NEWTON POLYGONS OF HECKE OPERATORS

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ABSTRACT. In this computational paper we verify a truncated version of the Buzzard-Calegari conjecture on the Newton polygon of the Hecke operator T_2 for all large enough weights. We first develop a formula for computing *p*-adic valuations of exponential sums, which we then implement to compute 2-adic valuations of traces of Hecke operators acting on spaces of cusp forms. Finally, we verify that if Newton polygon of the Buzzard-Calegari polynomial has a vertex at $n \leq 15$, then it agrees with the Newton polygon of T_2 up to n.

1. INTRODUCTION

Let $2k \ge 12$ be an even number and let S_{2k} be the finite-dimensional \mathbb{C} -vector space of cusp forms of weight 2k on $SL_2(\mathbb{Z})$. For a prime number p and $f \in S_{2k}$, the action of the Hecke operator T_p on f is given by

$$(T_p f)(z) = \frac{1}{p} \sum_{r=0}^{p-1} f\left(\frac{z+r}{p}\right) + p^{2k-1} f(pz).$$

Motivated by a question of Serre, Hatada [10] obtained several congruences modulo powers of 2 satisfied by the eigenvalues a_2 of T_2 , later improved by Emerton [8]. More precisely, among the normalized eigenforms in S_{2k} the lowest 2-adic valuation of a_2 is 3 (with multiplicity 1) if $k \equiv 0 \pmod{2}$, 4 (with multiplicity 1) if $k \equiv 1 \pmod{4}$, 5 (with multiplicity 1) if $k \equiv 3 \pmod{8}$, and 6 (with multiplicity 2) if $k \equiv 7 \pmod{8}$.

Hatada's congruences represent some of the first results concerning the 2-adic valuations of the eigenvalues of T_2 , referred to as T_2 -slopes. The list of slopes is determined by the 2-adic Newton polygon of the characteristic polynomial

$$P_{T_2}(X) := \det(1 - T_2X \mid S_{2k}) \in \mathbb{Z}[X],$$

which is defined as the convex hull of the set of points $(i, v_2(b_i))$, where v_2 denotes the 2-adic valuation, and b_i is the coefficient of X^i in $P_{T_2}(X)$.

In [6] Buzzard and Calegari conjectured:

Conjecture 1 (Buzzard-Calegari). If $m = \dim S_{2k}$ then the polynomial

$$P_{BC}(X) := 1 + \sum_{n=1}^{m} X^n \prod_{j=1}^{n} \frac{2^{2j}(2k-8j)!(2k-8j-3)!(2k-12j-2)}{(2k-12j)!(2k-6j-1)!}$$

has the same 2-adic Newton polygon as the characteristic polynomial $P_{T_2}(X)$.

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This conjecture naturally belongs to the line of questions raised by Buzzard [5], in an effort to better understand the geometry of Coleman-Mazur eigencurves. Indeed, one can reformulate Conjecture 1 as a statement about the Newton polygon of the characteristic polynomial of U_2 acting on the space of $2^{-1/2}$ -overconvergent 2-adic cusp forms of tame level one and nonpositive integer weight. More general conjectures have been formulated for other primes in [13] and [2] in connection with Coleman's spectral halo conjecture.

From a different perspective, the polynomial $P_{T_2}(X)$ is particularly relevant in the context of Maeda's conjecture [11]. For example, it has been checked numerically in [9] that $P_{T_2}(X)$ is irreducible over \mathbb{Q} and its Galois group is the full symmetric group of degree dim S_{2k} for all $2k \leq 14000$. Furthermore, the irreducibility of $P_{T_2}(X)$ in all weights would imply the same property for the characteristic polynomial of T_p for a density one set of primes p (see [1]).

The main result of this paper is a computational verification of a truncated version of the Buzzard-Calegari conjecture. In what follows, we denote by N(P) the Newton polygon of a polynomial P(X). If N is a Newton polygon by the truncation $N^{\leq m}$ at m we mean the portion of N in the region $0 \leq n \leq m$. We remark that $N(P^{\deg \leq m}) \geq N(P)^{\leq m}$, but the two polygons need not be the same. In Theorem 13 we prove the following:

Theorem 2. For $k \gg 0$ the 2-adic Newton polygon N_{BC} of the Buzzard-Calegari polynomial P_{BC} has some vertex n in the interval [7, 15]. For any such vertex:

$$N_{\rm BC}^{\le n} = N(P_{T_2}^{\deg \le n}).$$

Moreover, $N(P_{T_2}^{\deg \le 15}) \ge N_{\mathrm{BC}}$.

Theorem 2 relies on a general method for computing *p*-adic valuations of exponential sums (see §2, particularly Theorem 8). Using the Eichler-Selberg trace formula we write the coefficients of P_{T_2} as exponential sums whose 2-adic valuations we can express in closed form, which we made explicit for $P_{T_2}^{\text{deg} \leq 15}$ in Sage [15]. Finally, using these explicit formulas we verify computationally Theorem 2.

It is important to emphasize that Theorem 2 no longer holds if we replace the claim $N_{\rm BC}^{\leq n} = N(P_{T_2}^{\deg \leq n})$ for a vertex *n* of $N_{\rm BC}$, with $N_{\rm BC}^{\leq 15} = N(P_{T_2}^{\deg \leq 15})$. For instance, if $k \equiv 131126 \pmod{2^{17}}$ then $N(P_{T_2}^{\deg \leq 15})$ has a vertex at 14, whereas $N_{\rm BC}^{\leq 15}$ does not.

Nevertheless, we show an effective relationship between the Newton polygons of P_{T_2} and its truncation: for each $n \geq 1$ there exists an $m_{n,k}$ given by an explicit formula in terms of n and k with the property that n is a vertex of $N(P_{T_2})$ if and only if it is a vertex of $N(P_{T_2}^{\deg \leq m_{n,k}})$ (see Lemma 15). In principle, with sufficient computing power one would be able to verify that up to a vertex $n \leq 15$, the Newton polygons of the Buzzard-Calegari conjecture coincide, i.e., that $N_{BC}^{\leq n} = N(P_{T_2})^{\leq n}$. Our computations suffice to show that $N_{BC}^{\leq 4} = N(P_{T_2})^{\leq 4}$ for all sufficiently large k and, e.g., that $N_{BC}^{\leq 13} = N(P_{T_2})^{\leq 13}$ for $k \equiv 34$ (mod 2^{11}).

Finally, we record one consequence of Theorem 2 to Hatada's congruences:

Corollary 3. Among the normalized eigenforms in S_{2k} , for $k \gg 0$, the lowest two 2-adic valuations of a_2 are:

3,7	if $k \equiv 0 \pmod{4}$	4, 9	if $k \equiv 5 \pmod{16}$	5, 8	if $k \equiv 3 \pmod{16}$
3, 8	if $k \equiv 6 \pmod{8}$	4, 10	if $k \equiv 29 \pmod{32}$	5,9	if $k \equiv 27 \pmod{32}$
3,9	if $k \equiv 2 \pmod{8}$	4,11	if $k \equiv 45 \pmod{64}$	5, 10	if $k \equiv 11 \pmod{32}$
4, 8	if $k \equiv 1 \pmod{8}$	4, 12	if $k \equiv 13 \pmod{64}$	6, 6	if $k \equiv 7 \pmod{8}$.

Moreover, a consequence of $N_{BC}^{\leq 4} = N(P_{T_2})^{\leq 4}$ is that one can list exhaustively the lowest four 2-adic valuations of a_2 .

The article is organized as follows: in §2 we give a closed form expression for *p*-adic valuations of finite exponential sums; in §3 we apply our previous findings to Hecke traces (Corollary 10); in §4 we discuss certain computational improvements obtained from sums involving Hurwitz class numbers; finally, in §5, we explain our computational verification of Theorem 2. The explicit terms that appear in the 2-adic valuations of the traces of T_2 are tabulated in the Appendix.

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2. VALUATIONS OF EXPONENTIAL SEQUENCES

In this section we describe a general method for computing valuations of finite exponential sums. When applying the recipe below to the exponential sums coming from the trace formula we encounter a technical complication reminiscent of Schanuel's conjecture, but which can be circumvented computationally, if not theoretically.

Let K/\mathbb{Q}_p be a finite extension with ring of integers \mathcal{O} , uniformizer ϖ and residue field \mathbb{F} . We denote v_K the valuation on K with $v_K(\varpi) = 1$ and ω any section of $\mathcal{O} \to \mathbb{F}$, e.g., the Teichmuller lift. In this section we compute the valuations of certain functions on \mathcal{O} .

We begin with a lemma describing an explicit algorithm for computing valuations of polynomials.

Lemma 4. Let $P(x) \in K[x]$ be a monic irreducible polynomial of degree $d \ge 2$ and slope $\lambda \in \frac{1}{d}\mathbb{Z}$. Suppose that $k \in \mathcal{O}$.

- (1) If $\lambda < 0$ then $v_K(P(k)) = v_K(P(0))$.
- (2) If $\lambda \geq 0$ then there exists a (possibly empty) sequence $u_0, u_1, \ldots, u_n \in \mathbb{F}$ and $\delta \in \mathbb{Z}$ such that

$$v_K(P(k)) = \min(d(\lambda + n + 1) + \delta, dv_K(k - \sum_{i=0}^n \varpi^{\lambda + i}\omega(u_i)))$$

Proof. The first part is straightforward. In the second part, if λ is not integral we may choose $\delta = 0$ and the empty sequence. If $\lambda \in \mathbb{Z}_{\geq 0}$ we remark that the polynomial $\varpi^{-d\lambda} P(\varpi^{\lambda} x)$ is irreducible with slope 0 and therefore it suffices to treat the case $\lambda = 0$.

We construct a sequence of integral polynomials $(P_n(X))_{n\geq 0}$ and a sequence $(u_n)_{n\geq 0}$ as follows. Let $P_0(x) = P(x)$. Suppose we have already constructed monic irreducible polynomials $P_0(x), \ldots, P_n(x)$ with slopes $\ell_0, \ldots, \ell_n \in \mathbb{Z}_{\geq 0}$. If $\ell_n < 0$ or $\ell_n \notin \mathbb{Z}$ or $P_n \mod \varpi$ has no root in \mathbb{F} the sequence terminates at $P_n(x)$. Otherwise, $\ell_n \in \mathbb{Z}_{\geq 0}$ and $P_n(x) \mod \varpi$ has a single root in \mathbb{F} , which we denote u_n . Define $P_{n+1}(x) = \varpi^{-d} P_n(\varpi x + \omega(u_n))$. The polynomial $P_{n+1}(x)$ is also monic irreducible and therefore isoclinic with slope ℓ_{n+1} , and we proceed as above.

First, we show that the process always terminates. Otherwise, $\ell_n \in \mathbb{Z}_{\geq 0}$ and $P_{n+1}(x) = \varpi^{-d} P_n(\varpi x + \omega(u_n))$ for all n. In this case,

$$\varpi^{nd} P_n(0) = P\left(\sum_{i=0}^{n-1} \varpi^i \omega(u_i)\right)$$

for all *n*. We conclude that $\sum_{i=0}^{\infty} \varpi^i \omega(u_i) \in K$ is a root of P(x), contradicting its irreducibility.

Suppose now that the sequence of polynomials is P_0, \ldots, P_{n+1} with associated sequence $u_0, \ldots, u_n \in \mathbb{F}$, in which case either $P_{n+1}(x)$ has slope $\frac{\delta}{d}$ not in $\mathbb{Z}_{\geq 0}$ or P_{n+1} has no root in \mathbb{F} , in which case we set $\delta = 0$. We will prove the desired formula by induction on n. The base case is n = -1. Since P(x) has nonnegative integral slope we deduce that P(x) has no root in \mathbb{F} , $\lambda = 0$ and $\delta = 0$ by definition and therefore $v_K(P(k)) = 0 = \min(d(\lambda + n + 1) + \delta, dv_K(k))$.

For the induction step, note that for the polynomial $P_1(x)$ the sequence is P_1, \ldots, P_{n+1} . First, if $k \not\equiv u_0 \pmod{\varpi}$ then $v_K(P(k)) = 0$. Otherwise, if $k \equiv u_0 \pmod{\varpi}$ the inductive hypothesis implies that

$$v_K(P(k)) = d + v_K(P_1((k - \omega(u_0))/\varpi))$$

= $d + \min(d(\lambda + n) + \delta, dv_K((k - \omega(u_0))/\varpi - \sum_{i=0}^{n-1} \varpi^i \omega(u_{i+1})))$
= $\min(d(\lambda + n + 1) + \delta, dv_K(k - \sum_{i=0}^n \varpi^i \omega(u_i)).$

We turn to the main results of this section. Our aim is to compute, for each positive integer k, the valuation of a finite exponential sum of the form:

$$f(k) = \sum_{n=1}^{m} a_n b_n^k,$$

where $a_1, \ldots, a_m \in K$ and $b_1, \ldots, b_m \in \mathcal{O}$ are nonzero.

Proposition 5. Suppose $a_1, \ldots, a_m \in K^{\times}$ and $b_1, \ldots, b_m \in \mathcal{O}^{\times}$. There exists an integer D and for each class $r \pmod{D}$ there exist $\lambda_r \in \mathbb{Z}$ and possibly empty collections of $\Omega_{r,i}, u_{r,j} \in \mathcal{O}$, $n_{r,j} \in \mathbb{Z}_{\geq 0}$, and $d_{r,j} \in \mathbb{Z}_{\geq 2}$ such that

$$v_K(f(k)) = \lambda_r + \sum_i v_K(k - \Omega_{r,i}) + \sum_j \min(n_{r,j}, d_{r,j}v_K(k - u_{r,j})),$$

for each $k \equiv r \pmod{D}$.

Moreover, all the constants above are effective and can be computed up to arbitrary precision in polynomial time.

Proof. As usual we denote $q = |\mathcal{O}/\varpi\mathcal{O}|$ and $e = e_{K/\mathbb{Q}_p}$ for the ramification index.

Let *D* be the smallest positive integer such that $b_n^D \equiv 1 \pmod{\pi^\ell}$ for each *n*, where $\ell = e + 1$, in which case $D \mid q^{\ell-1}(q-1)$. We denote $c_n \in \mathcal{O}$ such that $b_n^D = 1 + \pi^\ell c_n$. If k = Dx + r for some integer *x* then

$$f(k) = \sum_{n=1}^{m} a_n b_n^r (b_n^D)^x = \sum_{n=1}^{m} a_n b_n^r (1 + \varpi^\ell c_n)^x.$$

Recall that $\log_p(1+x)$ converges absolutely when $v_p(x) > 0$ and $\exp(x)$ converges absolutely when $v_p(x) > \frac{1}{p-1}$. In the latter case, \log_p and \exp are mutual inverses. Since $v_p(\varpi^{\ell}) > 1$ it follows that $v_p(\log_p(1 + \varpi^{\ell}c_n)) > 1 \ge \frac{1}{p-1}$ and therefore

$$(1 + \varpi^{\ell} c_n)^x = \exp(x \log_p (1 + \varpi^{\ell} c_n)),$$

is a p-adic analytic function in x. As a result, we see that the p-adic analytic function

$$f_r(x) = \sum_{n=1}^m a_n b_n^r \exp(x \log_p(1 + \varpi^\ell c_n))$$

satisfies $f(Dx + r) = f_r(x)$ for each integer x.

Examining the Taylor expansion of $f_r(x)$ we see that

$$f_r(x) = \sum_{N=0}^{\infty} \frac{x^N}{N!} \sum_{n=1}^m a_n b_n^r \left(\log_p(1 + \varpi^\ell c_n) \right)^N \in K \otimes \mathcal{O}[\![x]\!],$$

since $v_p((\log_p(1 + \varpi^{\ell}c_n))^N / N!) = N(v_p(\log_p(1 + \varpi^{\ell}c_n)) - 1) \to \infty$ and so $f_r(x)$ converges on all of \mathcal{O} , since its coefficients go to 0. Let d be the x-coordinate of the lowest vertex of the Newton Polygon of $f_r(x)$, and α_r be the coefficient of x^d . There exists Newton slope factorization for $f_r(x)$, i.e., a monic polynomial $P_r(x) \in \mathcal{O}[x]$ of degree d and a power series $u_r(x) \in 1 + \varpi \mathcal{O}[x]$ such that $f_r(x) = \alpha_r P_r(x) u_r(x)$, the Newton Polygon of $f_r(x)$ being the concatenation of the Newton Polygons of $\alpha_r P_r(x)$ and $\alpha_r u_r(x)$.

For all $x \in \mathcal{O}$ we see that $v_K(u_r(x)) = 0$ as $u_r(x)$ converges on \mathcal{O} . This implies that

$$v_K(f_r(x)) = v_K(\alpha_r) + v_K(P_r(x)).$$

Factoring $P_r(x) = \prod_i (x - \omega_i) \prod R_j(x)$ where each $R_j(x)$ is irreducible of degree $d_j \ge 2$ and applying Lemma 4 immediately implies the desired formula for $v_K(f(k))$ for each $k \equiv r \pmod{D}$.

Suppose we want to compute the constants up to precision M. First note that there is an explicit integer D, computable in polynomial time, such that up to precision M the power series $f_r(x)$ agrees with the truncation of $f_r(x)$ in degree D. Indeed, it suffices that $D(v_p(\log(1 + \varpi^{\ell}c_n)) - 1) > M$ for each n. Then computing $f_r(x)$ requires $O(M^2(M + D)m)$ operations, the factor M^2 being the trivial upper bound for the running time of multiplication of long numbers. Thereafter, the effectiveness of computing the constants in the formula is equivalent to the effectiveness of Newton slope factorizations and polynomial factorizations in K[x], both of which are polynomial time algorithms by p-adic approximation and Hensel's lemma.

Proposition 5 definitively answers the question of what $v_p(f(k))$ is under the assumption that each exponential base b_i is a *p*-adic unit. Let us examine the general situation. Suppose

 $a_1, \ldots, a_m \in K^{\times}$ and $b_1, \ldots, b_m \in \mathcal{O} - \{0\}$, and let $f(k) = \sum a_i b_i^k$. Collecting exponential bases by valuation there exist $\mu_1 < \ldots < \mu_s \in \mathbb{Z}_{\geq 0}$ and exponential functions f_1, \ldots, f_s satisfying the hypotheses of Proposition 5 such that

$$f(k) = \sum_{i=1}^{s} \varpi^{\mu_i k} f_i(k).$$

Taking D as the least common multiple of the moduli for f_1, \ldots, f_s we deduce that for each i and $r \mod D$ there exist constants $\lambda_{r,i}, \Omega_{r,i,j}, u_{r,i,j}, n_{r,i,j}, d_{r,i,j}$ such that

$$v_K(f_i(r+Dk)) = \lambda_{r,i} + \sum_j v_K(k - \Omega_{r,i,j}) + \sum_j \min(n_{r,i,j}, d_{r,i,j}v_K(k - u_{r,i,j}))$$

In this nonarchimedean setting whether we can compute the valuation of f(k) depends on whether the valuations of $\varpi^{\mu_i k} f_i(k)$ are distinct. At first glance it seems that the linear term $\mu_i k$ dominates this valuation, but this need not be the case.

Definition 6. We say that $\Omega \in \mathcal{O}$ is superconvergent if

$$\limsup \frac{v_K(k-\Omega)}{k} > 0.$$

For example, the superconvergent elements of \mathbb{Z}_2 are precisely those with 2-adic expansion $\sum_{n=0}^{\infty} 2^{\ell(n)}$, where $\limsup \ell(n+1)/2^{-\ell(n)} > 0$.

If none of the $\Omega_{r,i,j}$ are superconvergent then indeed the linear term $\mu_i k$ dominates the valuation of $\varpi^{\mu_i k} f_i(k)$. However, this is not always the case. For example, suppose Ω is superconvergent. Then for some base $b \in \mathcal{O}^{\times}$ with $v_K(b-1)$ sufficiently large the exponential function $f(k) = -b^{\Omega} \cdot 1^k + 1 \cdot b^k$ has root Ω which then necessarily appears in the expression for $v_K(f(k))$.

We are grateful to Damien Roy for kindly providing us with the proof of the following proposition, which shows that superconvergent roots only occur in a local setting.

Proposition 7. Suppose F is a number field with ring of integers \mathcal{O} , and let $a_1, \ldots, a_m \in F^{\times}$. Let $b_1, \ldots, b_m \in \mathcal{O} - 0$ such that no ratio b_i/b_j is a root of unity for $i \neq j$. Then for each embedding $F \hookrightarrow \overline{\mathbb{Q}}_p$, sending each b_j to a p-adic unit, the exponential function $f(k) = \sum_{i=1}^m a_i b_i^k$ does not have a superconvergent root.

Remark 1. This result can be reformulated to say that if Ω is superconvergent and $b_1, \ldots, b_m \in \overline{\mathbb{Q}}$ then the elements $b_1^{\Omega}, \ldots, b_m^{\Omega}$ (interpreted as elements in \mathbb{C}_p) are linearly independent over $\overline{\mathbb{Q}}$. Under this formulation Proposition 7 is reminiscent of, albeit in no way related to, Schanuel's conjecture.

Proof. We denote by w the place of F corresponding to the embedding into $\overline{\mathbb{Q}}_p$. As explained in the proof of Proposition 5 it suffices to assume that each b_j satisfies $|b_j - 1|_w < 1$, since a suitable integral power of b_j satisfies this condition. Moreover, to simplify inequalities, we may assume that $a_1, \ldots, a_m \in \mathcal{O}$. The result then follows as an application of Schlickewei's extension of Schmidt's subspace theorem [16]. Let S be a finite set of places that includes the archimedean places of F and the place w such that each b_j is an S-unit in \mathcal{O} . Furthermore, we consider the linear form $L_{w,1}(X_1,\ldots,X_m) = a_1X_1 + \cdots + a_mX_m$ and for each place $v \in S$ and index $1 \leq i \leq m$ we denote $L_{v,i}(X_1,\ldots,X_m) = X_i$, if $(v,i) \neq (w,1)$. Schlickewei's result implies that for each $\delta > 0$ the non-zero solutions $(x_1,\ldots,x_m) \in \mathcal{O}^m$ of the inequality

(1)
$$\prod_{v \in S} \prod_{i=1}^{m} |L_{v,i}(x_1, \dots, x_m)|_v \le \left(\max_{\substack{1 \le i \le m \\ \sigma: F \hookrightarrow \mathbb{C}}} |\sigma(x_i)|\right)^{-\delta}$$

are contained in a union of finitely many proper subspaces of F^m , where $|\cdot|_v$ is the usual norm on F_v , normalized to give the value q_v^{-1} on uniformizers, where q_v is the cardinality of the residue field.

Let us reinterpret (1) in the case when $(x_1, \ldots, x_m) = (b_1^k, \ldots, b_m^k)$ for a positive integer k. The assumption that each b_j is an S-unit implies that $\prod_{v \in S} |b_j|_v = 1$, as the adelic norm is 1 on E^{\times} and $|b_j|_v = 1$ by hyperbasic. Therefore the left hand side of (1) is $|a_j|_{k=1}^k$.

 F^{\times} and $|b_1|_w = 1$ by hypothesis. Therefore the left hand side of (1) is $|a_1b_1^k + \cdots + a_mb_m^k|_w$. Since not all b_i are roots of unity (this follows from hypothesis if $m \ge 2$, and the statement is trivially true when m = 1) it follows that $C = \max_{\substack{1 \le i \le m \\ \sigma: F \to \mathbb{C}}} |\sigma(b_i)| > 1$. Thus inequality (1)

becomes

$$|a_1b_1^k + \dots + a_mb_m^k|_w < C^{-k\delta}$$

Suppose now that f(k) has a superconvergent root Ω . By definition there exists $\varepsilon > 0$ and a sequence of positive integers (n_k) such that $v_p(\Omega - n_k) > \varepsilon n_k$ for $k \gg 0$. Note that for each j and k, $v_p(b_j^{\Omega - n_k} - 1) = v_p(b_j - 1) + v_p(\Omega - n_k) > \varepsilon n_k$ and so

$$|\sum_{j=1}^{m} a_j b_j^{n_k}|_w = |\sum_{j=1}^{m} a_j b_j^{n_k} (1 - b_j^{\Omega - n_k})|_w < q_w^{-\varepsilon n_k}.$$

Choosing $\delta > 0$ such that $C^{-\delta} = q_w^{-\varepsilon}$ implies that the tuples $(b_1^{n_k}, \ldots, b_m^{n_k})$ lies in finitely many proper subspaces of F^m , for $k \gg 0$.

Suppose $c_1x_1 + \cdots + c_mx_m = 0$ is one of these proper subspaces. The Skolem-Mahler-Lech Theorem [3, Theorem 2.1] implies that if $(b_1^{n_k}, \ldots, b_m^{n_k})$ lies on this subspace then n_k belongs to a finite set or a finite union of arithmetic progressions. The assumption that b_i/b_j is not a root of unity implies that $\sum c_j b_j^r = 0$ cannot be satisfied for r in arithmetic progressions and therefore the equation holds only for finitely many n_k , yielding the desired contradiction. \Box

We now turn to the general setting of exponential sums. Suppose F is a number field with ring of integers \mathcal{O} and K/\mathbb{Q}_p is a finite extension containing F.

Theorem 8. Suppose $a_1, \ldots, a_m \in F^{\times}$ and $b_1, \ldots, b_m \in \mathcal{O} - \{0\}$, such that b_i/b_j is not a root of unity for $i \neq j$. Let $\mu = \min v_K(b_i)$. There there exists an integer D and for each class $r \pmod{D}$ there exist $\lambda_r \in \mathbb{Z}$, and possibly empty collections of $\Omega_{r,i}, u_{r,j} \in \mathcal{O}, n_{r,j} \in \mathbb{Z}_{\geq 0}$, and $d_{r,j} \in \mathbb{Z}_{\geq 2}$ such that

$$v_K(f(r+Dk)) = \mu k + \lambda_r + \sum_i v_K(k - \Omega_{r,i}) + \sum_j \min(n_{r,j}, d_{r,j}v_K(k - u_{r,j})).$$

for each k large enough. Moreover, all the constants above are effectively computable to arbitrary precision in polynomial time.

Proof. As mentioned above, if we order $\mu_1 < \ldots < \mu_s$ the distinct valuations of the exponential bases then $v_K(f(k)) = v_K(\sum \varpi^{\mu_i k} f_i(k))$ where for $k \equiv r \pmod{D}$:

$$v_i(k) = v_K(\varpi^{\mu_i k} f_i(k)) = \mu_{r,i}k + \lambda_{r,i} + \sum_j v_K(k - \Omega_{r,i,j}) + \sum_j \min(n_{r,i,j}, d_{r,i,j}v_K(k - u_{r,i,j})).$$

We choose $\mu = \mu_1$, $\lambda_r = \lambda_{r,1}$, $\Omega_{r,j} = \Omega_{r,1,j}$, etc.

Using Proposition 7 it follows that $v_i(k) - \mu_i k = O(\sum_j v_K(k - \Omega_{r,i,j})) = o(k)$ and therefore for k large enough $v_1(k) < v_i(k)$ for all i > 1. We conclude that $v_K(f(k)) = v_1(k)$ as desired.

Remark 2. Theorem 8 yields a computable expression for the valuations of exponential sums. However, we cannot make effective the condition that k be large enough.

3. TRACES OF HECKE OPERATORS

As discussed in the introduction, Maeda's conjecture is intimately related to the characteristic polynomials $P_{T_p}(X) = \det(1 - T_p X | S_{2k})$. In this section we turn our attention to algorithmically computing the Newton polygon of $P_{T_p}(X)$, which we implement in the last section for T_2 .

First, we note that if S_{2k} has dimension m then

$$P_{T_p}(X) = \sum_{n=0}^{m} (-1)^n X^n \operatorname{Tr}(\wedge^n T_p | S_{2k}).$$

To compute the trace of $\wedge^n T_p$ we follow the usual method of expressing elementary symmetric polynomials in terms of power sums by means of exponential Bell polynomials. The exponential Bell polynomial $B_n(x_1, \ldots, x_n) \in \mathbb{Z}[x_1, \ldots, x_n]$ has degree n and p(n) monomial terms, where p(n) is the partition function, and we compute:

$$\operatorname{Tr}(\wedge^{n} T_{p}|S_{2k}) = \frac{(-1)^{n}}{n!} B_{n}(-0! \cdot \operatorname{Tr}(T_{p}|S_{2k}), -1! \operatorname{Tr}(T_{p}^{2}|S_{2k}), \dots, -(n-1)! \operatorname{Tr}(T_{p}^{n}|S_{2k})).$$

Using the definition of T_{p^n} and the Newton identities, we see that:

$$\operatorname{Tr}(T_p^n|S_{2k}) = \sum_{i=0}^{\lfloor n/2 \rfloor} \left(\binom{n}{i} - \binom{n}{i-1} \right) p^{(k-1)i} \operatorname{Tr}(T_{p^{n-2i}}|S_{2k}) = \operatorname{Tr}(T_{p^n}|S_{2k}) + O(p^{k-1}),$$

which implies that $\operatorname{Tr}(\wedge^n T_p|S_{2k}) = \frac{(-1)^n}{n!} B_n(\ldots, -(i-1)! \cdot \operatorname{Tr}(T_{p^i}|S_{2k}), \ldots) + O(p^{k-1})$. Here $A(k) = \mathcal{O}(B(k))$ for two *p*-adic functions *A* and *B* means that $|A(k)|_p \ll |B(k)|_p$ for $k \gg 0$.

Finally, we may compute the traces of T_{p^n} using the Eichler-Selberg trace formula, which expresses the trace of the Hecke operator in terms of Hurwitz class numbers. Since it will be necessary later, we recall here the definition of the Hurwitz class number H(m) (as in [12, p. 306]). For a positive integer $m \equiv 0,3 \pmod{4}$ we denote $E_m = \mathbb{Q}(\sqrt{-m})$ with discriminant $-d_m$ in which case we may write $m = a^2 d_m$ for a positive integer a. We define $\left(\frac{E_m}{\ell}\right)$ to be $\left(\frac{-d_m}{\ell}\right)$ if ℓ is odd, and if $\ell = 2$ then either 0 if d_m is even or $(-1)^{(d+1)/4}$ otherwise. For an integer a we denote

$$\varphi_m(a) = a \prod_{\ell \mid a} \left(1 - \left(\frac{E_m}{\ell} \right) \frac{1}{\ell} \right),$$

in which case the Hurwitz class number is

$$H(m) = \frac{h_m}{w} \sum_{a^2 \mid m/d_m} \varphi_m(a)$$

where h_m is the class number of E_m , and

$$w = \begin{cases} 2 \text{ if } m = 4, \\ 3 \text{ if } m = 3, \\ 1 \text{ otherwise.} \end{cases}$$

In the case of the Hecke operator T_{p^n} the Eichler-Selberg trace formula [12, p. 370] implies:

$$\operatorname{Tr}(T_{p^n}|S_{2k}) = -\frac{1}{2}\sum_{i=0}^n p^{(2k-1)\min(i,n-i)} - \frac{H(4p^n)(-p^n)^{k-1}}{2} - \sum_{1 \le t < 2p^{n/2}} H(4p^n - t^2) \frac{\rho_t^{2k-1} - \overline{\rho}_t^{2k-1}}{\rho_t - \overline{\rho}_t}$$

Here $\rho_t, \overline{\rho}_t$ are the roots $\frac{t \pm \sqrt{t^2 - 4p^n}}{2}$ of $X^2 - tX + p^n = 0$, chosen such that $v_p(\rho_t) \le v_p(\overline{\rho}_t)$.

An immediate consequence is that $\operatorname{Tr}(\wedge^n T_p|S_{2k})$ is an exponential sum of the type considered in the previous section and, by Theorem 8, to compute $v_p(\operatorname{Tr}(\wedge^n T_p|S_{2k}))$ it suffices to consider only the exponentials with *p*-adic unit bases.

Lemma 9. Let n be a positive integer and p a prime number. Then

$$\operatorname{Tr}(T_{p^n}|S_{2k}) = -1 - \sum_{1 \le t < 2p^{n/2}, p \nmid t} \frac{H(4p^n - t^2)}{\sqrt{t^2 - 4p^n}} \rho_t^{2k-1} + O(p^k)$$
$$\operatorname{Tr}(T_2|S_{2k}) = -1 - \frac{1}{\sqrt{-7}} \rho_1^{2k-1} + O(2^k).$$

Proof. Note that for every prime *p*:

$$\frac{1}{2}\sum_{i=0}^{n} p^{(k-1)\min(i,n-i)} = 1 + O(p^k).$$

For the first identity it suffices to check that only the above mentioned roots of $X^2 - tX + p^n = 0$ remain. If p is odd, the bound $t < 2p^{n/2}$ implies that $v_p(t) < n/2$ and so the Newton Polygon of this equation consists of two segments with negative slope, one of which equals $-v_p(t)$. We conclude that both roots are in \mathbb{Z}_p with valuations $v_p(t)$ and $n - v_p(t)$. In fact, ρ_t has valuation $v_p(t)$ and $\overline{\rho}_t$ has valuation $n - v_p(t)$. Since these roots are raised to the exponent 2k - 1 we may remove all except ρ_t with $p \nmid t$.

When p = 2 the equation is $X^2 - 2^{\ell}uX + 2^n = 0$, where $t = 2^{\ell}u$ for an odd number u. A priori we know that $2\ell < n + 2$. If $2\ell < n$ then the Newton Polygon consists again of two segments, with slopes ℓ and $n - \ell$. Otherwise it consists of a single segment with slope n/2.

If n > 1 then $n - \ell > 0$ and so we may eliminate the roots $\overline{\rho}_t$ and keep ρ_t for odd t. When n = 1 the above expression is immediate by inspection.

Turning back to $f(k) = \text{Tr}(\wedge^n T_p | S_{2k})$ we see that the exponential sum

$$f(k) = \frac{(-1)^n B_n(0!, 1!, \dots, (n-1)!)}{n!} + \sum a_i b_i^k$$

has the following properties:

- (1) $B_n(0!, 1!, \ldots, (n-1)!) \neq 0$ (the coefficients are all nonnegative) and
- (2) The remaining bases b_i are not roots of unity. Indeed, each base b_i is of the form $b_i = \prod \rho_{j,t_j}^{e_j}$ where ρ_{j,t_j} is a root of $X^2 t_j X + p^j = 0$. However, each root ρ_{j,t_j} has norm p^j which means that b_i has non-unit norm.

We now apply the results of the previous section to Hecke traces. In this case we may choose D = 2 and r = 0, since level one modular forms have even weights.

Corollary 10. For each $n \ge 1$ there exists an integer $\lambda_n \in \mathbb{Z}$ and possibly empty collections $\Omega_i \in \mathbb{Z}_2$ and integers $n_j \ge 0, d_j \ge 2, u_j \ge 0$ such that

(2)
$$v_2(\operatorname{Tr}(\wedge^n T_2|S_{2k})) = \lambda_n + \sum_i v_2(k - \Omega_i) + \sum_j \min(n_j, d_j v_2(k - u_j)),$$

for all large enough k.

Proof. This follows from Theorem 8 since the exponential series for $\text{Tr}(\wedge^n T_2|S_{2k})$ is not the 0 power series, as explained above.

4. Computations of valuations of Hecke traces

From an algorithmic perspective Corollary 10 has a major flaw: while the constants in Theorem 8 are computable in polynomial time, the running time is a polynomial in the number of exponentials, which in the case of traces of Hecke operators is on the order of $O(2^{n/2})$. Note that even if the number of terms in the Eichler-Selberg trace formula were smaller, the exponential Bell polynomials have exponentially many monomials, which means any implementation of Theorem 8 for $Tr(\wedge^n T_2|S_{2k})$ would have to be exponential in n.

In this section we explain our implementation of Corollary 10 with an eye towards speeding up this exponential time algorithm. We begin with an observation. Suppose $E_1(k), \ldots, E_n(k)$ are exponential sums over \mathbb{Z}_2 and $B(X_1, \ldots, X_n) \in \frac{1}{T}\mathbb{Z}[X_1, \ldots, X_n]$ for some $T \in \mathbb{Z}_2$. If $f_{r,1}(k), \ldots, f_{r,n}(k)$ are the power series associated with the exponential sums E_1, \ldots, E_n for $k \equiv r \pmod{D}$ then $B(f_{r,1}(k), \ldots, f_{r,n}(k))$ is the power series associated with $B(E_1, \ldots, E_n)$. This computation is polynomial in the precision of \mathbb{Z}_2 , of $\mathbb{Z}_2[\![k]\!]$, and of the number of monomials in B. Moreover, if $g_{r,i} \equiv f_{r,i} \pmod{2^M}$ then

$$B(g_{r,1},\ldots,g_{r,n}) \equiv B(f_{r,1},\ldots,f_{r,n}) \pmod{2^{M-v_2(T)}}$$

which means that instead of approximating the exponential sum $B(E_1, \ldots, E_n)$ with power series to precision N it suffices to approximate each E_i to precision $M + v_2(T)$.

Let us turn to making more efficient the computations of power series attached to the exponential sums in $\text{Tr}(T_{2^n}|S_{2k})$, which can then be used to compute the power series attached to $\text{Tr}(\wedge^n T_2|S_{2k})$ as in the previous paragraph. A priori the computation involves $2^{n/2}$ exponentials:

$$\operatorname{Tr}(T_{2^n}|S_{2k}) = -1 - \sum_{1 \le t < 2^{n/2+1}, 2 \nmid t} \frac{H(2^{n+2} - t^2)}{\sqrt{2^{n+2} - t^2}} \rho_t^{2k-1} + \mathcal{O}(p^k).$$

We are able to make this computation more efficient by controlling the precision of computations. Suppose we seek the power series f(k) attached to the exponential sum above up to precision M. We compute

$$\operatorname{Tr}(T_{2^{n}}|S_{2k}) = -1 - \sum_{0 \le u < 2^{n/2}} \sum_{m=0}^{\infty} \frac{k^{m}}{m!} \frac{H(2^{n+2} - (1+2u)^{2})}{\rho_{1+2u}\sqrt{2^{n+2} - (1+2u)^{2}}} \left(\log_{2}(\rho_{1+2u}^{2})\right)^{m}$$
$$= -1 - \sum_{0 \le u < 2^{n/2}} \sum_{m=0}^{\infty} \frac{k^{m}}{m!} H(2^{n+2} - (1+2u)^{2}) \sum_{r=0}^{\infty} a_{n,m,r} u^{r}$$

where the coefficients $a_{n,m,r}$ can be computed from the Taylor expansion around 0. They satisfy $v_2(a_{n,m,r}) \geq 2m$ for all r and, for any $\alpha < 1$, $v_2(a_{n,m,r}) \geq 2m + \alpha r$ for $r \geq r_{\alpha} = \lceil \frac{4}{1-\alpha} \rceil + \frac{2\alpha}{1-\alpha} \lceil \frac{2}{1-\alpha} \rceil$. Indeed, this can be seen as follows. As a power series in u we have $\log_2(\rho_{1+2u}^2) \in 2^2 \mathbb{Z}_2[\![u]\!]$. Factoring 2^2 we obtain the term 2m in the lower bound on valuation. Let us turn to the growth of valuations of the Taylor coefficients of $q(u) = (2^{-2}\log_2(\rho_{1+2u}^2))^m/(\rho_{1+2u}\sqrt{2^{n+2}-(1+2u)^2})$, or equivalently to the sizes of slopes of the Newton polygon of q(u). Any root of q(u) would satisfy $\rho_{1+2u} = \zeta$, where ζ is a root of unity of order 2^b for some b. But then $1+2u = \zeta + 2^{n+2}\zeta^{-1}$ and so $u = (\zeta - 1)/2 + 2^{n+1}\zeta^{-1}$ which has valuation $1/2^{b-1} - 1$. We conclude that the number of slopes of the Newton polygon of q(u) which are $\leq \lambda$ for some $\lambda < 1$ is at most $\lceil \frac{2}{1-\lambda} \rceil$, since they correspond to roots of unity with $1 - 1/2^{b-1} \leq \lambda$. The inequality $v_2(a_{n,m,r}) \geq 2m + \alpha r$ for $r \geq r_{\alpha}$ then follows by computing a lower bound on the Newton function of q(u) partitioning the Newton slopes up to r_{α} in the intervals $[0, \alpha], [\alpha, (1 + \alpha)/2]$ and $[(1 + \alpha)/2, \infty)$.

Fix $\alpha < 1$. The fact that $v_2(a_{n,m,r}/m!) \ge m$ for all r and $\ge m + \alpha r$ for $r \ge r_{\alpha}$ implies that up to precision M:

$$\operatorname{Tr}(T_{2^n}|S_{2k}) \equiv -1 - \sum_{\substack{r_\alpha \le r < (M-m)/\alpha \\ \text{or } r < r_\alpha, m < M}} \frac{a_{n,m,r}k^m}{m!} \sum_{0 \le u < 2^{n/2}} H(2^{n+2} - (1+2u)^2)u^r \pmod{2^M}.$$

It is therefore necessary and sufficient to compute the sums

$$\mathscr{H}^{\rm odd}_r(2^n) = \sum_{1 \le t < 2^{n/2+1}, 2 \nmid t} H(2^{n+2} - t^2) t^r$$

for $r \leq M/\alpha$. Such sums have long been studied in connection with Fourier coefficients of modular forms [14, 4].

In the remainder of this section we will explain how to make the computation of $\mathscr{H}_r^{\text{odd}}(2^n)$ faster. We begin with a result that states that it suffices to compute

$$\mathscr{H}_{r}(2^{n}) = \sum_{\substack{1 \le t < 2^{n/2+1} \\ 11}} H(2^{n+2} - t^{2})t^{r}.$$

Lemma 11. We have

$$\begin{aligned} \mathscr{H}_{r}^{\text{odd}}(2^{2m}) &= \mathscr{H}_{r}(2^{2m}) - 2^{r+1}\mathscr{H}_{r}(2^{2(m-1)}) - 2^{rm+1}/3\\ \mathscr{H}_{r}^{\text{odd}}(2^{2m-1}) &= \mathscr{H}_{r}(2^{2m-1}) - 2^{r+1}\mathscr{H}_{r}(2^{2(m-1)-1}) - 2^{rm-1}. \end{aligned}$$

Proof. Collecting terms by 2-adic valuation we see that

$$\mathscr{H}_{r}(2^{n}) = \sum_{0 \le 2e < n+2} 2^{er} \sum_{1 \le t < 2^{n/2+1-e}, 2 \nmid t} H(2^{n+2} - 2^{2e}t^{2})t^{r}.$$

If n + 2 - 2e < 3 then either n = 2e - 1 in which case the inner sum is $H(2^{2e}) = 2^{e-1} - 1/2$ or n = 2e in which case the inner sum is $H(3 \cdot 2^{2e}) = 2^e - 2/3$.

Let us turn to the general case $n + 2 - 2e \ge 3$. For any m the function φ_m that appears in the definition of Hurwitz class numbers is multiplicative, and therefore so is the divisor sum $\sum_{a^2|m/d_m} \varphi_m(a)$. For odd t we have $2^{n+2-2e} - t^2 \equiv 7 \pmod{8}$ and therefore w = 1, and

we compute

$$H(2^{2e}(2^{n+2-2e}-t^2)) = H(2^{n+2-2e}-t^2)\sum_{k=0}^{e}\varphi_{2^{n+2-2e}-t^2}(2^k) = 2^eH(2^{n+2-2e}-t^2).$$

We deduce that

$$\mathscr{H}_{r}(2^{2m}) = \sum_{e=0}^{m-1} 2^{(r+1)e} \mathscr{H}_{r}^{\text{odd}}(2^{2(m-e)}) + 2^{(r+1)m} - 2^{rm+1}/3$$
$$\mathscr{H}_{r}(2^{2m-1}) = \sum_{e=0}^{m-1} 2^{(r+1)e} \mathscr{H}_{r}^{\text{odd}}(2^{2(m-e)-1}) + 2^{(r+1)m-1} - 2^{rm-1}.$$

Solving for the odd Hurwitz sums we conclude the desired formulas.

We now turn to computing the sums $\mathscr{H}_r(2^n)$. The following procedure is based on [14, §4]. We will denote $f(n) \approx g(n)$ if f(n) - g(n) can be computed in time which is polynomial in n. Then the Eichler-Selberg trace formula implies that

$$\operatorname{Tr}(T_{2^{n}}|S_{2k}) \approx \sum_{i=0}^{k-1} (-1)^{i} \binom{2k-2-i}{i} 2^{ni} \mathscr{H}_{2k-2-2i}(2^{n}).$$

In §3 we explained how to do compute the LHS by computing $\text{Tr}(T_2^n|S_{2k})$, and this can be evaluated explicitly as the sum of the *n*-th powers of the normalized a_2 coefficients on a basis of S_{2k} , which can be done in polynomial time. Solving the system of equations we conclude that $\mathscr{H}_r(2^n)$ can be computed in polynomial time for every even r.

The computation of $\mathscr{H}_r(2^n)$ for odd r would similarly be related to $\operatorname{Tr}(T_{2^n}|S_k(N,\varepsilon))$ for odd weights k and (necessarily) odd characters $\varepsilon : (\mathbb{Z}/N\mathbb{Z})^{\times} \to \mathbb{C}^{\times}$. For simplicity suppose $N = \ell \equiv 7 \pmod{8}$ is a prime number and $\varepsilon = \left(\frac{\cdot}{\ell}\right)$, an odd character with $\varepsilon(2) = 1$. The

Eichler-Selberg trace formula [12, p. 370] in this case implies that

$$-\operatorname{Tr}(T_{2^{n}}|S_{k}(\ell,\varepsilon)) \approx \sum_{i< k/2-1} (-1)^{i} \binom{2k-2-i}{i} 2^{ni} \left(\sum_{\ell \mid 2^{n+2}-t^{2}} \left(\frac{t}{\ell}\right) H(2^{n+2}-t^{2}) t^{k-2-2i} + \ell \sum_{\ell^{2}\mid 2^{n+2}-t^{2}} H\left(\frac{2^{n+2}-t^{2}}{\ell^{2}}\right) t^{k-2-2i} + 2\sum \left(\frac{\rho_{t}}{\ell}\right) H(2^{n+2}-t^{2}) t^{k-2-2i} \right),$$

where t varies such that $1 \le t < 2^{n/2+1}$ and the last sum is over t such that $\left(\frac{t-2}{\ell}\right) = 1$, i.e., $\rho_t \in \mathbb{F}_{\ell}$.

As in the even weight case we may compute $\operatorname{Tr}(T_{2^n}|S_k(\ell,\varepsilon))$ for any given odd k in polynomial time. Solving the system of equations above, we may compute a Hurwitz sum $\sum H(2^{n+2}-t^2)t^r$ for odd r over t such that $\left(\frac{t^2-2^{n+2}}{\ell}\right) = 1$ and $\left(\frac{\rho_t}{\ell}\right) = 1$ in terms of the sums over t such that $\ell \mid 2^{n+2} - t^2$ and such that $\left(\frac{t^2-2^{n+2}}{\ell}\right) = 1$ and $\left(\frac{\rho_t}{\ell}\right) = -1$. Asymptotically, this eliminates the need to compute a quarter of the terms in $\mathscr{H}_r(2^n)$.

5. The Buzzard-Calegari Conjecture

In this section we turn our attention to the Buzzard-Calegari Conjecture and the proof of Theorem 2.

Let $2k \ge 12$ and $m = \dim S_{2k}$ the dimension of the space of cusp forms of weight 2k and level $SL_2(\mathbb{Z})$. It is elementary to show that the Newton polygon of the Buzzard-Calegari polynomial

$$P_{\rm BC}(X) = 1 + \sum_{n=1}^{m} X^n \prod_{j=1}^{n} \frac{2^{2j}(2k-8j)!(2k-8j-3)!(2k-12j-2)}{(2k-12j)!(2k-6j-2)!}$$

is the same as the Newton polygon of the points $\{(n, a_n)|0 \le n \le m\}$ where the nonnegative integers a_n can be described explicitly as follows. For an integer ℓ we denote $\delta_{\ell} = 1$ if $\ell \equiv 1 \pmod{6}$ and 0 otherwise. Then

(3)
$$a_n = \frac{3n(n+1)}{2} + \sum_{\ell=3n+4}^{6n+1} e_{n,\ell} v_2(k-\ell),$$

where

$$e_{n,\ell} = \begin{cases} \left\lfloor \frac{\ell-1}{3} \right\rfloor - n & 3n+4 \le \ell < 4n \\ \delta_{\ell} + n - 1 - \left\lfloor \frac{\ell}{6} \right\rfloor & \ell = 4n, 4n+1 \\ \delta_{\ell} + n - \left\lfloor \frac{\ell}{6} \right\rfloor & 4n+2 \le \ell \le 6n \\ 1 & \ell = 6n+1 \end{cases}$$

The Buzzard-Calegari conjecture posits that the Newton polygons of points with heights prescribed by equations (2) (Corollary 10) and (3) are the same. We will experimentally verify our truncated version of this conjecture by making explicit the list of transcendental numbers Ω_i and integers n_j, d_j, u_j in Corollary 10. **Theorem 12.** For $1 \le n \le 15$ there exist $\Omega_i \in \mathbb{Z}_2$ and integers n_j, u_j such that:

$$v_2(\operatorname{Tr}(\wedge^n T_2|S_{2k})) = \frac{3n(n+1)}{2} + \sum_i v_2(k - \Omega_i) + \sum_j \min(n_j, 2v_2(k - u_j)),$$

for all large enough k. The number of expressions of the form $v_2(k - \alpha)$, counted with multiplicity, is the same as in (3).

Proof. We implemented Corollary 10 in Sage [15], the results being tabulated in the Appendix. In the case of n = 1, the one constant $\Omega \in \mathbb{Z}_2$ appearing in the expression above can be computed using the 2-adic log map:

$$\Omega = \frac{1}{2} \left(\frac{\log_2(1 - 2\rho)}{\log_2(1 - \rho)} + 1 \right),$$

where $\rho = \frac{1-\sqrt{-7}}{2}$ and therefore for all $k \ge 2$ we have

$$v_2(\text{Tr}(T_2|S_{2k})) = 3 + v_2(k - \Omega)$$

Indeed, since the exponential sum contains only unit bases we are not constrained to $k \gg 0$.

For the convenience of the reader, we explain in more detail the case n = 4. In this case, the power series $f_0(k)$ obtained from $\text{Tr}(\wedge^4 T_2|S_{2k})$ has content $30 = \frac{3\cdot4\cdot5}{2}$ and factors, up to an invertible power series and precision 10, as

$$(k-789)(k-23)(k-337)(k-25)(k-18)(k-22)(k-980)(k-720)(k^2-38k+361).$$

The linear factors provide the list of Ω_j . Applying Lemma 4 to the unique quadratic polynomial q(k) we obtain $\lambda = 0$, $\delta = 5$ and $(u_n) = \{1, 1, 0, 0, 1\}$ and therefore $v_2(q(k)) = \min(15, 2v_2(k-19))$, thereby obtaining the desired explicit formula for $v_2(\operatorname{Tr}(\wedge^4 T_2|S_{2k}))$. \Box

Remark 3. It is important, for applications, to know to what precision one can compute the constants in Theorem 12. Suppose one works in \mathbb{Q}_2 with M digits of precision and, in Theorem 8, one approximates power series with polynomials of degree $D \leq M$. If $f(x) = \sum_{u=1}^{\infty} x^u/u! \sum_{n=1}^m a_n (\log_2(b_n^2))^u$ is approximated with $f_D(x) = \sum_{u=1}^D x^u/u! \sum_{n=1}^m a_n (\log_2(b_n^2))^u$ then $f(x) \equiv f_D(x) \pmod{2^D}$. Indeed, since $v_2(\log_2(b_n^2)) \geq 2$ it follows that

$$v_2(1/u! \sum_{n=1}^{m} a_n(\log_2(b_n^2))^u) \ge 2u - v_2(u!) \ge u$$

for all u.

Removing the content $\frac{3n(n+1)}{2}$ of the power series $f_D(x)$, we may compute the nonnegative slope polynomials $P_D(x)$ with precision $P = D - \frac{3n(n+1)}{2}$. As long as each n_j in Theorem 12 is smaller than this precision we know each Ω_i to precision P.

The tables in the Appendix were obtained using N = 10000 and D = 500 which means that we identified each constant for $\wedge^n T_2$ up to precision $500 - \frac{3n(n+1)}{2}$, so overall precision at least 140.

Remark 4. It is computationally useful in the proof of Theorem 13 that the explicit constants Ω_j (resp. u_j) in Theorem 12 appear "2-adically close" to corresponding ℓ in (3). By this we mean the following: the total number of constants Ω_j (counted with multiplicity 1) and u_j (counted with multiplicity 2) in Theorem 12 equals the total number of ℓ (counted with

multiplicity $e_{n,\ell}$ in (3). Moreover, for each Ω_j (resp. u_j) there exists an ℓ such that $v_2(\Omega_j - \ell)$ (resp. $v_2(u_j - \ell)$) is large, though we are not able to quantify this valuation in general. The pairing of Ω_j (resp. u_j) and the corresponding ℓ appears in the Appendix.

Our main computational result is a partial verification of the Buzzard-Calegari conjecture using Theorem 12. Recall that if N is a Newton polygon by the truncation at m we mean the portion $N^{\leq m}$ of N in the region $0 \leq n \leq m$. Also, $N_1 \geq N_2$ means that the Newton polygon N_1 lies on or above the Newton polygon N_2 .

Theorem 13. For $k \gg 0$ the Newton polygon N_{BC} of the Buzzard-Calegari polynomial P_{BC} has some vertex n in the interval [7, 15]. For any such vertex:

$$N_{\rm BC}^{\le n} = N(P_{T_2}^{\deg \le n}).$$

Moreover, $N(P_{T_2}^{\deg \le 15}) \ge N_{\mathrm{BC}}$.

We shall need the following technical result.

Lemma 14. Suppose k > 6 is an integer and $n \ge 1$. The Newton polygon $N_{\rm BC}$ has a vertex at n if and only if the Newton polygon of $P_{\rm BC}(X)$ truncated in degree $n + \frac{2}{3} \sum_{\ell=3n+4}^{6n+1} e_{n,\ell}v_2(k-\ell)$ does as well, in which case $N_{\rm BC}^{\le n} = N(P_{\rm BC}^{\deg \le n})$.

Proof. The "only if" direction is straightforward. To check that $N_{\rm BC}$ has a vertex at n one needs to check that slopes in the region $\leq n$ are < the slopes in the region $\geq n$, i.e., for all vertices a < n and vertices b > n:

$$\frac{1}{n-a} \left(\frac{3n(n+1)}{2} + \sum e_{n,\ell} v_2(k-\ell) - \frac{3a(a+1)}{2} - \sum e_{a,\ell} v_2(k-\ell) \right)$$

$$< \frac{1}{b-n} \left(\frac{3b(b+1)}{2} + \sum e_{b,\ell} v_2(k-\ell) - \frac{3n(n+1)}{2} - \sum e_{n,\ell} v_2(k-\ell) \right).$$

This inequality is automatic for all $b > n + \frac{2}{3} \sum_{\ell=3n+4}^{6n+1} e_{n,\ell} v_2(k-\ell)$ and therefore one only needs to compute explicitly slopes in the Newton polygon truncated at this degree.

Proof of Theorem 13. We now describe our computational approach to verifying the corollary. At each iteration *i* we are left with verifying the case of *k* varying in a collection of 2-adic balls $C_i = \{\mathcal{B}(a_{i,j}, n_{i,j})\}$ (where $\mathcal{B}(a, m) = \{x \in \mathbb{Z}_2 \mid v_2(x - a) > m\}$), initially starting with $C_0 = \{\mathbb{Z}_2\}$.

We begin with the following observation:

- (1) if $v_2(k-\Omega) \neq v_2(k-\ell)$ then either $k \in \mathcal{B}(\Omega, v_2(\Omega-\ell))$ or $k \in \mathcal{B}(\ell, v_2(\Omega-\ell))$;
- (2) if $n > v_2(u-\ell)$ and $\min(n, v_2(k-u)) \neq v_2(k-\ell)$ then again either $k \in \mathcal{B}(u, v_2(u-\ell))$ or $k \in \mathcal{B}(\ell, v_2(u-\ell));$
- (3) finally, if $u = \ell$ then $\min(n, 2 \cdot v_2(k-\ell)) \neq 2 \cdot v_2(k-\ell)$ then $k \in \mathcal{B}(\ell, n/2)$.

Therefore the two sets of vertices $\{(n, v_2(\operatorname{Tr}(\wedge^n T_2|S_{2k}))) \mid n \leq 15\}$ and $\{(n, a_n) \mid n \leq 15\}$ coincide unless for some n and a pair (Ω, ℓ) (resp. triple (m, u, ℓ)) in the Appendix we have $v_2(k - \Omega) \neq v_2(k - \ell)$ (resp. $\min(m, 2 \cdot v_2(k - u)) \neq 2 \cdot v_2(k - \ell)$). By the discussion above we conclude that we next need to treat the case when k varies in one of the 2-adic balls in the collection:

$$\mathcal{C}_1 = \{\mathcal{B}(\Omega, v_2(\Omega - \ell))\} \cup \{\mathcal{B}(\ell, v_2(\Omega - \ell))\} \cup \{\mathcal{B}(u, v_2(u - \ell))\} \cup \{\mathcal{B}(\ell, v_2(u - \ell))\} \cup \{\mathcal{B}(\ell, n_j/2)\}.$$

Suppose we are in iteration i and we desire to verify Theorem 13 for all sufficiently large k in $\mathcal{B}(a,m) \in \mathcal{C}_i$.

For an integer N we denote:

$$\mathcal{S}_{T_2}(\mathcal{B}(a,m),N) = \{(n, \frac{3n(n+1)}{2} + \sum v_2(a-\Omega_i) + \sum \min(n_j, 2 \cdot v_2(a-u_j)) \mid 0 \le n \le N\}$$
$$\mathcal{S}_{BC}(\mathcal{B}(a,m),N) = \{(n, \frac{3n(n+1)}{2} + \sum e_{n,\ell} \cdot v_2(a-\ell)u_j)) \mid 0 \le n \le N\}.$$

Note that if $k \in \mathcal{B}(a, m)$ then $v_2(k - \Omega) \ge v_2(a - \Omega)$ if $v_2(a - \Omega) \le m$ with equality if the latter inequality is strict; in this case we say that $v_2(k - \Omega)$ is precisely computed in the ball $\mathcal{B}(a, m)$. We conclude that the points in \mathcal{S}_{T_2} lie below the points $\{(n, v_2(\text{Tr} \wedge^n T_2|S_{2k})) \mid n \le N\}$, and similarly for \mathcal{S}_{BC} , and the same can be said of their Newton polygons. We say that the *n*-th point in \mathcal{S}_{T_2} or \mathcal{S}_{BC} is precisely computed in the ball $\mathcal{B}(a, m)$ if every valuation in the formula for *n* is precisely computed in $\mathcal{B}(a, m)$. Note that if every vertex of the Newton polygon of \mathcal{S}_{T_2} is precisely computed then this Newton polygon is the actual Newton polygon of the points $\{(n, v_2(\text{Tr} \wedge^n T_2|S_{2k})) \mid n \le N\}$, and similarly for \mathcal{S}_{BC} .

We begin with determining the largest $n \leq 15$ for which n is a vertex of N_{BC}. First, if n is a vertex of $N_{\rm BC}$ then it must also be a vertex of the Newton polygon $N_{\rm BC,N}$ of the points $\{(n, a_n) \mid 0 \le n \le N\}$ for every N. On a first round, to check if n is a vertex of $N_{\rm BC}$ we first verify if n is a vertex of $N_{{\rm BC},2n+1}$. The reason for this choice is the following: if a_n is not precisely computed in the ball $\mathcal{B}(a,m)$ then a is close to some ℓ appearing in the expression for a_n . However, the set of ℓ -s appearing in the formula for a_{2n+1} is disjoint from the sets of ℓ -s appearing in a_n and therefore the last vertex in $N_{BC,2n+1}$ is likely to be precisely computed. As a proxy for $N_{BC,N}$ we use the Newton polygon of $\mathcal{S}_{BC}(\mathcal{B}(a,m),N)$. If a_n is not a vertex of $\mathcal{S}_{BC}(\mathcal{B}(a,m), 2n+1)$ and the Newton polygon is precisely computed (in the sense mentioned above) then n is definitely not a vertex of $N_{\rm BC}$ and we discard it. If a_n is precisely computed and is a vertex of $\mathcal{S}_{BC}(\mathcal{B}(a,m), 2n+1)$ (not necessarily precisely computed) then n is definitely a vertex of $N_{BC,2n+1}$, and plausibly a vertex of N_{BC} . In all other cases the computation is imprecise and we add $\mathcal{B}(a,m)$ to the set \mathcal{CI}_i of balls $\mathcal{B}(a,m)$ where the computation was not precise. It remains to further verify if n is a vertex of $N_{\rm BC}$ in the plausible case. For this, we apply Lemma 14. Since a_n was precisely computed so is the upper bound N from Lemma 14. We apply to $N_{BC,N}$ the procedure described above in the case of $N_{BC,2n+1}$. Either n is definitely a vertex of $N_{BC,N}$ and, by Lemma 14, definitely a vertex of $N_{\rm BC}$, or n is definitely not a vertex, or $\mathcal{B}(a,m)$ is added to \mathcal{CI}_i . We determine the largest $n \leq 15$ which is definitely a vertex by going backwards from 15 with this verification until we arrive at a vertex.

If $\mathcal{B}(a, m)$ has not yet been added to \mathcal{CI}_i , we compute the Newton polygons of $\mathcal{S}_{T_2}(\mathcal{B}(a, m), n)$ and $\mathcal{S}_{BC}(\mathcal{B}(a, m), n)$. If these Newton polygons are precisely computed we verify the Buzzard-Calegari conjecture up to the vertex n by checking if the polygons are equal.

At the end of iteration i we are left with a subset $\mathcal{CI}_i \subset \mathcal{C}_i$ of balls over which our computations were not precise enough to verify Buzzard-Calegari. For each $\mathcal{B}(a,m) \in \mathcal{CI}_i$ we produce two half balls $\mathcal{B}(a, m + 1)$ and $\mathcal{B}(a + 2^{m+1}, m + 1)$ and add them to \mathcal{C}_{i+1} , the collections C_{i+1} and CI_i having the same union. In iteration i+1 we will verify the corollary for the balls in C_{i+1} , each of which has smaller radius than the balls in C_i , and therefore more likely to yield a precise computation of Newton polygons.

In 23 iterations which ran for about 6 minutes on a laptop the algorithm examined every one of the 1058 balls in C_1 and established the validity of the theorem, including the existence of a vertex of $N_{\rm BC}$ in the interval [7, 15].

Finally, we remark that the constants in the Appendix are known to sufficient precision to make the above computations correct. Indeed, by the remark after Theorem 12 we know these constants up to precision 140. Since the balls $\mathcal{B}(a,m)$ in every \mathcal{C}_i have radius valuation at most m = 84, it follows that if the center a is approximated by a' with precision 140 then $\mathcal{B}(a,m) = \mathcal{B}(a',m)$.

We now turn our attention to the relationship between $N(P_{T_2})$ and $N(P_{T_2}^{\deg \leq m})$. The following result is a variant of Lemma 14 for the characteristic polynomial of T_2 .

Lemma 15. Suppose $k \gg 6$ is an integer and $1 \le n \le 15$. The Newton polygon of $P_{T_2}(X)$ has a vertex at n if and only if so does the Newton polygon of $P_{T_2}(X)$ truncated in degree

$$m_{n,k} = \frac{1}{4} (8 + \lambda + \sqrt{(8 + \lambda)^2 - 8(4 + \lambda n - f_n)}),$$

where f_n is the expression on the right hand side of Theorem 12 and $\lambda = \max_{0 \le a < n} \frac{f_n - f_a}{n - a}$. In this case, we further have $N(P_{T_2})^{\le n} = N(P_{T_2}^{\deg \le n})$.

Proof. Let b_n be the coefficient of X^n in the characteristic polynomial of T_p acting on the space of modular forms $M_{k+jd(p-1)}$. Set $d_j = \dim M_{k+j(p-1)}$, d = 4 if p = 2, 3 if p = 3 and 1 if $p \ge 5$. Then [17, Lemma 2.1] used as in the proof of [18, Lemma 3.1] implies that

$$v_p(b_n(k+jd(p-1))) \ge \frac{d(p-1)}{p+1} \left(\sum_{u=0}^{\ell} u(d_u - d_{u-1}) + (\ell+1)(n-d_\ell) \right) - \left(1 + \frac{d-1}{p+1} \right)$$

where ℓ is such that $d_{\ell} \leq n < d_{\ell+1}$.

Note that $d_u = \lfloor \frac{k+ud(p-1)}{12} \rfloor + \delta_u$, where $\delta_u = 1$ unless $k + ud(p-1) \equiv 2 \pmod{12}$ in which case $\delta_u = 0$. This implies that $\frac{k+ud(p-1)}{12} - 1 \leq d_u \leq \frac{k+ud(p-1)}{12} + 1$ and

$$\frac{12n - 12 - k}{d(p - 1)} - 1 < \ell \le \frac{12n + 12 - k}{d(p - 1)}.$$

Therefore

$$\begin{aligned} v_p(b_n(k+jd(p-1))) &\geq \frac{d(p-1)}{p+1} \left((\ell+1)n - \sum_{u=0} d_u \right) - \left(1 + \frac{d-1}{p+1} \right) n \\ &\geq \frac{d(p-1)}{p+1} \left((\ell+1) \left(n - \frac{k}{12} - 1 \right) - \frac{d(p-1)\ell(\ell+1)}{24} \right) - \left(1 + \frac{d-1}{p+1} \right) n \end{aligned}$$

Using the bounds on ℓ we see that

$$v_p(b_n(k+jd(p-1))) \ge \frac{d(p-1)}{p+1}(\ell+1)\left(n-\frac{k}{12}-1-\frac{d(p-1)\ell}{24}\right) - \left(1+\frac{d-1}{p+1}\right)n$$

> $\frac{d(p-1)}{p+1}\frac{12n-12-k}{d(p-1)}(n/2-k/24-3/2) - n$
= $\frac{6}{p+1}(n-k/12-1)(n-k/12-3/2) - \left(1+\frac{d-1}{p+1}\right)n.$

When p = 2, d = 4 and k can be taken between 0 and 3. We therefore obtain the uniform bound

(4)
$$v_2(b_n) \ge 2n^2 - 8n + 35/8 > 2(n-2)^2 - 4.$$

for all $n \ge 1$ and $k \gg 6$.

As in Lemma 14, to verify whether n is a vertex of $N(P_{T_2})$ it suffices to compare slopes (using Theorem 12 for $n \leq 15$):

$$\max_{0 \le a < n} \frac{f_n - f_a}{n - a} < \frac{v_2(b_m) - f_n}{m - n}$$

for all m > n. However, using (4) we see that the above inequality is automatically satisfied for $m \ge m_{n,k}$ and therefore it suffices to verify that n is a vertex of the Newton polygon of the truncation in degree $m_{n,k}$.

Remark 5. In principle, one should be able to strengthen Theorem 13 using Lemma 15 and obtain a result of the form: the Buzzard-Calegari Conjecture 1 is true up to $n \leq D$. As stated, our computations suffice to show

$$N_{\rm BC}^{\leq 4} = N(P_{T_2})^{\leq 4},$$

for all sufficiently large weights. Unfortunately, to verify Theorem 13 with $N(P_{T_2})^{\leq n}$ instead of $N(P_{T_2}^{\deg \leq n})$ one would need to compute Theorem 12 up to n = 42, which is currently unfeasible. However, computing Theorem 12 up to n = 16 would suffice to show $N_{BC}^{\leq 5} = N(P_{T_2})^{\leq 5}$.

Finally, for each 2-adic ball $\mathcal{B}(a,m)$ from the proof of Theorem 13 we have computed a D such that $N_{\rm BC}^{\leq D} = N(P_{T_2})^{\leq D}$, obtaining the example that $N_{\rm BC}^{\leq 13} = N(P_{T_2})^{\leq 13}$ for $k \equiv 34 \pmod{2^{11}}$.

We end this section with an appealing application of Theorem 13 to Hatada's congruences, namely Corollary 3. The computations that led to Theorem 13 imply that the lowest two Newton slopes of $P_{T_2}^{\deg \leq 15}$ are precisely the pairs listed in the introduction, the values depending on $k \pmod{64}$ as specified. By (4) all Newton slopes of P_{T_2} in the region ≥ 15 are at least 12, and therefore Theorem 13 suffices to verify that the lowest 2 slopes of P_{T_2} coincide with the lowest two slopes of $P_{T_2}^{\deg \leq 15}$.

6. Appendix: 2-adic valuations of the traces of T_2

In the following table, for each $n \leq 5$ we make explicit the terms that appear in $v_2(\text{Tr}(\wedge^n T_p|S_{2k}))$ from Theorem 12, and those defining the sequence a_n from equation (3) in Section 5. The 2-adic numbers Ω_i are given modulo 2^{50} .

The complete table for all $n \leq 15$ is available online [7].

n	$v_2(k-\Omega_i)$ or $\min(n_j, 2v_2(k-u_j))$ in $v_2(\operatorname{Tr}(\wedge^n T_2 S_{2k}))$	$v_2(k-\ell)$ in a_n	$v_2(\Omega_i - \ell)$ or $v_2(u_j - \ell)$
1	$v_2(k - 442980431217671)$	$v_2(k-7)$	10
2	$v_2(k - 791247700865546)$	$v_2(k-10)$	9
	$v_2(k-31828396041227)$	$v_2(k-11)$	10
	$v_2(k - 335062469580877)$	$v_2(k-13)$	6
3	$v_2(k - 48255093739981)$	$v_2(k-13)$	6
	$v_2(k-895017375933454)$	$v_2(k-14)$	10
	$v_2(k - 16843008520207)$	$v_2(k-15)$	12
	$v_2(k - 250702637217616)$	$v_2(k-16)$	6
	$v_2(k - 46624142875857)$	$v_2(k-17)$	6
	$v_2(k - 474794944364563)$	$v_2(k-19)$	28
4	$v_2(k - 798532487856848)$	$v_2(k-16)$	6
	$v_2(k - 658899949170001)$	$v_2(k-17)$	6
	$v_2(k - 568752135614482)$	$v_2(k-18)$	12
	$\min(15, 2 \cdot v_2(k-19))$	$2 \cdot v_2(k-19)$	∞
	$v_2(k - 1103383114654676)$	$v_2(k-20)$	6
	$v_2(k - 60661288646421)$	$v_2(k-21)$	8
	$v_2(k - 1080512839942166)$	$v_2(k-22)$	31
	$v_2(k - 339362545926167)$	$v_2(k-23)$	33
	$v_2(k - 824086375843865)$	$v_2(k-25)$	11
5	$v_2(k - 912948839579667)$	$v_2(k-19)$	19
	$v_2(k - 929666093061716)$	$v_2(k-20)$	6
	$v_2(k - 1090275108829461)$	$v_2(k-21)$	8
	$\min(15, 2 \cdot v_2(k-22))$	$2 \cdot v_2(k-22)$	∞
	$\min(16, 2 \cdot v_2(k-151))$	$2 \cdot v_2(k-23)$	7
	$v_2(k - 215022683507480)$	$v_2(k-24)$	8
	$v_2(k - 188349340154137)$	$v_2(k-25)$	8
	$v_2(k - 25411498307609)$	$v_2(k-25)$	12
	$v_2(k - 255292856074266)$	$v_2(k-26)$	36
	$v_2(k - 893284478091291)$	$v_2(k-27)$	36
	$v_2(k - 378319707637788)$	$v_2(k-28)$	11
	$v_2(k - 436532622338077)$	$v_2(k-29)$	11
	$v_2(k - 669602715533343)$	$v_2(k-31)$	27

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