Phi) = \Phi^{-\theta} X(H, 1 + \Phi) \cdot X(J, 1 + \Phi)$$

- Case 4: $\theta = 2, n_k = 3 \Rightarrow H \cap J = K_3$, and by Theorem 2
 $X(H \cap J, k) = k(k-1)(k-2)$
- $X(K_3, 1 + \Phi) \Rightarrow X(K_3, 1 + \Phi = \Phi^2) \cdot \Phi^2((\Phi+1)-1)((\Phi+1)-2) =$
 $\Phi^2(\Phi)(\Phi-1) = \Phi^2(\Phi)(\Phi^{-1}) = \Phi^2$
- Theorem 4 $\Rightarrow X(G, 1 + \Phi) \cdot \Phi^2 = X(H, 1 + \Phi) \cdot X(J, 1 + \Phi)$
 $\Rightarrow X(G, 1 + \Phi) = \Phi^{-2} \cdot X(H, 1 + \Phi) \cdot X(J, 1 + \Phi)$

PLANAR GRAPHS: A GRAPH THAT CAN BE DRAWN ON THE PLANE IN SUCH A WAY THAT ITS EDGES INTERSECT ONLY AT THEIR ENDPOINTS

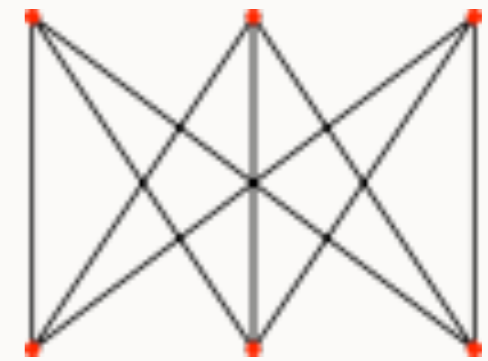
- Handshaking Lemma
- Euler's Formula
- $m \leq 3n - 6$
- no triangles, then $m \leq 2n - 4$
- if planar, then \exists at least one vertex of degree 5

Planar
Bowtie

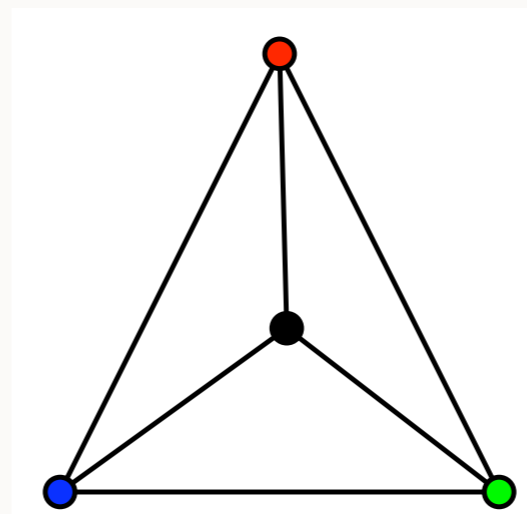


Non-Planar

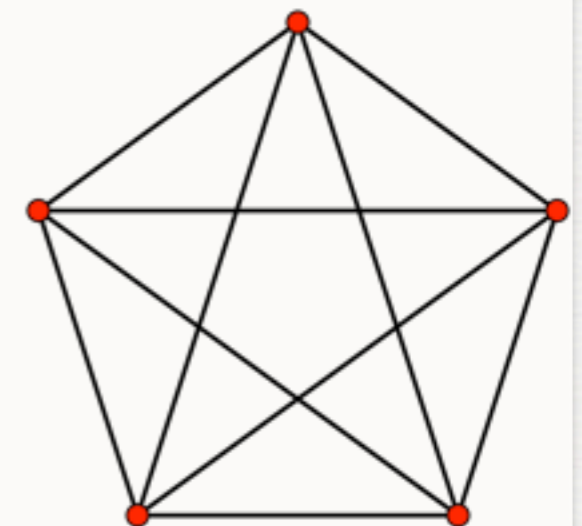
$K_{3,3}$



K_4



K_5

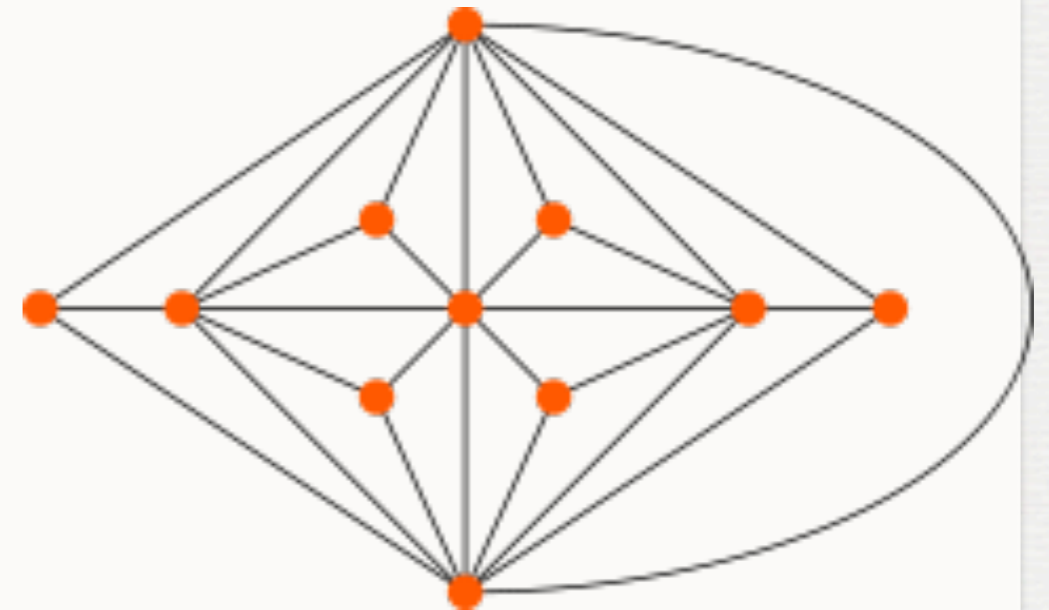
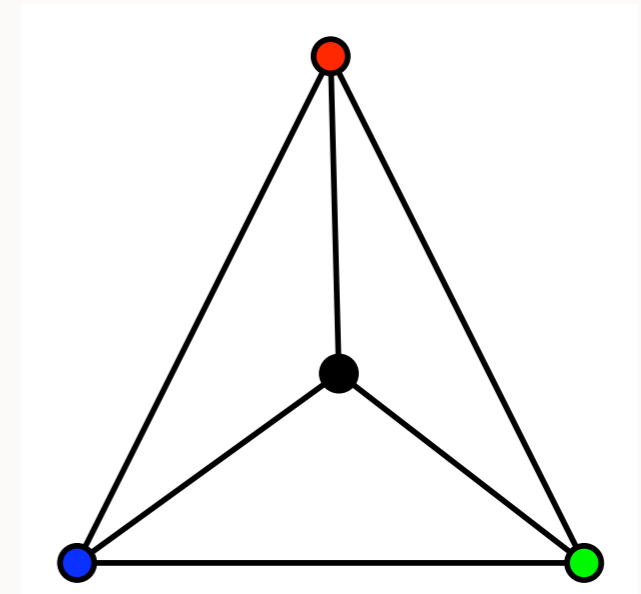


G PLANAR, CONNECTED \Rightarrow G HAS AT LEAST ONE VERTEX OF DEGREE < 6

- By contradiction, let *every* vertex in G be of degree ≥ 6
- $\sum \delta(G) = 2 \cdot e \leq 2(3n-6) = 6n-12$, so degree sum at most $6n-12$
- average vertex degree

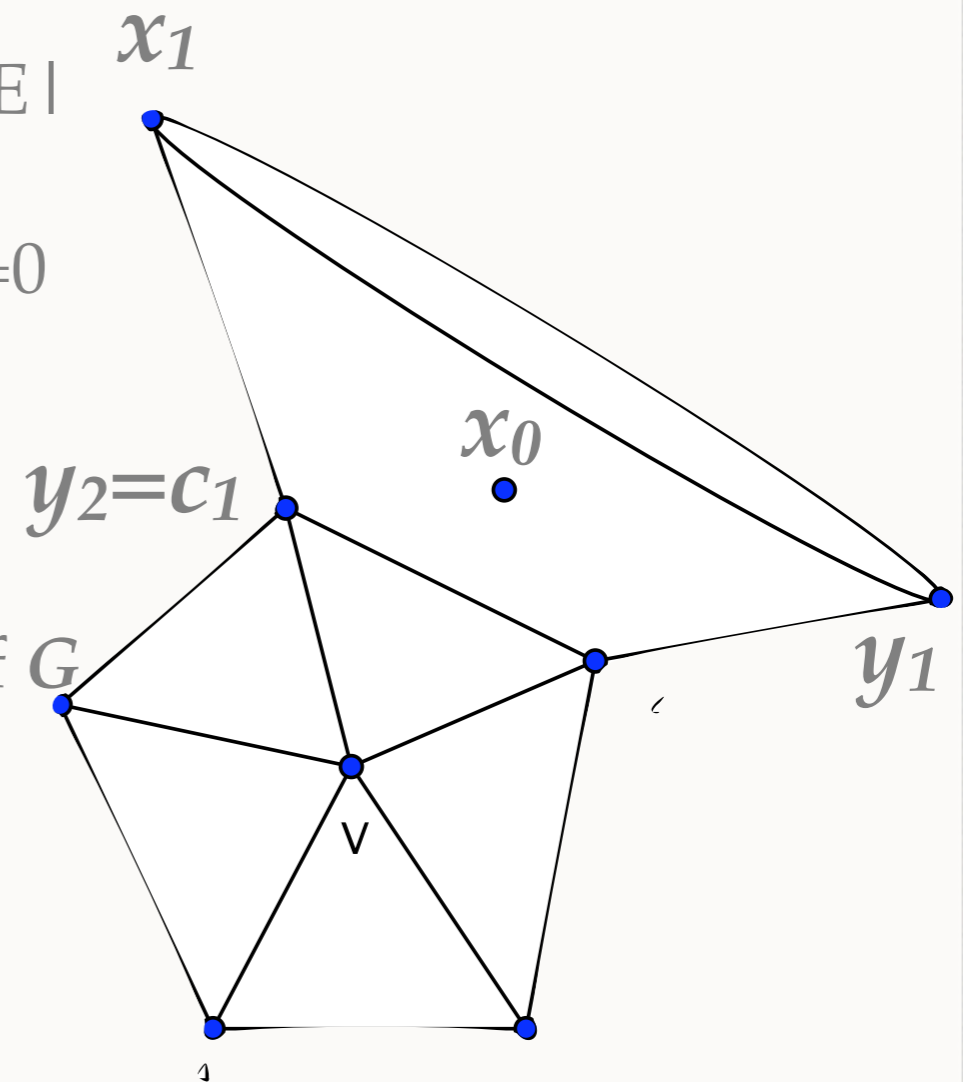
$$\frac{6n - 12}{n} = 6 - \frac{12}{n} < 6$$

- ❁ Contradiction since every vertex had degree ≥ 6



THEOREM 11: vertex v enclosed by cycle C_n in planar graph, $G \Rightarrow \chi(G, 1+\Phi) = (-1)^n \Phi^{1-n} \chi(G_v, 1+\Phi)$

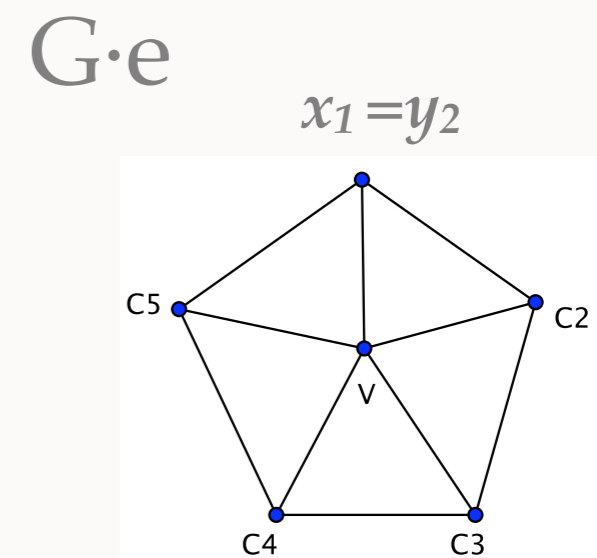
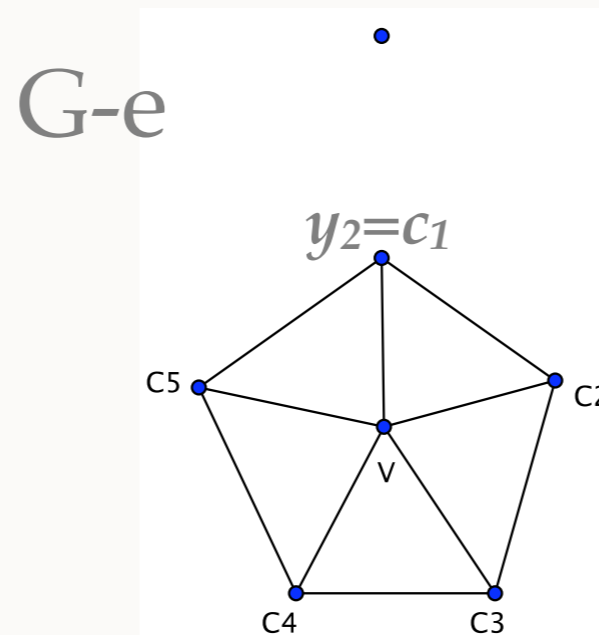
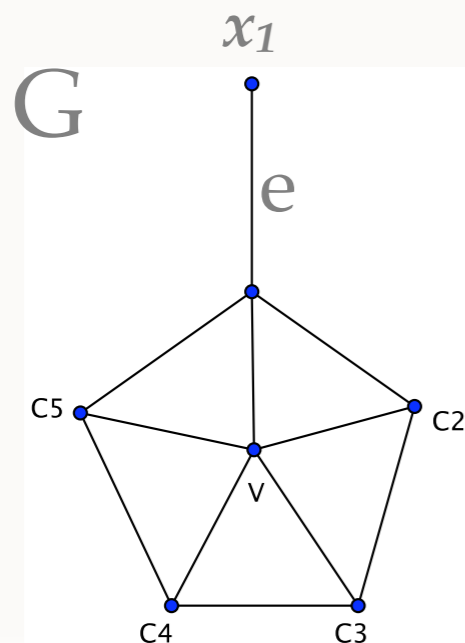
- Cycle enclosing v , induct on $|G| = |V| + |E|$
- Base Case true since $|G| = 1 \Rightarrow \nexists$ cycle, $n=0$
- 3 subcases
 - x_0 is not adjacent to *any* other vertex of G
 - x_1 adjacent to vertex $y_1 \neq v$, but not a vertex C_i in cycle C
 - x joined to some vertex $y_2 = C_i$ in cycle C



THEOREM 11: SUBCASE A-

$$\chi(G, 1+\Phi) = (-1)^n \Phi^{1-n} \chi(G_v, 1+\Phi)$$

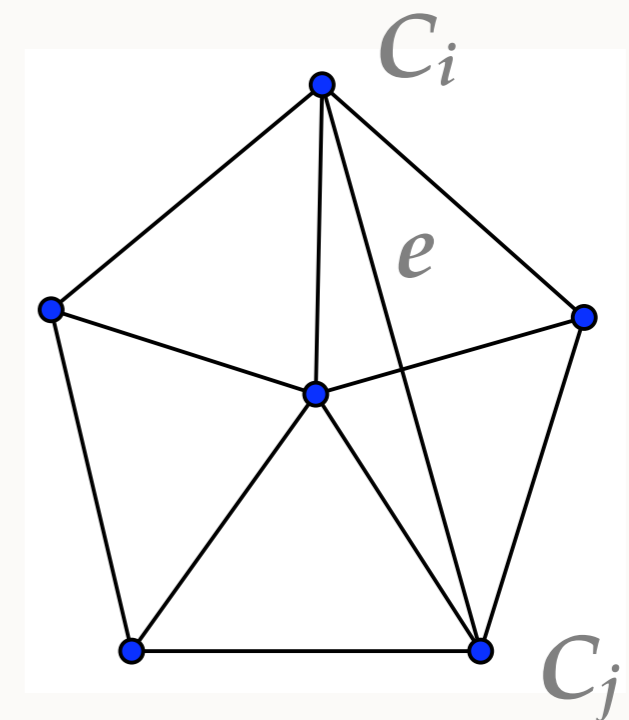
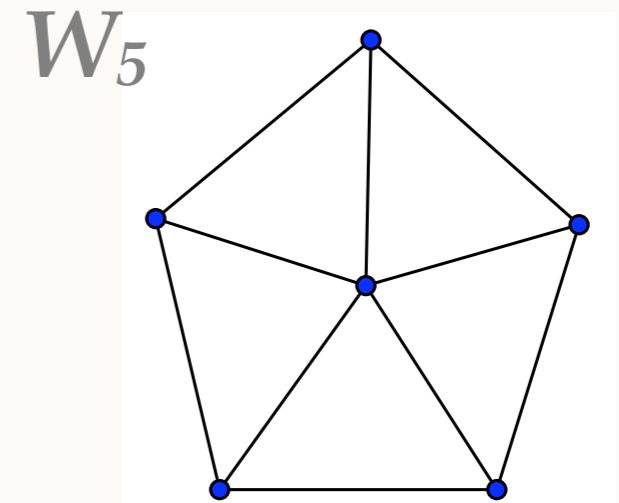
- $G-e$ and $G \cdot e$ retain planarity since invariant WRT deletions/contractions
- $|G \cdot e| < |G-e| < |G|$, $(G_v) - e = (G-e)_v$ and $(G_v) \cdot e = (G \cdot e)_v$
- Del/Con $\Rightarrow \chi(G, 1+\Phi) = \chi(G-e, 1+\Phi) - \chi(G \cdot e, 1+\Phi)$
- Ind.Hyp $\Rightarrow (-1)^n \Phi^{1-n} \cdot \chi((G-e)_v, 1+\Phi) - \chi((G \cdot e)_v, 1+\Phi) = (-1)^n \Phi^{1-n} \cdot \chi(G_v, 1+\Phi)$



THEOREM 11: CASE B- Assume that G has no other vertices other than type v and C_i

$$\chi(G, 1+\Phi) = (-1)^n \Phi^{1-n} \chi(G_v, 1+\Phi)$$

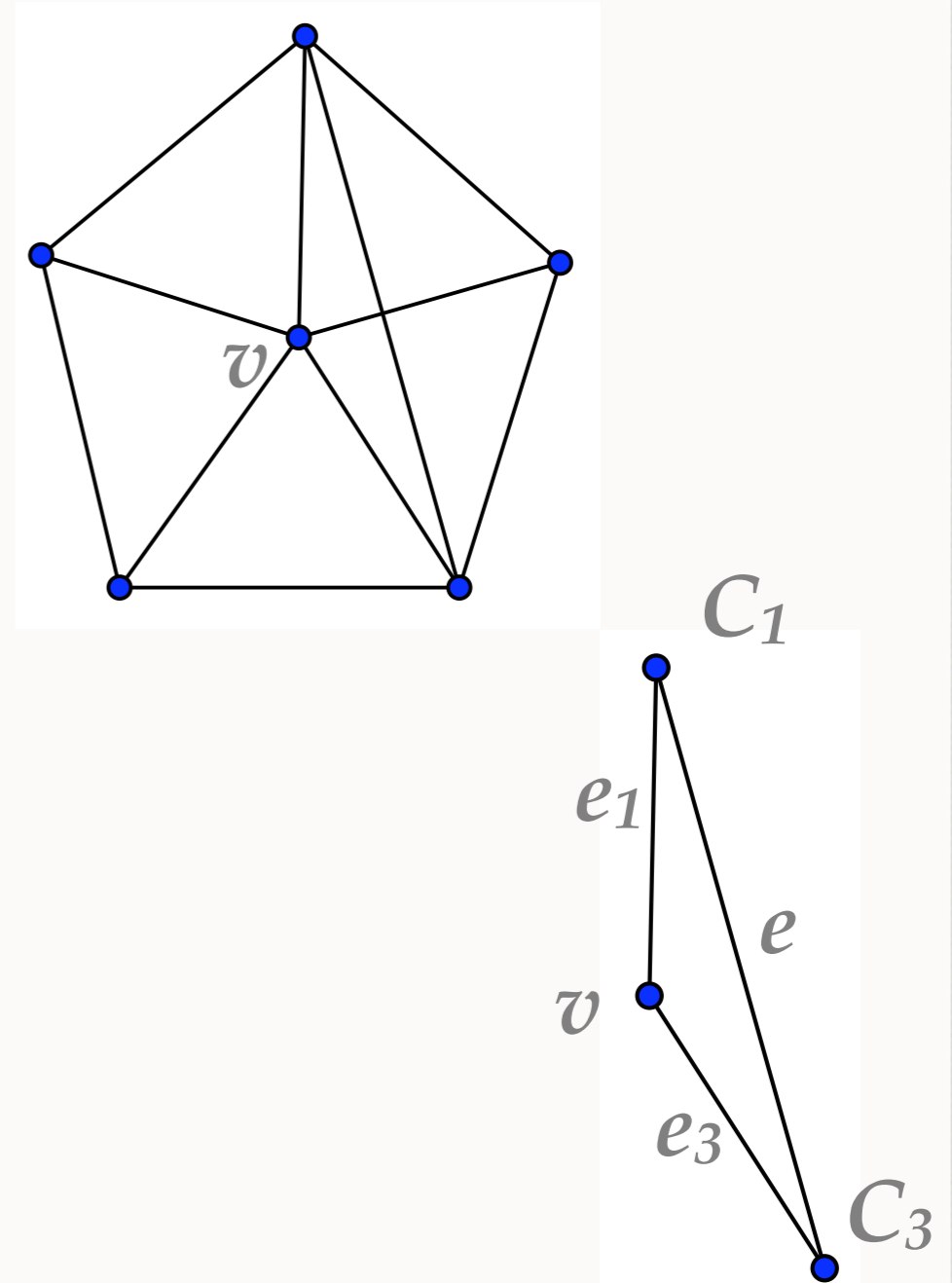
- 2 subcases
- G is equivalent to a *wheel* of n spokes and n enclosing cycle edges
- \exists edge e whose endpoints are non-consecutive vertices C_i and C_j of cycle C



THEOREM 11: SUBCASE B-

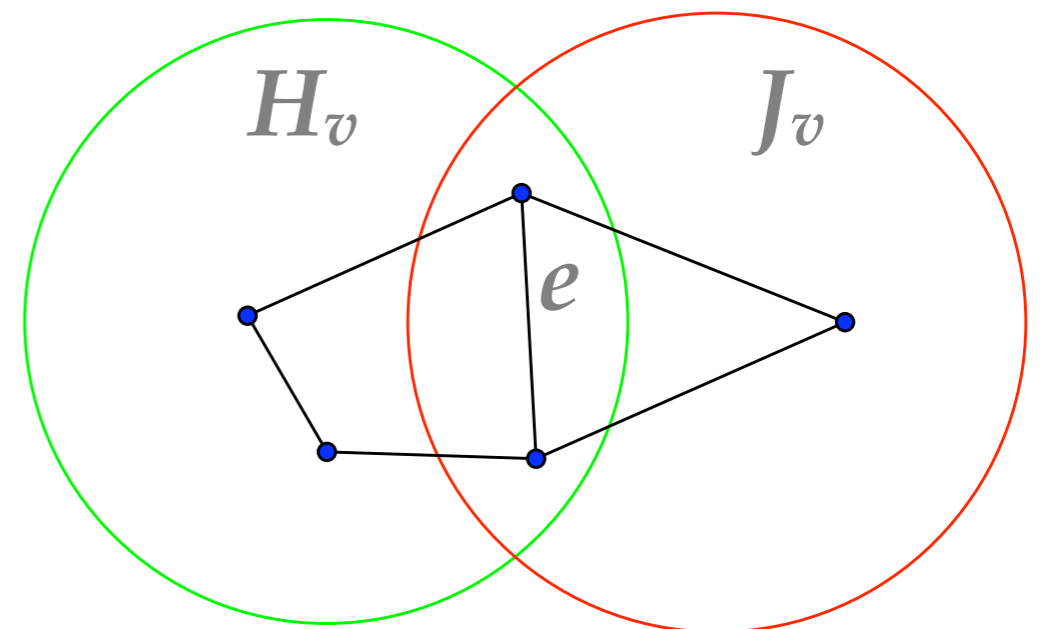
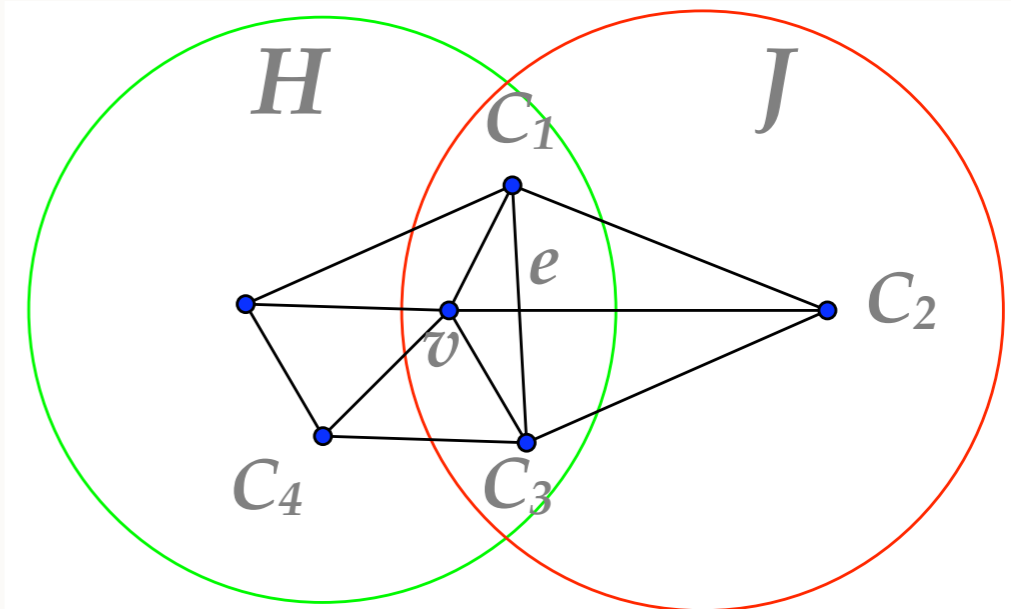
$$\chi(G, 1+\Phi) = (-1)^n \Phi^{1-n} \chi(G_v, 1+\Phi)$$

- \exists edges e_1 and e_j joining v to C_1 and C_j , respectively
- Consider complete 3-graph formed by edges e , e_1 and e_j



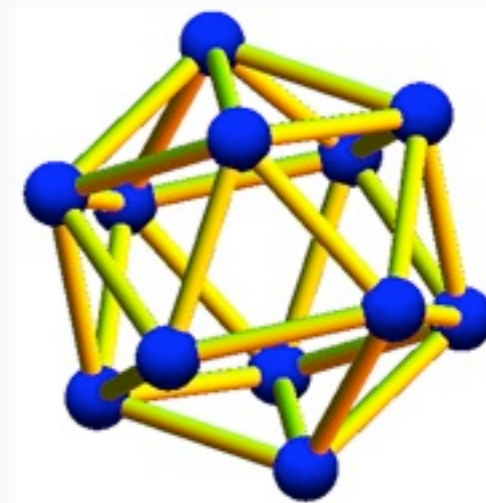
(concluded) **THEOREM 11: SUBCASE B-**
 $\chi(G, 1+\Phi) = (-1)^n \Phi^{1-n} \chi(G_v, 1+\Phi)$

- Planar $G = H \cup J$, where $H \cap J$ is cycle $v \rightarrow C_1 \rightarrow C_3 = C_j$
- H includes C_{j+1} not C_2 , J includes C_2 not C_{j+1}
- Planar $G_v = H_v \cup J_v$, where $H_v \cap J_v$ is K_2 using e
- So Ind.Hyp, Thm 10 $\Rightarrow \chi(G, 1+\Phi) = (-1)^n \Phi^{-2-n} \chi(H_v, 1+\Phi) \cdot \chi(J_v, 1+\Phi) = (-1)^n \Phi^{1-n} \chi(G_v, 1+\Phi)$



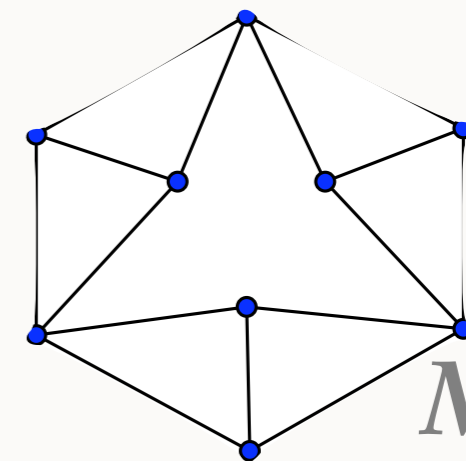
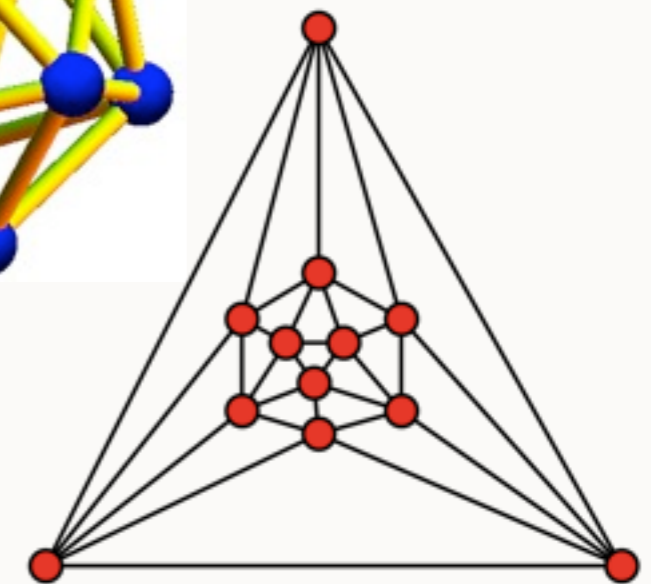
FINAL SETUP FOR MAIN THEOREM

- Let G be in 2-sphere or closed plane
- Regions bounded by cycle in G , regions are *faces* of a *plane map* M of which G is the 1-section (the face that bounds all other regions)
- Faces are m -gons
- Edge or vertex incident with face if it belongs to bounding cycle of that face
- $X(G, k) = X(M_n, k)$
- Planar Triangulations, $Z(n)$, $Z(n, m)$



3-gons

M_{12}



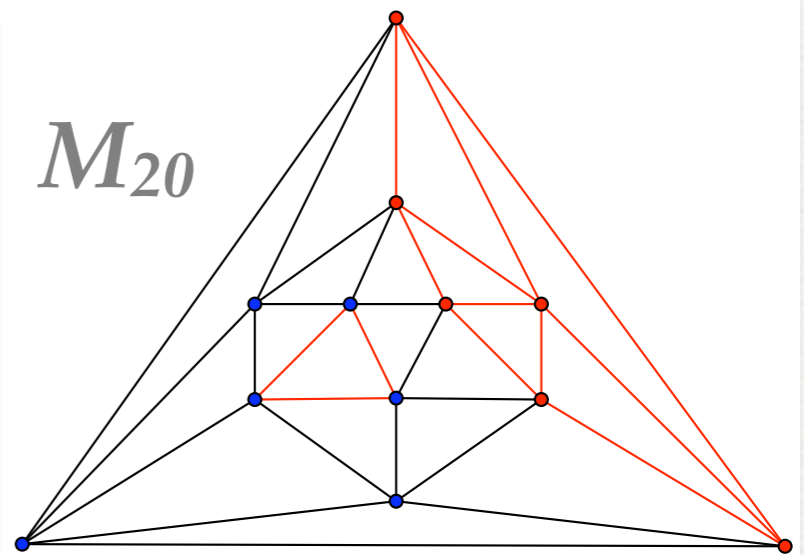
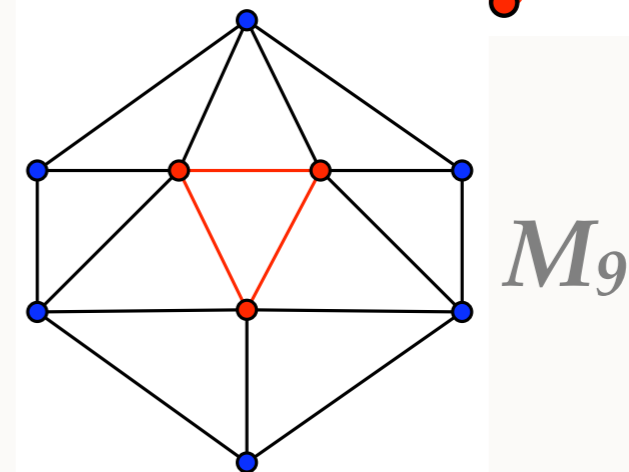
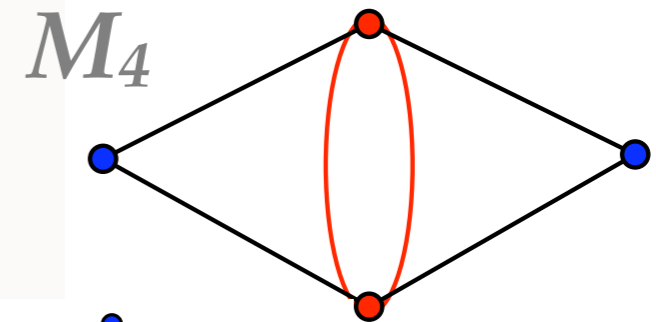
$M_{9,6}$

MAIN THEOREM:

(i) If $M \in \mathcal{Z}(n)$, then $|X(M_n, 1+\Phi)| \leq \Phi^{5-n}$

(ii) If $M \in \mathcal{Z}(n,m)$, then $|X(M_{n,m}, 1+\Phi)| \leq \Phi^{3+m-n}$

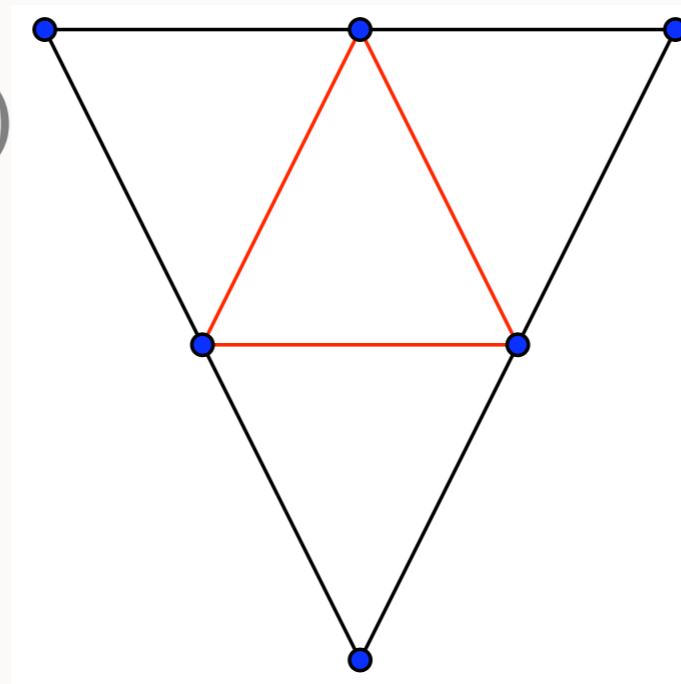
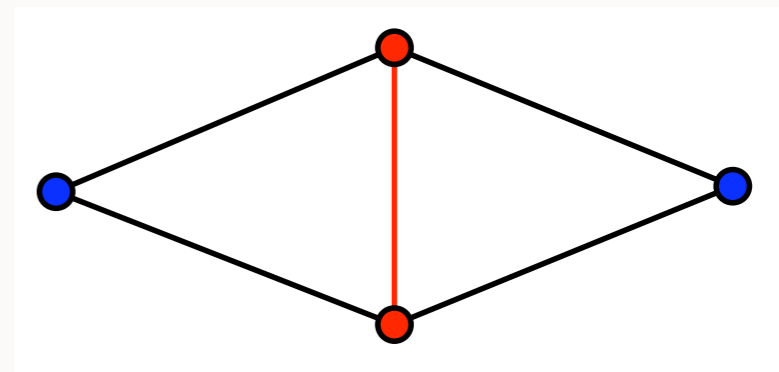
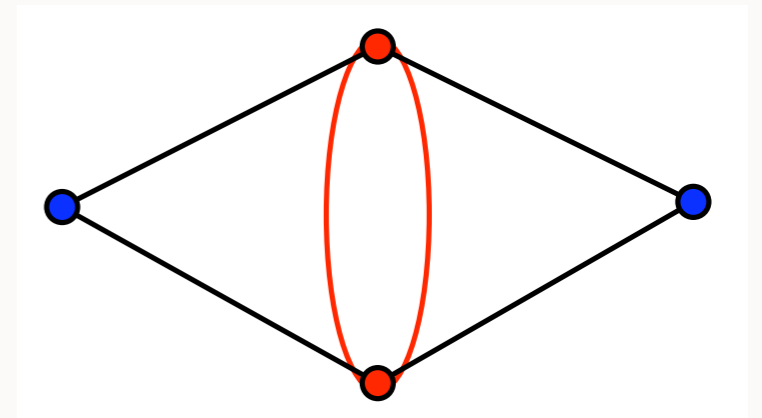
- Induct on $|M|$: Base case true for $n=1, m=0$, and for null graph $X(M_1, k)=1$
- $|X(M_1, 1+\Phi)| = |1| \leq \Phi^{5-n} = \Phi^{5-1} = \Phi^4$
- $|X(M_{1,0}, 1+\Phi)| = |1| \leq \Phi^{3+m-n} = \Phi^{3+0-1} = \Phi^2$
- 3 Subcases for 1-section G of M
 - G has a cycle that is a 2-gon
 - G may be a complete 3-graph
 - G wheel-like at v (note M_{20} does not work)



MAIN THEOREM:

(ii) If $M \in Z(n, m)$, then $|\chi(M_{n,m}, 1+\Phi)| \leq \Phi^{3+m-n}$

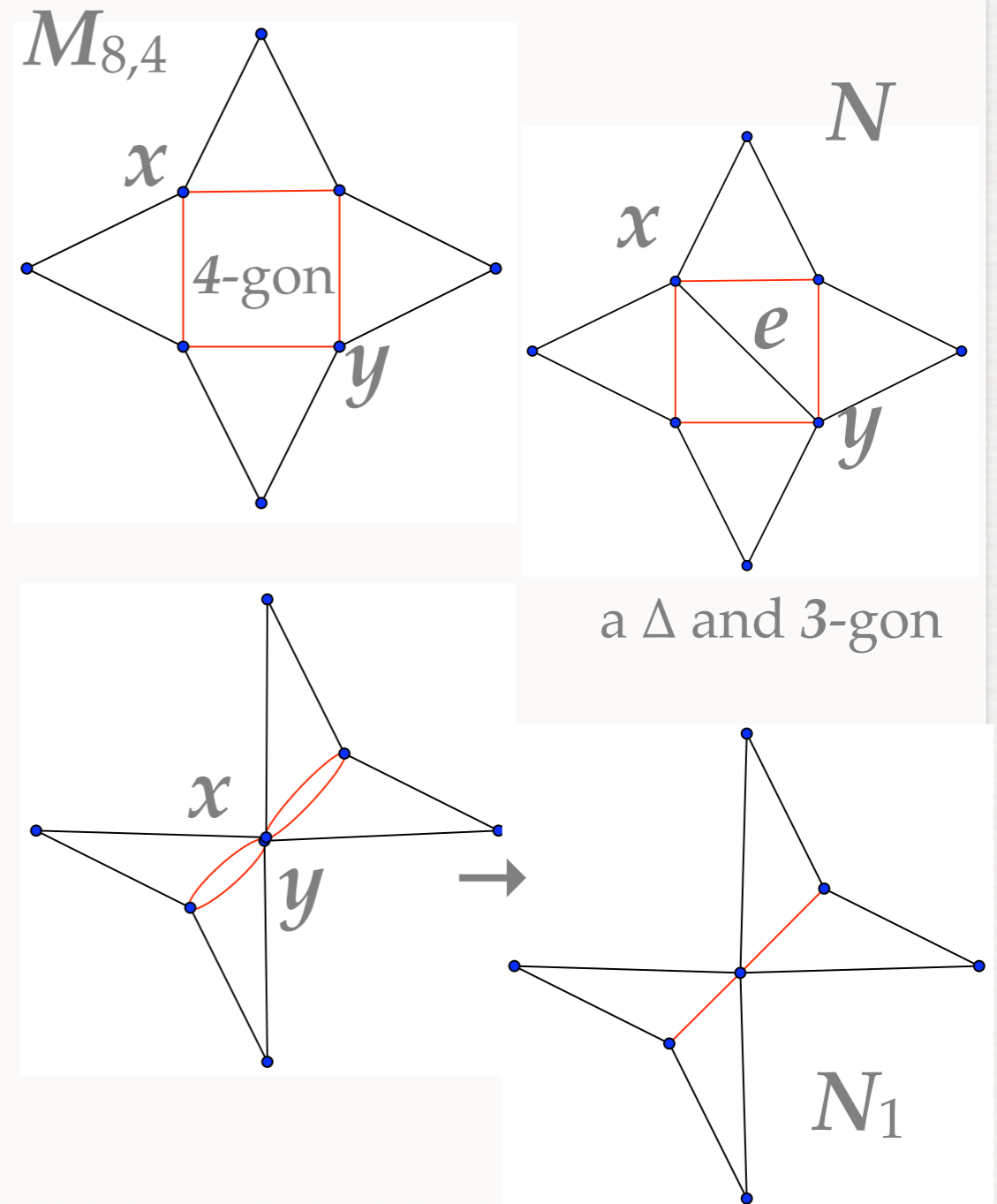
- 4 subcases for $M \in Z(n, m)$
- $m=2 \Rightarrow$ convert by deleting edge of the 2-gon
- $m=3 \Rightarrow M$ in $Z(n)$



MAIN THEOREM: SUBCASES

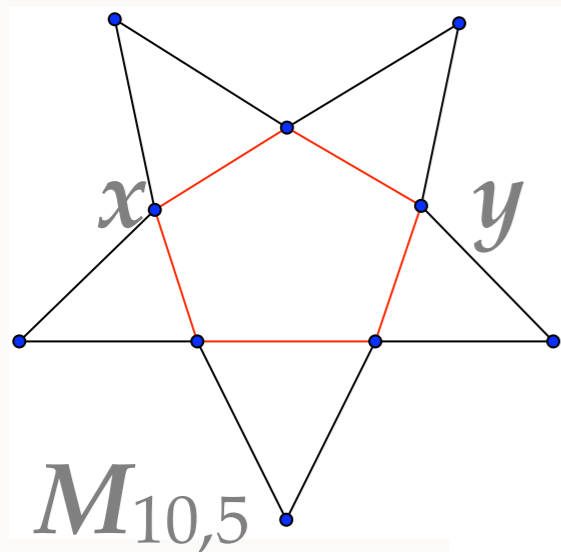
(ii) If $M \in Z(n, m)$, then $|\chi(M_{n,m}, 1+\Phi)| \leq \Phi^{3+m-n}$

- $m=4 \Rightarrow \exists$ 2 non-consecutive vertices
- Add edge to subdivide m -gon into a triangle and an $(m-1)$ -gon - - Call it N
- Identify vertices x and y - Call it N_1
- $\chi(M, 1+\Phi) = \chi(N, 1+\Phi) - \chi(N_1, 1+\Phi) \Rightarrow$
 $|\chi(M, 1+\Phi)| \leq |\chi(N, 1+\Phi)| + |\chi(N_1, 1+\Phi)|$
- For $m=4$, $N \in Z(q)$ and $N_1 \in Z(q-1, 2) \Rightarrow$
 $|\chi(M, 1+\Phi)| \leq \Phi^{5-q} + \Phi^{5-(q-1)} = \Phi^{7-q} = \Phi^{3+m-q}$
 since $m=4$

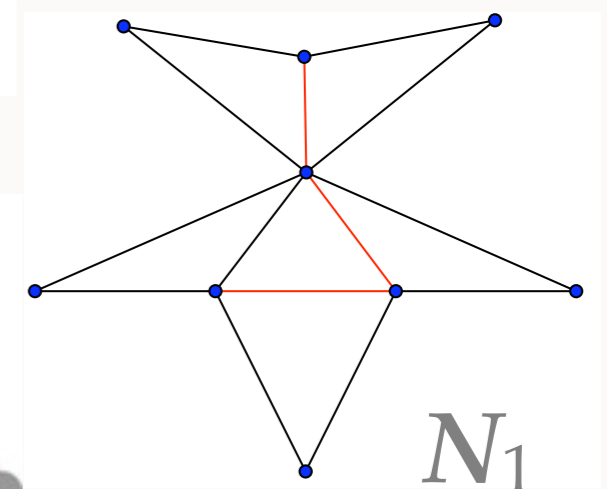
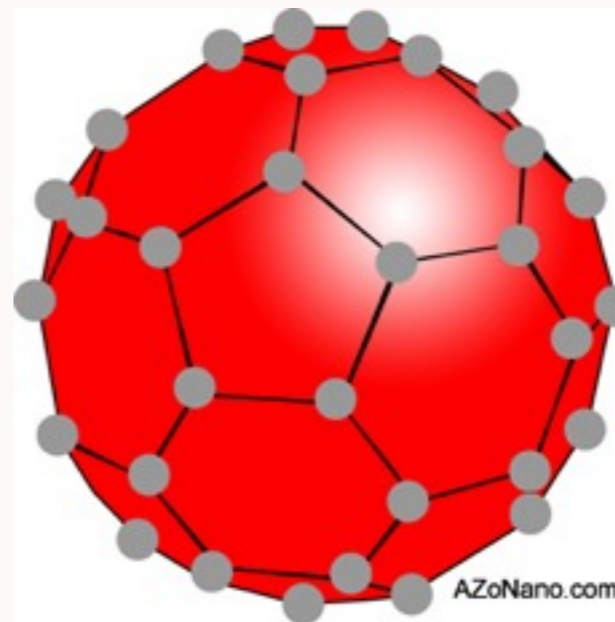
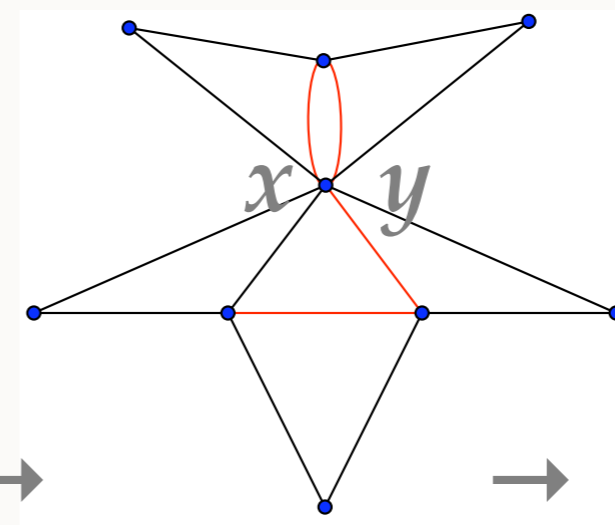
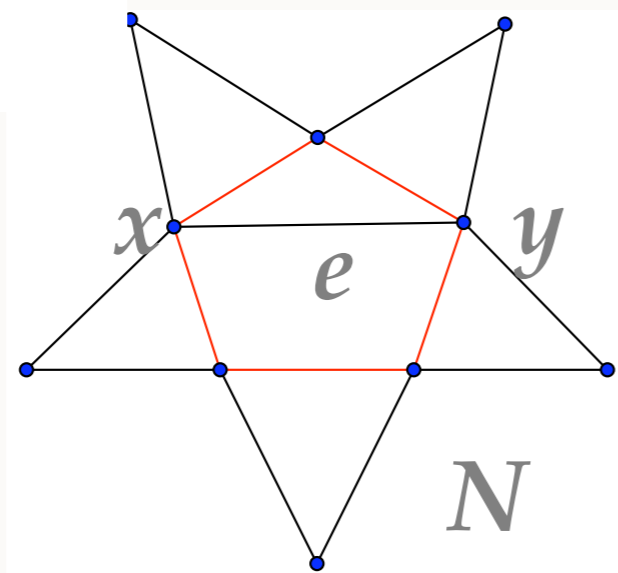


MAIN THEOREM: SUBCASES


(ii) If $M \in \mathcal{Z}(n, m)$, then $|\chi(M_{n,m}, 1+\Phi)| \leq \Phi^{3+m-n}$



For $m = 5$



EPILOGUE: $1+\Phi$ never a root of a Chromatic Polynomial

- $\chi(G, k) > 0$ if $|V|$ is even, $\chi(G, k) < 0$ if $|V|$ is odd
- For K components, $\exists \sigma(G, k) \ni \chi(G, k) = k^{|K|} \cdot \sigma(G, k)$
- $(-1)^{|V|+K} \cdot \sigma(G, k) > 0$, for $0 < k < 1$
- $(-1)^{|V|} \cdot \chi(G, k) < 0$, for $0 < k < 1$
- $1+\Phi = (3+\sqrt{5})/2$ is root \Leftrightarrow
 $(3-\sqrt{5})/2$ is root
-  since $0 < (3-\sqrt{5})/2 < 1$

