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## Graphic Realizations of Sequences

Joseph Richards Under the direction of Dr. John S. Caughman

June 6, 2011

# Introduction

This talk is an overview of results developed in the paper "Two sufficient conditions for a graphic sequence to have a realization with prescribed clique size" written by Jian-Hua Yin and Jiong-Sheng Li.

# Outline

## 1 Necessary and sufficient conditions for $\pi \in NS_n$ to be graphic

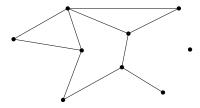
### 2 Sufficient conditions for $\pi \in GS_n$ to be $A_{r+1}$ -graphic

## 3 Sufficient conditions for $\pi \in GS_n$ to be almost $A_{r+1}$ -graphic

## 4 Applications

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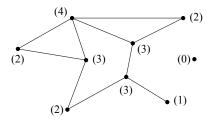
# Simple Graphs and Degree Sequences



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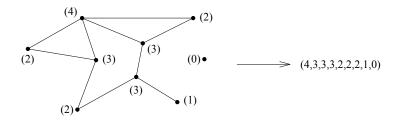
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# Simple Graphs and Degree Sequences



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# Simple Graphs and Degree Sequences



- $NS_n:=$  The family of all decreasing sequences of n whole numbers,  $\pi=(d_1,d_2,\ldots,d_n)$  a typical element
- $GS_n :=$  All sequences in  $NS_n$  which are degree sequences for some simple graph
- $\sigma(\pi) :=$  The sum of all n terms of  $\pi$ .

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# A necessary and sufficient condition for $\pi$ to be in $GS_n$ .

Let the threefold process of

- deleting  $d_i$  from  $\pi$ ,
- subtracting 1 from the first  $d_i$  terms of the new sequence, and
- reordering the resulting terms to be non-increasing

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#### Theorem (Kleitman and Wang, 1973)

A sequence is graphic if and only if it is graphic after laying off any of its terms.

Note: This generalizes a theorem due to Havel and Hakimi (1955).

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# A second characterization of sequences that are graphic.

#### Theorem (Erdös and Gallai, 1960)

Let  $\pi = (d_1, d_2, \dots, d_n) \in NS_n$ . Then  $\pi$  is graphic if and only if  $\sigma(\pi)$  is even and, for all  $t \in [n]$ ,

$$\sum_{i=1}^{t} d_i \le t(t-1) + \sum_{i=t+1}^{n} \min(t, d_i).$$

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For example, the sequence (6, 6, 5, 4, 3, 2, 2) is not graphic since the inequality above does not hold for t = 3.

# Outline

### Necessary and sufficient conditions for $\pi\in NS_n$ to be graphic

## 2 Sufficient conditions for $\pi \in GS_n$ to be $A_{r+1}$ -graphic

### 3 Sufficient conditions for $\pi \in GS_n$ to be almost $A_{r+1}$ -graphic

### 4 Applications

# What is meant by $A_{r+1}$ -graphic?

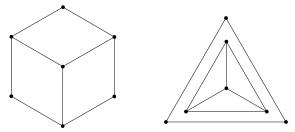
• A sequence  $\pi \in GS_n$  is  $A_{r+1}$ -graphic if a realization of  $\pi$  exists with an (r+1)-clique on (r+1)-vertices of maximal degree.

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<u>Caution</u>: A sequence can be  $A_{r+1}$ -graphic and have realizations that don't even contain a (r + 1)-clique. For example,  $\pi = (3, 3, 3, 3, 2, 2, 2)$  can be realized as each of the following simple graphs.



# What is meant by $A_{r+1}$ -graphic?

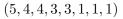
- A sequence  $\pi \in GS_n$  is  $A_{r+1}$ -graphic if a realization of  $\pi$  exists with an (r+1)-clique on (r+1)-vertices of maximal degree.
- A sequence  $\pi \in GS_n$  is  $K_{r+1}$ -graphic if a realization of  $\pi$  exists with an (r+1)-clique.

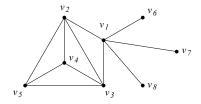
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- A sequence  $\pi \in GS_n$  is  $K_{r+1}$ -graphic if a realization of  $\pi$  exists with an (r+1)-clique.
- A sequence is  $A_{r+1}$ -graphic if and only if it is  $K_{r+1}$ -graphic.

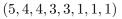
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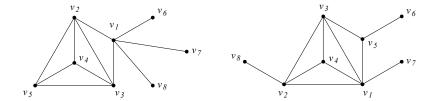
## Example





## Example





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#### Theorem (Gould, 1999)

Suppose a sequence  $\pi = (d_1, d_2, ..., d_n)$  has a realization G with a subgraph H on r vertices. Then there exists a realization  $G^*$  of  $\pi$  with a subgraph  $H^*$  isomorphic to H such that the vertices of  $H^*$  are the vertices of  $G^*$  corresponding to the first r terms of  $\pi$ .

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### Sketch of proof

Let  $\pi = (d_1, d_2, \dots, d_n) \in GS_n$ . Let G be a realization of  $\pi$ . Let H be a subgraph of G.

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$$(v_1, \ldots, \underbrace{v_k}_{\text{position } j}, \ldots, v_m)$$

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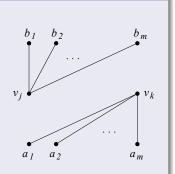
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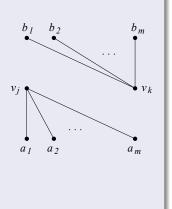


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# A modified laying off procedure

Let 
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 $\pi_0 := (d_1, d_2, \ldots, d_n)$ 

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$$\pi_0 := (d_1, d_2, \dots, d_n)$$
  
$$\pi_1 := (d_2 - 1, d_3 - 1, \dots, d_{r+1} - 1, d_{r+2}^{(1)}, \dots, d_n^{(1)})$$

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 $\pi_i := (d_{i+1} - i, d_{i+2} - i, \dots, d_{r+1} - i, d_{r+2}^{(i)}, \dots, d_n^{(i)})$ 

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#### Theorem (Rao, 1979)

A sequence  $\pi \in NS_n$  is  $A_{r+1}$ -graphic if and only if  $\pi_{r+1}$  is graphic.

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# Two sufficient conditions for $\pi \in GS_n$ to be $A_{r+1}$ -graphic

Let us suppose  $\pi = (d_1, d_2, \dots, d_n) \in GS_n$  where  $n \ge r+1$ .

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### Theorem (Yin, 2005)

If  $d_{r+1} \ge r$  and either •  $d_i \ge 2r - i$  for all  $i \in [r-1]$ , or •  $\pi$  has at least 2r + 2 terms and  $d_{2r+2} \ge r - 1$ then  $\pi$  is  $A_{r+1}$ -graphic.

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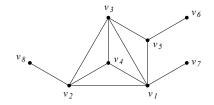
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Note this condition is certainly not necessary. For example, we have already learned that (5, 4, 4, 3, 3, 1, 1, 1) is  $K_4$ -graphic.

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(5, 4, 4, 3, 3, 1, 1, 1)



# Outline



### 2 Sufficient conditions for $\pi \in GS_n$ to be $A_{r+1}$ -graphic

### 3 Sufficient conditions for $\pi \in GS_n$ to be almost $A_{r+1}$ -graphic

### Applications

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# Almost $A_{r+1}$ -graphic?

We declare  $\pi$  to be <u>almost</u>  $A_{r+1}$ -graphic whenever  $\pi$  has a realization that is one edge shy of containing a copy of  $K_{r+1}$ .

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then  $\pi$  is almost  $A_{r+1}$ -graphic.

This condition is not necessary, which can be noted by once again considering the graphic sequence (5, 4, 4, 3, 3, 1, 1, 1).

# Outline



- 2 Sufficient conditions for  $\pi \in GS_n$  to be  $A_{r+1}$ -graphic
- 3 Sufficient conditions for  $\pi \in GS_n$  to be almost  $A_{r+1}$ -graphic





Applications

A pair of conjectures and recent theorems which can be proven using the tools described in this talk

### Theorem (Conjectured by Erdös in 1991)

Let  $\pi$  be a sequence in  $GS_n$ . Then  $\pi$  is  $A_{r+1}$ -graphic if

• 
$$r^2 \leq rac{2}{3}n$$
 and

• 
$$\sigma(\pi) \ge (r-1)(2n-r) + 2.$$

#### Theorem (Yin, 2005)

Let  $\pi$  be a sequence in  $GS_n$ . Then  $\pi$  is almost  $A_{r+1}$ -graphic if

• 
$$3r^2 - r - 1 \le n$$
 and

• 
$$\sigma(\pi) \ge (r-1)(2n-r) + 2 - (n-r).$$

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# Thank You

Thank you for attending this presentation!

A special thank you also to Dr. John Caughman and Dr. Joyce O'Halloran for helping me prepare this 501 project.